

# DATA MINING 2

## Neural Networks (Perceptron)

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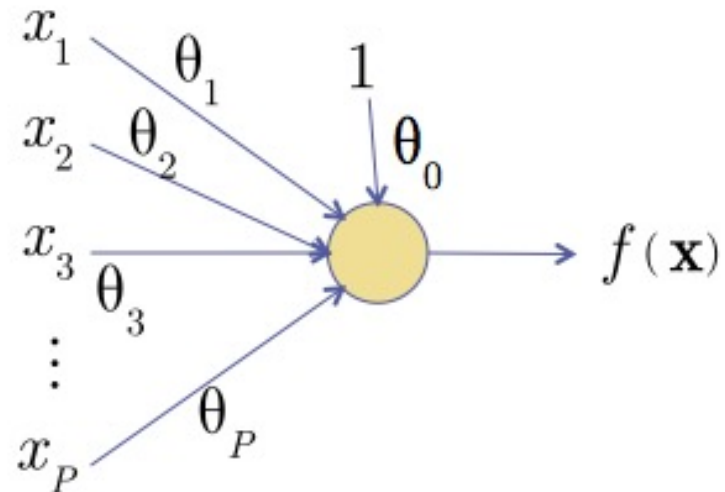
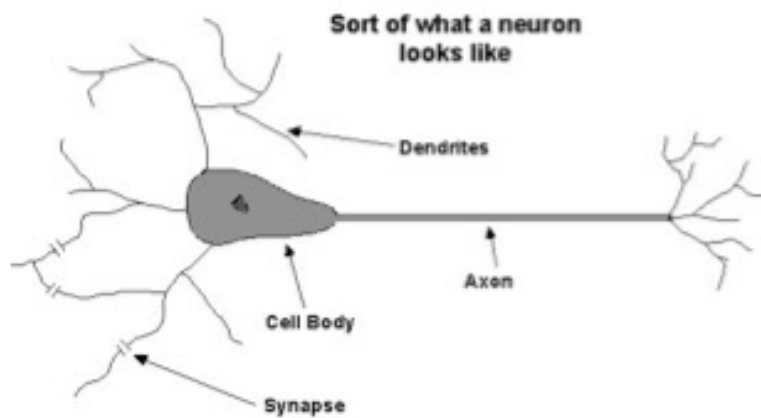
*Slides edited from a set of slides titled “Introduction to Machine Learning and Neural Networks” by Davide Bacciu*



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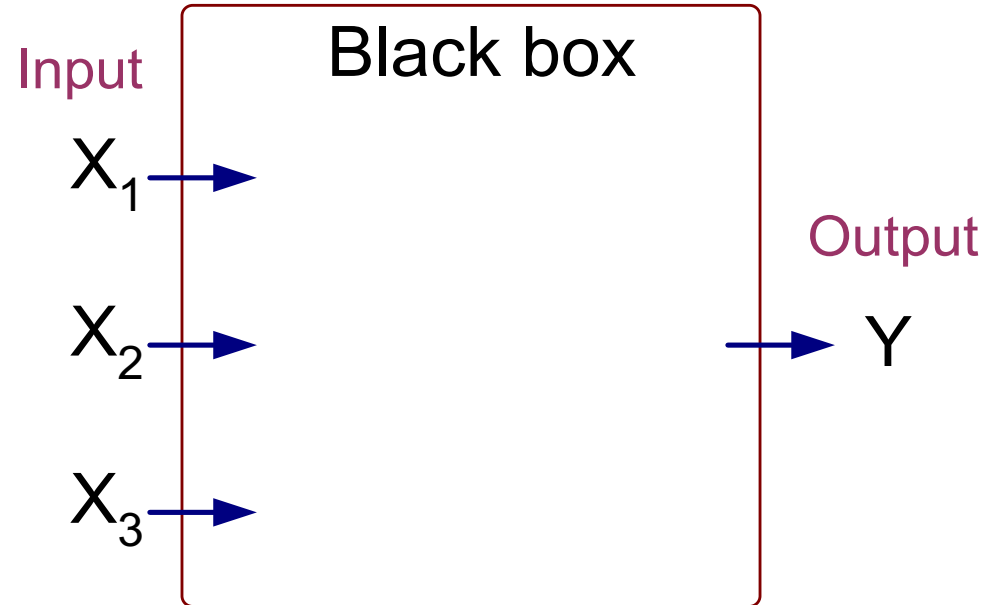
# The Neuron Metaphor

- Neurons
  - accept information from multiple inputs,
  - transmit information to other neurons.
- Multiply inputs by weights along edges
- Apply some function to the set of inputs at each node



# Artificial Neural Networks (ANN)

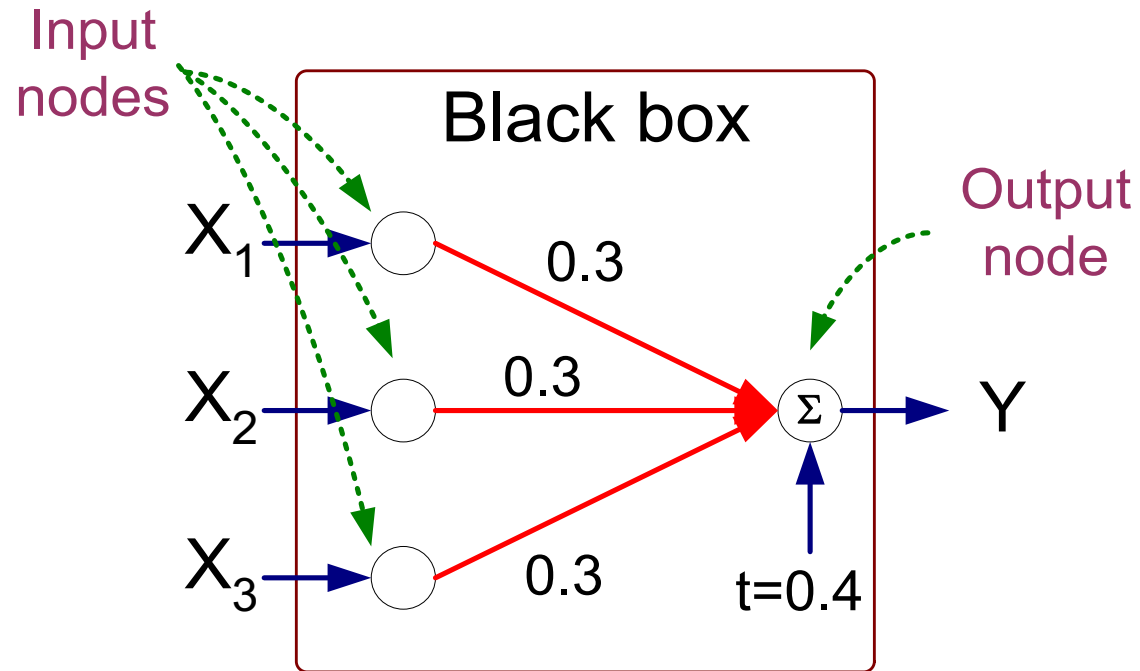
$X_1$	$X_2$	$X_3$	Y
1	0	0	-1
1	0	1	1
1	1	0	1
1	1	1	1
0	0	1	-1
0	1	0	-1
0	1	1	1
0	0	0	-1



Output Y is 1 if at least two of the three inputs are equal to 1.

# Artificial Neural Networks (ANN)

$X_1$	$X_2$	$X_3$	$Y$
1	0	0	-1
1	0	1	1
1	1	0	1
1	1	1	1
0	0	1	-1
0	1	0	-1
0	1	1	1
0	0	0	-1

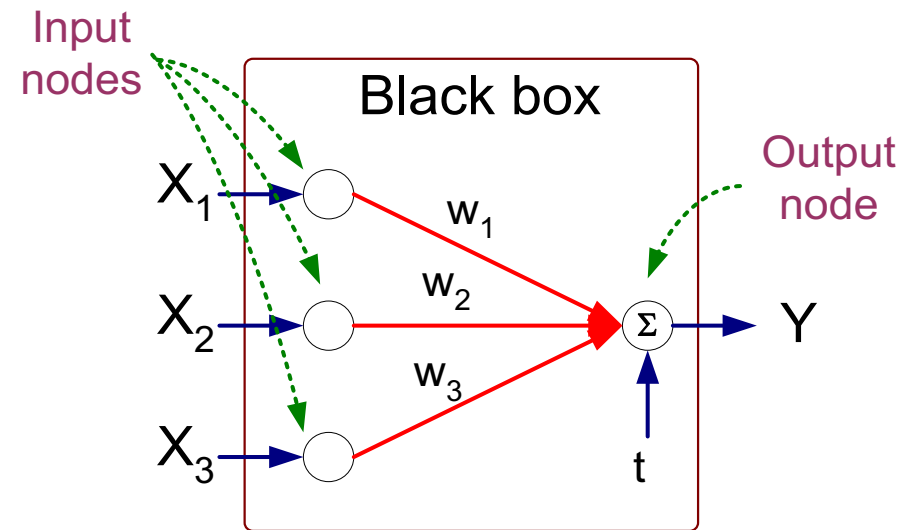


$$Y = \text{sign}(0.3X_1 + 0.3X_2 + 0.3X_3 - 0.4)$$

$$\text{where } \text{sign}(x) = \begin{cases} 1 & \text{if } x \geq 0 \\ -1 & \text{if } x < 0 \end{cases}$$

# Artificial Neural Networks (ANN)

- Model is an assembly of inter-connected nodes and weighted links
- Output node sums up each of its input value according to the weights of its links
- Compare output node against some threshold  $t$  (also named bias  $b$ )



$$Y = \text{sign}\left(\sum_{i=1}^d w_i X_i - t\right)$$
$$= \text{sign}\left(\sum_{i=0}^d w_i X_i\right)$$

# Characterizing the Artificial Neuron

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- Input/Output signal may be.
  - Real value.
  - Unipolar  $\{0, 1\}$ .
  - Bipolar  $\{-1, +1\}$ .
- **Weight** ( $w$  or  $\sigma$ ):  $\vartheta_{ij}$  – strength of connection from unit  $j$  to unit  $i$
- Learning amounts to **adjusting the weights**  $\vartheta_{ij}$  by means of an **optimization algorithm** aiming to minimize a cost function, i.e., as in biological systems training a perceptron model amounts to adapting the weights of the links until they fit the input output relationships of the underlying data.

# Characterizing the Artificial Neuron

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- The bias  $b$  is a constant that can be written as  $\vartheta_{i0}x_0$  with  $x_0 = 1$  and  $\vartheta_{i0} = b$  such that

$$net_i = \sum_{j=0}^n \vartheta_{ij}x_j$$

- The function  $f(net_i(x))$  is the unit's **activation function**. In the simplest case,  $f$  is the identity function, and the unit's output is just its net input. This is called a **linear unit**. Otherwise we can have a **sign unit**, or a **logistic unit**.

# The Perceptron Classifier

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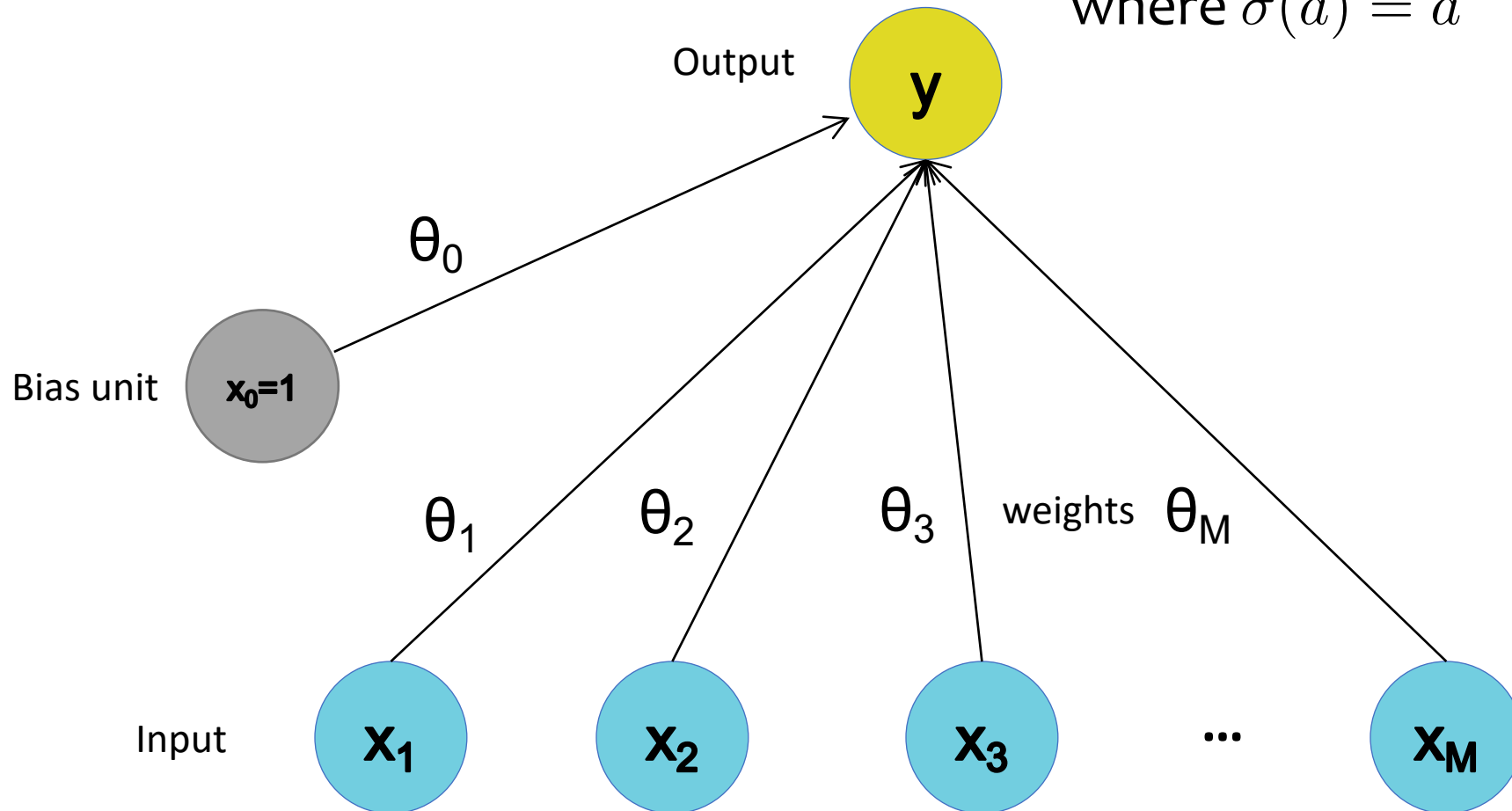


# A Simple Linear Neuron

$$y = h_{\theta}(\mathbf{x}) = \sigma(\theta_{\text{net}}^T \mathbf{x})$$

where  $\sigma(a) = a$

Linear activation function



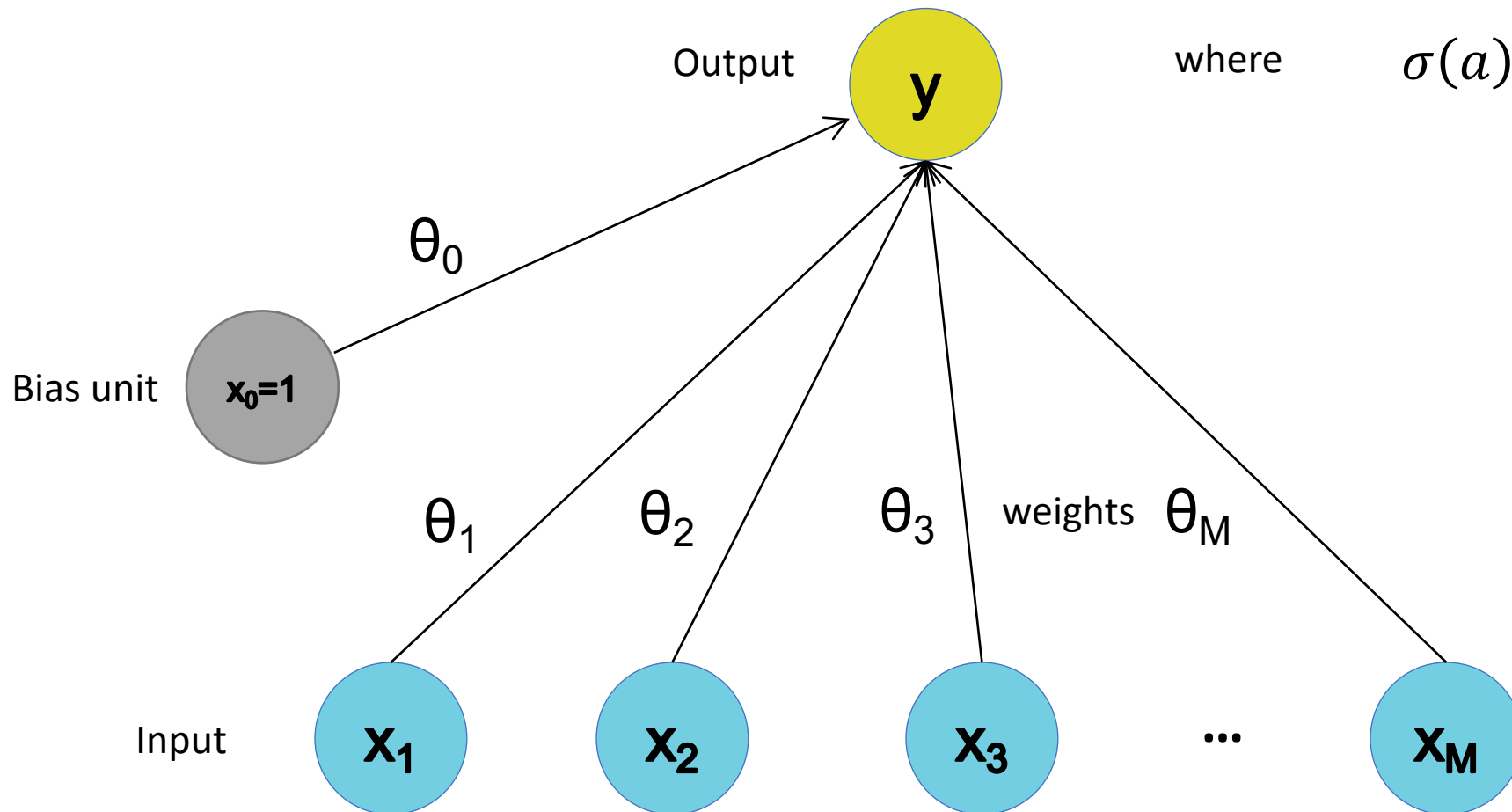
# Linear Threshold Unit (a.k.a. Perceptron)

$$y = h_{\theta}(\mathbf{x}) = \sigma(\theta_{\text{net}}^T \mathbf{x})$$

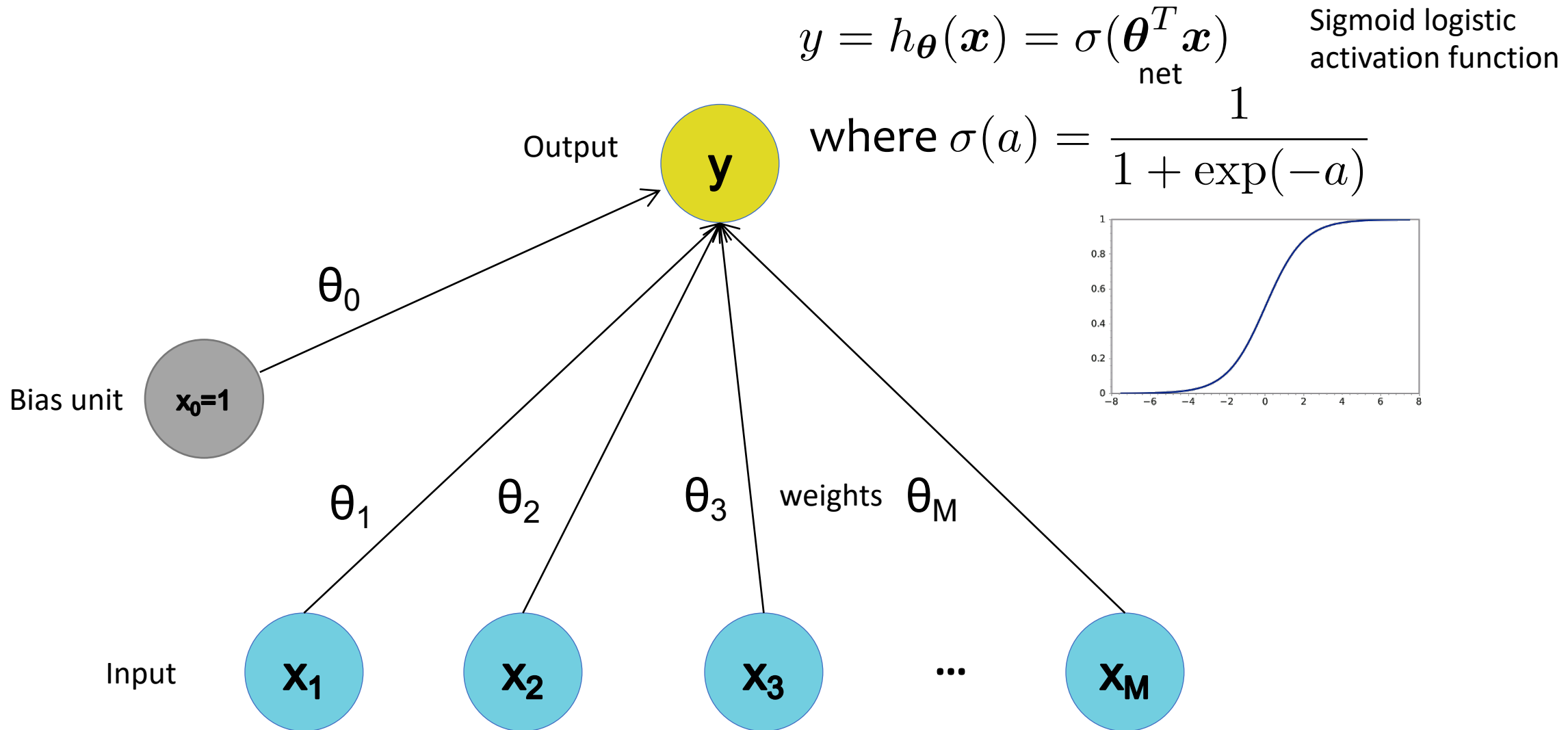
Sign activation  
function

where

$$\sigma(a) = \begin{cases} +1 & a \geq 0 \\ -1 & a < 0 \end{cases}$$



# The Logistic Neuron



# Perceptron

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- Single layer network
  - Contains only input and output nodes
- Activation function:  $f = \text{sign}(w \bullet x)$
- Applying model is straightforward

$$Y = \text{sign}(0.3X_1 + 0.3X_2 + 0.3X_3 - 0.4)$$

$$\text{where } \text{sign}(x) = \begin{cases} 1 & \text{if } x \geq 0 \\ -1 & \text{if } x < 0 \end{cases}$$

- $X_1 = 1, X_2 = 0, X_3 = 1 \Rightarrow y = \text{sign}(0.2) = 1$

# Learning Iterative Procedure

- During the training phase the weight parameters are adjusted until the outputs of the perceptron become consistent with the true outputs of the training examples.
- Initialize the weights ( $w_0, w_1, \dots, w_m$ )
- Repeat
  - For each training example ( $x_i, y_i$ )
    - Compute  $f(w^{(k)}, x_i)$
    - Update the weights:  $w^{(k+1)} = w^{(k)} + \lambda [y_i - f(w^{(k)}, x_i)] x_i$
- Until stopping condition is met

Iteration index

$$w^{(k+1)} = w^{(k)} + \lambda [y_i - f(w^{(k)}, x_i)] x_i$$

Learning rate

# Perceptron Learning Rule

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- Weight update formula:

$$w^{(k+1)} = w^{(k)} + \lambda [y_i - f(w^{(k)}, x_i)] x_i ; \lambda : \text{learning rate}$$

- Intuition:

- Update weight based on error:  $e = [y_i - f(w^{(k)}, x_i)]$
- If  $y=f(x,w)$ ,  $e=0$ : no update needed
- If  $y>f(x,w)$ ,  $e=2$ : weight must be increased so that  $f(x,w)$  will increase
- If  $y<f(x,w)$ ,  $e=-2$ : weight must be decreased so that  $f(x,w)$  will decrease

# The Learning Rate

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- Is a parameter with value between 0 and 1 used to control the amount of adjustment made in each iteration.
- If is close to 0 the new weight is mostly influenced by the value of the old weight.
- If it is close to 1, then the new weight is mostly influenced by the current adjustment.
- The learning rate can be adaptive: initially moderately large and the gradually decreases in subsequent iterations.

# Example of Perceptron Learning

$$w^{(k+1)} = w^{(k)} + \lambda [y_i - f(w^{(k)}, x_i)] x_i$$

$$Y = \text{sign}\left(\sum_{i=0}^d w_i X_i\right)$$

$$\lambda = 0.1$$

X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>	Y
1	0	0	-1
1	0	1	1
1	1	0	1
1	1	1	1
0	0	1	-1
0	1	0	-1
0	1	1	1
0	0	0	-1

	w <sub>0</sub>	w <sub>1</sub>	w <sub>2</sub>	w <sub>3</sub>
0	0	0	0	0
1	-0.2	-0.2	0	0
2	0	0	0	0.2
3	0	0	0	0.2
4	0	0	0	0.2
5	-0.2	0	0	0
6	-0.2	0	0	0
7	0	0	0.2	0.2
8	-0.2	0	0.2	0.2

Epoch	w <sub>0</sub>	w <sub>1</sub>	w <sub>2</sub>	w <sub>3</sub>
0	0	0	0	0
1	-0.2	0	0.2	0.2
2	-0.2	0	0.4	0.2
3	-0.4	0	0.4	0.2
4	-0.4	0.2	0.4	0.4
5	-0.6	0.2	0.4	0.2
6	-0.6	0.4	0.4	0.2



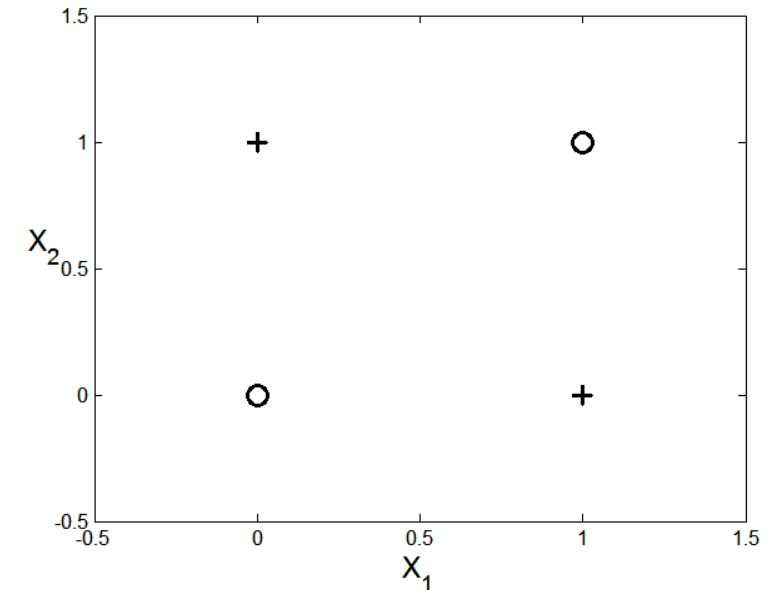
# Nonlinearly Separable Data

- Since  $f(w,x)$  is a linear combination of input variables, decision boundary is linear.
- For nonlinearly separable problems, the perceptron fails because no linear hyperplane can separate the data perfectly.
- An example of nonlinearly separable data is the XOR function.

XOR Data

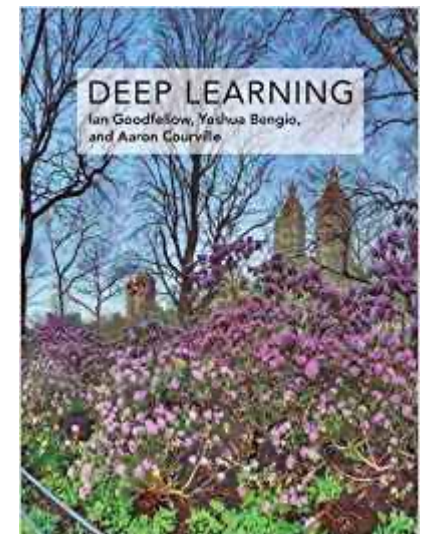
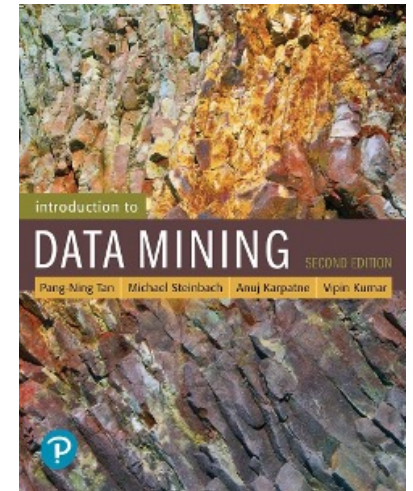
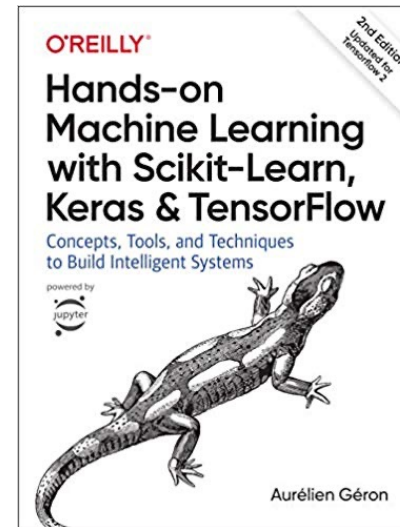
$x_1$	$x_2$	$y$
0	0	-1
1	0	1
0	1	1
1	1	-1

$$y = x_1 \oplus x_2$$



# References

- Artificial Neural Network. Chapter 5.4 and 5.5. Introduction to Data Mining.
- Hands-on Machine Learning with Scikit-Learn, Keras & Tensorflow. A practical handbook to start wrestling with Machine Learning models (2nd ed).
- Deep Learning. Ian Goodfellow, Yoshua Bengio, and Aaron Courville. The reference book for deep learning models.

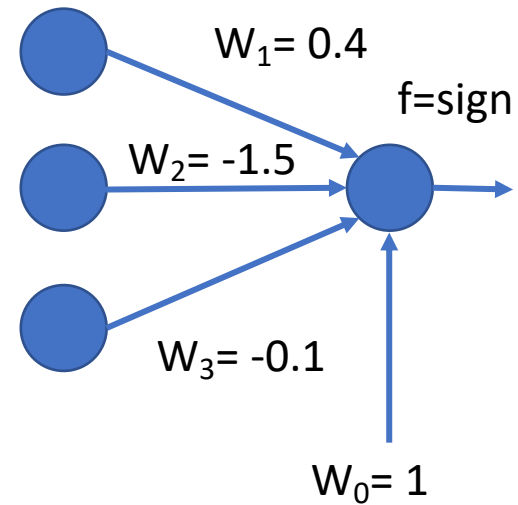


# Exercises - Perceptron

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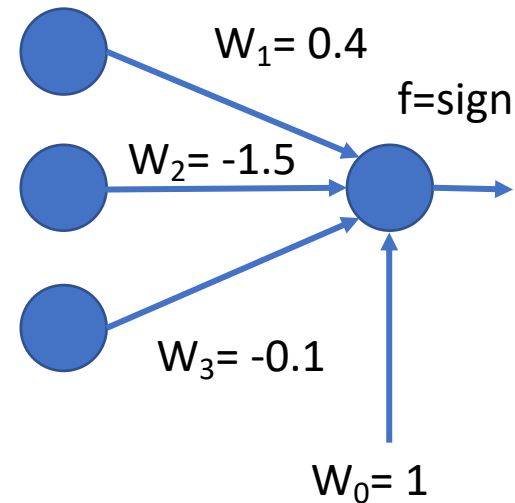
# Predict with Perceptron

id	$X_1$	$X_2$	$X_3$	Y
1	0	0	0	
2	1	1	1	
3	1	0	1	
4	0	2	0	



# Predict with Perceptron - Solution

id	X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>	Y
1	0	0	0	1
2	1	1	1	-1
3	1	0	1	1
4	0	2	0	-1



$$Y_1 = \text{sign}(1 + 0.4 * 0 + -1.5 * 0 + -0.1 * 0) = \text{sign}(1) = 1$$

$$Y_2 = \text{sign}(1 + 0.4 * 1 + -1.5 * 1 + -0.1 * 1) = \text{sign}(-0.2) = -1$$

$$Y_3 = \text{sign}(1 + 0.4 * 1 + -1.5 * 0 + -0.1 * 0) = \text{sign}(1.3) = 1$$

$$Y_4 = \text{sign}(1 + 0.4 * 0 + -1.5 * 2 + -0.1 * 0) = \text{sign}(-2) = -1$$

















# Train Linear Perceptron

id	$X_1$	$X_2$	Y
a	0	1	-1
b	0	0	1
c	1	2	-1

Lambda = 0.3

$f = \text{sign}$

it	$W_0$	$W_1$	$W_2$	X.W	f(X.W)	error	$\text{delta}_0$	$\text{delta}_1$	$\text{delta}_2$
1	-1	0	0	-1	-1	0	0	0	0
2	-1								
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									























# Train Linear Perceptron - Solution

id	$X_1$	$X_2$	Y
a	0	1	-1
b	0	0	1
c	1	2	-1

Lambda = 0.3

f = sign

it	$W_0$	$W_1$	$W_2$	X.W	f(X.W)	error	$\delta_{w_0}$	$\delta_{w_1}$	$\delta_{w_2}$
1	-1	0	0	-1	-1	0	0	0	0
2	-1	0	0	-1	-1	2	0,6	0	0
3	-0,4	0	0	-0,4	-1	0	0	0	0
4	-0,4	0	0	-0,4	-1	0	0	0	0
5	-0,4	0	0	-0,4	-1	2	0,6	0	0
6	0,2	0	0	0,2	1	-2	-0,6	-0,6	-1,2
7	-0,4	-0,6	-1,2	-1,6	-1	0	0	0	0
8	-0,4	-0,6	-1,2	-0,4	-1	2	0,6	0	0
9	0,2	-0,6	-1,2	-2,8	-1	0	0	0	0
10	0,2	-0,6	-1,2	-1	-1	0	0	0	0
11	0,2	-0,6	-1,2	0,2	1	0	0	0	0
12	0,2	-0,6	-1,2	-2,8	-1	0	0	0	0