# DATA MINING 2 Sequential Pattern Mining

Riccardo Guidotti

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### Examples of Sequence

Sequence of different transactions by a customer at an online store:

```
< {Digital Camera,iPad} {memory card} {headphone,iPad cover} >
```

• Sequence of events causing the nuclear accident at 3-mile Island:

```
(http://stellar-one.com/nuclear/staff_reports/summary_SOE_the_initiating_event.htm)
```

- < {clogged resin} {outlet valve closure} {loss of feedwater} {condenser polisher outlet valve shut} {booster pumps trip} {main waterpump trips} {main turbine trips} {reactor pressure increases}>
- Sequence of books checked out at a library:

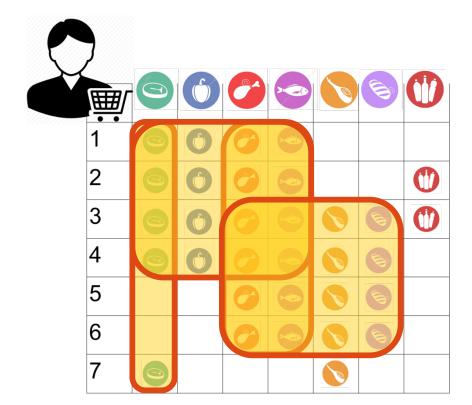
```
<{Fellowship of the Ring} {The Two Towers} {Return of the King}>
```

### From Itemsets to Sequences

- Frequent itemsets and association rules focus on transactions and the items that appear there
- Databases of transactions usually have a temporal information
  - Sequential patterns exploit it
- Example data:
  - Market basket transactions
  - Web server logs
  - Tweets
  - Workflow production logs

### Frequent Patterns

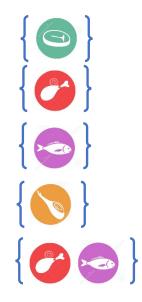
- Events or combinations of events that appear frequently in the data
- E.g. items bought by customers of a supermarket

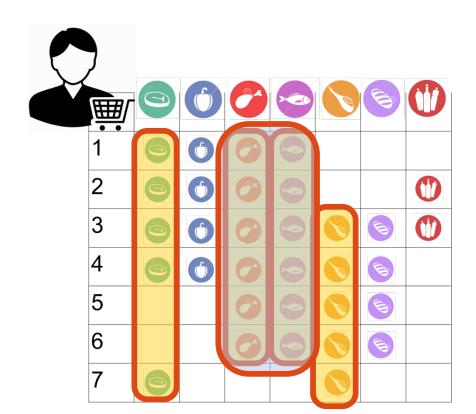


### Frequent Patterns

• Frequent itemsets w.r.t. minimum threshold

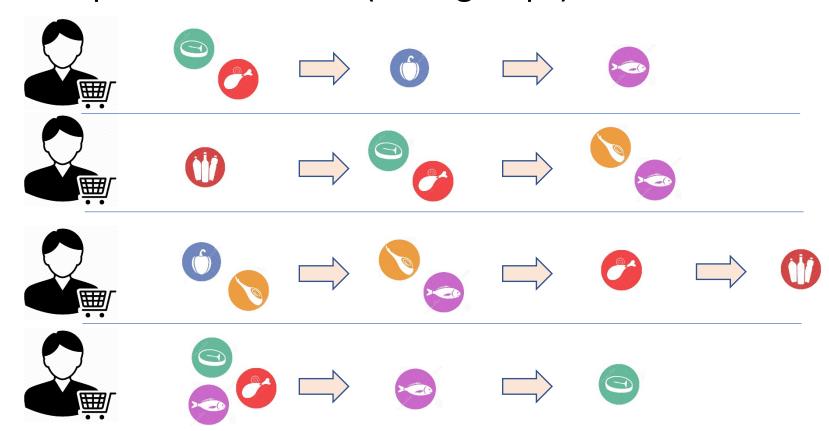
• E.g. with Min\_freq = 5





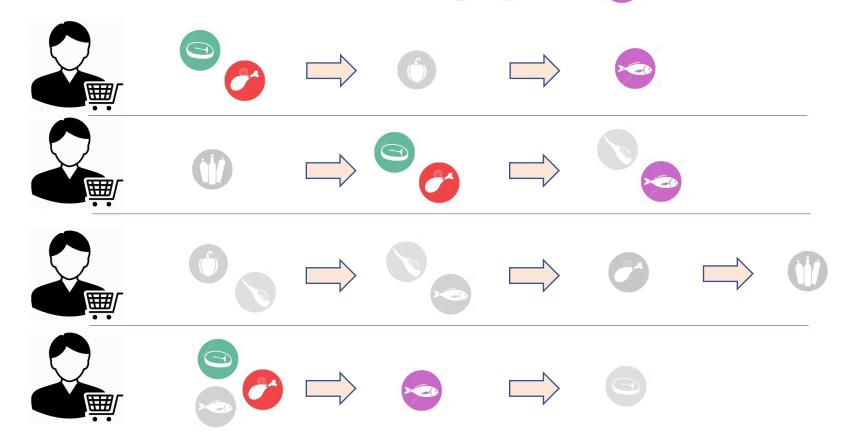
### Frequent Patterns in Complex Domains

- Frequent sequences (a.k.a. Sequential patterns)
- Input: sequences of events (or of groups)



### Frequent Patterns in Complex Domains

- Objective: identify sequences that occur frequently
  - Sequential pattern: { 🕥 💪 🖒



### Sequential Pattern Discovery: Examples

- In telecommunications alarm logs,
  - -Inverter\_Problem:

```
(Excessive_Line_Current) (Rectifier_Alarm) --> (Fire_Alarm)
```

- In point-of-sale transaction sequences,
  - –Computer Bookstore:

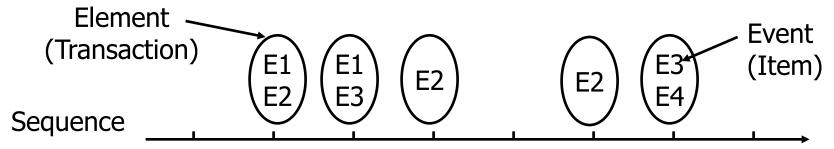
```
(Intro_To_Visual_C) (C++_Primer) --> (Perl_for_dummies,Tcl_Tk)
```

–Athletic Apparel Store:

```
(Shoes) (Racket, Racketball) --> (Sports_Jacket)
```

### Sequence Data and Terminology

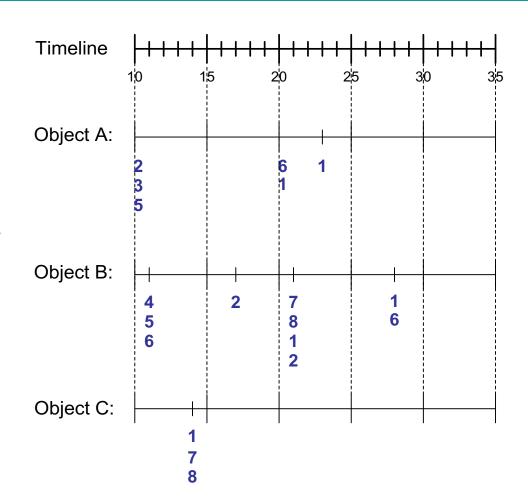
Sequence Database	Sequence	Element (Transaction)	Event (Item)
Customer	Purchase history of a given customer	A set of items bought by a customer at time t	Books, diary products, CDs, etc
Web Data	Browsing activity of a particular Web visitor	A collection of files viewed by a Web visitor after a single mouse click	Home page, index page, contact info, etc
Event data	History of events generated by a given sensor	Events triggered by a sensor at time t	Types of alarms generated by sensors
Genome sequences	DNA sequence of a particular species	An element of the DNA sequence	Bases A,T,G,C



### Sequence Data

#### **Sequence Database:**

Object	Timestamp	Events
Α	10	2, 3, 5
Α	20	6, 1
Α	23	1
В	11	4, 5, 6
В	17	2
В	21	7, 8, 1, 2
В	28	1, 6
С	14	1, 8, 7



# Sequential Pattern Mining

### Formal Definition of a Sequence

A sequence is an ordered list of elements (transactions)

$$S = \langle e_1 e_2 e_3 ... \rangle$$

- Each element is attributed to a specific time or location
- Each element contains a collection of events (items)

$$e_i = \{i_1, i_2, ..., i_k\}$$

- Length of a sequence, |s|, is given by the number of elements of the sequence
- A k-sequence is a sequence that contains k events (items)

### Formal Definition of a Sequence

#### Example

```
S = \langle \{A,B\}, \{B,E,F\}, \{A\}, \{E,F,H\} \rangle
```

- Length of s: |s| = 4 elements
- s is a 9-sequence
- Times associated to elements:
  - $\{A,B\} \rightarrow time=0$
  - $\{B,E,F\} \rightarrow time = 120$
  - $\{A\} \rightarrow time = 130$
  - $\{E,F,H\} \rightarrow time = 200$

### Sequences without Explicit Time Info

- Default: time of element = position in the sequence
- Example

$$S = \langle \{A,C\}, \{E\}, \{A,F\}, \{E,G,H\} \rangle$$

- Default times associated to elements:
  - $\{A,C\} \rightarrow time=0$
  - $\{E\} \rightarrow time = 1$
  - $\{A,F\} \rightarrow time = 2$
  - $\{E,G,H\} \rightarrow time = 3$

### **Examples of Sequence**

Web sequence:

Singleton elements

- < {Homepage} {Electronics} {Digital Cameras} {Canon Digital Camera} {Shopping Cart} {Order Confirmation} {Return to Shopping} >
- Sequence of events causing the nuclear accident at 3-mile Island: (http://stellar-one.com/nuclear/staff\_reports/summary\_SOE\_the\_initiating\_event.htm)
- < {clogged resin & outlet valve closure} {loss of feedwater}
   {condenser polisher outlet valve shut} {booster pumps trip}
   {main waterpump trips & main turbine trips & reactor pressure increases}>

Complex elements

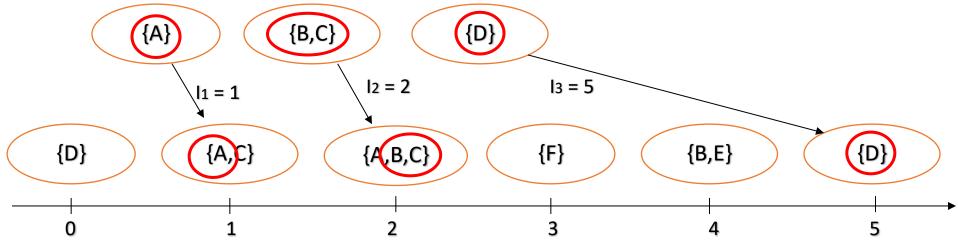
Sequence of books checked out at a library:

<{Fellowship of the Ring} {The Two Towers} {Return of the King}>

Singleton elements

### Formal Definition of a Subsequence

• A sequence  $\langle a_1 a_2 ... a_n \rangle$  is contained in another sequence  $\langle b_1 b_2 ... b_m \rangle$  ( $m \geq n$ ) if there exist integers  $i_1 < i_2 < ... < i_n$  such that  $a_1 \subseteq b_{i1}$ ,  $a_2 \subseteq b_{i1}$ , ...,  $a_n \subseteq b_{in}$ 



Data sequence	Subsequence	Contain?
< {2,4} {3,5,6} {8} >	< {2} {3,5} >	
< {1,2} {3,4} >	< {1} {2} >	
< {2,4} {2,4} {2,5} >	< {2} {4} >	

### Formal Definition of Sequential Pattern

• The **support** of a subsequence w is the fraction of data sequences that contain w

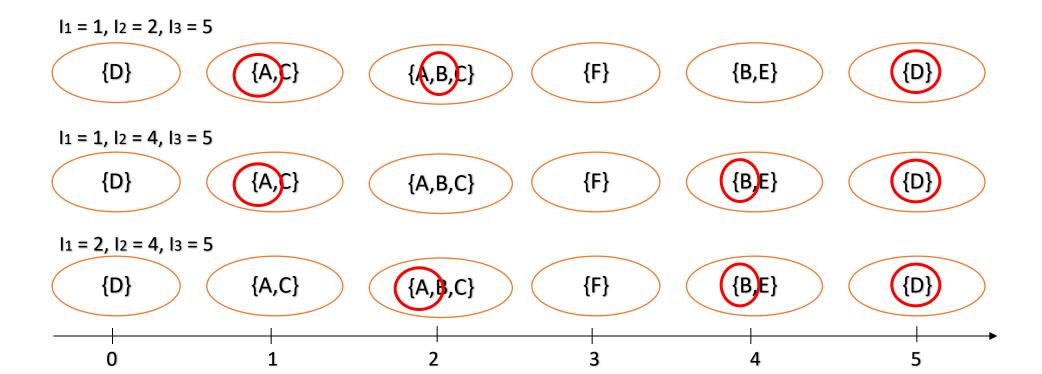
subsequence w: {B,C} {D} {A} Input sequences: {D} {D}  $\{A,B,C\}$ {F} {D} {D} {A}  $\{A,B,D\}$ V {D} {A,C}  $\{B,C\}$ {D} {B,E} X {D} {B,C} {F} {D} 5 0

**support** of w: 2/4 = 0.50 (50%)

- A sequential pattern
  - is a **frequent** subsequence
  - i.e., a subsequence whose support is ≥ *minsup*

### Formal Definition of Sequential Pattern

- Remark: a subsequence (i.e. a candidate pattern) might be mapped into a sequence in several different ways
  - Each mapping is an **instance** of the subsequence
  - In mining sequential patterns we need to find only one instance



{A}

{B}

{D}

### Exercise 1

find instances/occurrence of the following patterns

in the input sequence below

$$\{A,C\}$$
  $\{C,D\}$   $\{F,H\}$   $\{A,B\}$   $\{B,C,D\}$   $\{E\}$   $\{A,B,D\}$   $\{F\}$  >  $t=0$   $t=1$   $t=2$   $t=3$   $t=4$   $t=5$   $t=6$   $t=7$ 

### Exercise 2

find instances/occurrence of the following patterns

in the input sequence below

$$\{A,C\}$$
  $\{C,D,E\}$   $\{F\}$   $\{A,H\}$   $\{B,C,D\}$   $\{E\}$   $\{A,B,D\}$  >  $t=0$   $t=1$   $t=2$   $t=3$   $t=4$   $t=5$   $t=6$ 

### Sequential Pattern Mining: Definition

- Given:
  - a database of sequences
  - a user-specified minimum support threshold, minsup

- Task:
  - Find all subsequences with support ≥ minsup

### Sequential Pattern Mining: Challenge

- Trivial approach: generate all possible k-subsequences, for k=1,2,3,...
   and compute support
- Combinatorial explosion!
  - With frequent itemsets mining we had:
    - N. of k-subsets =  $\binom{n}{k}$  n = n. of distinct items in the data
  - With sequential patterns:
    - N. of k-subsequences =  $n^k$
    - The same item can be repeated:
      - < {A} {A} {B} {A} ... >

### Sequential Pattern Mining: Challenge

- Even if we generate them from input sequences
  - E.g.: Given a n-sequence: <{a b} {c d e} {f} {g h i}>
    - Examples of subsequences:

$$\{a\} \{c d\} \{f\} \{g\} >, \{c d e\} >, \{b\} \{g\} >, etc.$$

Number of k-subsequences can be extracted from it

Answer:

$$\binom{n}{k} = \binom{9}{4} = 126$$

### Sequential Pattern Mining: Example

Object	Timestamp	Events
Α	1	1,2,4
Α	2	2,3
Α	3	5
В	1	1,2
В	2	2,3,4
С	1	1, 2
С	2	2,3,4
С	3	2,4,5
D	1	2
D	2	3, 4
D	3	4, 5
E	1	1, 3
Е	2	2, 4, 5

*Minsup* = 50%

#### Examples of Frequent Subsequences:

```
< \{1,2\} > s=60\%

< \{2,3\} > s=60\%

< \{2,4\} > s=80\%

< \{3\} \{5\} > s=80\%

< \{1\} \{2\} > s=80\%

< \{2\} \{2\} > s=60\%

< \{1\} \{2,3\} > s=60\%

< \{2\} \{2,3\} > s=60\%

< \{1,2\} \{2,3\} > s=60\%
```

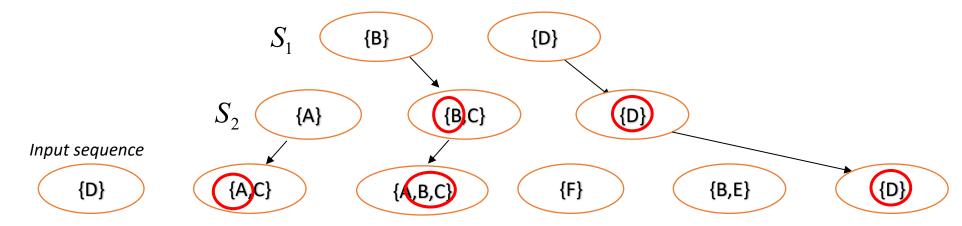
# Generalized Sequential Pattern

### Generalized Sequential Pattern (GSP)

- Follows the same structure of Apriori
  - Start from short patterns and find longer ones at each iteration
- Based on "Apriori principle" or "anti-monotonicity of support"
  - If one sequence S1 is contained in sequence S2, then the support of S2 cannot be larger than that of S1:

$$S_1 \subseteq S_2 \Rightarrow \sup(S_1) \ge \sup(S_2)$$

- Intuitive proof
  - Any input sequence that contains S2 will also contain S1



### Generalized Sequential Pattern (GSP)

#### Follows the same structure of Apriori

Start from short patterns and find longer ones at each iteration

#### Step 1:

- Make the first pass over the sequence database D to yield all the 1-element frequent sequences
- Step 2: Repeat until no new frequent sequences are found:
  - Candidate Generation:
    - Merge pairs of frequent subsequences found in the (k-1)th pass to generate candidate sequences that contain k items

#### Candidate Pruning:

Prune candidate k-sequences that contain infrequent (k-1)-subsequences

#### Support Counting:

Make a new pass over the sequence database D to find the support for these candidate sequences

#### Candidate Elimination:

• Eliminate candidate k-sequences whose actual support is less than minsup

### **Extracting Sequential Patterns**

- Given n events: i<sub>1</sub>, i<sub>2</sub>, i<sub>3</sub>, ..., i<sub>n</sub>
  - Candidate 1-subsequences:

$$\langle \{i_1\} \rangle, \langle \{i_2\} \rangle, \langle \{i_3\} \rangle, ..., \langle \{i_n\} \rangle$$

Candidate 2-subsequences:

Candidate 3-subsequences:

Remark: events within a element are ordered

•YES: 
$$\langle i_1, i_2, i_3 \rangle$$
 NO:  $\langle i_3, i_1, i_2 \rangle$ 

### **Candidate Generation**

- Base case (k=2):
  - Merging two frequent 1-sequences  $<\{i_1\}>$  and  $<\{i_2\}>$  will produce two candidate 2-sequences:  $<\{i_1\}>$  and  $<\{i_1,i_2\}>$
  - Special case: i<sub>1</sub> can be merged with itself: <{i<sub>1</sub>} {i<sub>1</sub>}>
- General case (k>2):
  - A frequent (k-1)-sequence  $w_1$  is merged with another frequent (k-1)-sequence  $w_2$  to produce a candidate k-sequence if the subsequence obtained by removing the **first event in w\_1** is the same as the one obtained by removing the **last event in w\_2** 
    - The resulting candidate after merging is given by the sequence  $w_1$  extended with the last event of  $w_2$ .
      - Simplest case:  $<\{d\}\{a\}\{b\}> + <\{a\}\{b\}\{c\}> = <\{d\}\{a\}\{b\}\{c\}>$
      - If last two events in  $w_2$  belong to the same element => last event in  $w_2$  becomes part of the last element in  $w_1$ : <{d}{a}{b}> + <{a}{b,c}> = <{d}{a}{b,c}>
      - Otherwise, the last event in  $w_2$  becomes a separate element appended to the end of  $w_1$ :  $<\{a,d\}\{b\}>+<\{d\}\{b\}\{c\}>=<\{a,d\}\{b\}\{c\}>$
  - Special case: check if w₁ can be merged with itself
    - Works when it contains only one event type: < {a} {a}> + <{a} {a}> = < {a} {a}>

### Candidate Generation Examples

- Merging the sequences  $w_1 = <\{1\} \{2\ 3\} \{4\} > \text{ and } w_2 = <\{2\ 3\} \{4\ 5\} >$
- will produce the candidate sequence  $< \{1\} \{2\ 3\} \{4\ 5\}$  because the last two events in  $w_2$  (4 and 5) belong to the same element
- Merging the sequences  $w_1 = <\{1\} \{2\ 3\} \{4\} > \text{ and } w_2 = <\{2\ 3\} \{4\} \{5\} >$
- will produce the candidate sequence <  $\{1\}$   $\{2\ 3\}$   $\{4\}$   $\{5\}$ > because the last two events in  $w_2$  (4 and 5) do not belong to the same element
- Can we merge  $w_1 = <\{1\} \{2 \ 6\} \{4\} > \text{ and } w_2 = <\{1\} \{2\} \{4 \ 5\} > ?$
- We **do not have** to merge the sequences  $w_1 = <\{1\} \{2 \ 6\} \{4\} >$  and  $w_2 = <\{1\} \{2 \ 6\} \{4 \ 5\} >$  to produce the candidate  $<\{1\} \{2 \ 6\} \{4 \ 5\} >$
- Notice that if the latter is a viable candidate, it will be obtained by merging w1 with < {2 6} {4 5}>

### **Candidate Pruning**

#### Based on Apriori principle:

• If a k-sequence W contains a (k-1)-subsequence that is not frequent, then W is not frequent and can be pruned

#### Method:

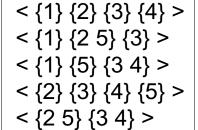
- Enumerate all (k-1)-subsequence:
  - {a,b}{c}{d} → {b}{c}{d}, {a}{c}{d}, {a,b}{d}, {a,b}{c}
- Each subsequence generated by cancelling 1 event in W
  - Number of (k-1)-subsequences = k
- Remark: candidates are generated by merging two "mother" (k-1)-subsequences that we
  know to be frequent
  - Correspond to remove the first event or the last one
  - Number of significant (k-1)-subsequences to test = k − 2
  - Special cases: at step k=2 the pruning has no utility, since the only (k-1)-subsequences are the "mother" ones

### **GSP** Example

# Frequent 3-sequences

< {1} {2} {3} >
< {1} {2 5} >
< {1} {2 5} >
< {1} {5} {3} >
< {2} {3} {4} >
< {2 5} {3} >
< {2 5} {3} >
< {3} {4} >
< {3} {4} {5} >
< {5} {3 4} >

#### Candidate Generation



## Candidate Pruning

< {1} {2 5} {3} >

### **GSP Exercise**

Given the following dataset of sequences

ID	Sequence				
1	a b	$\rightarrow$	a	$\rightarrow$	b
2	b	$\rightarrow$	a	$\rightarrow$	c d
3	а	$\rightarrow$	b		
4	а	$\rightarrow$	а	$\rightarrow$	b d

Generate sequential patterns if min\_sup = 35%

### **GSP Exercise - Solution**

ID	Sequence				
1	a b	$\rightarrow$	а	$\rightarrow$	b
2	b	$\rightarrow$	а	$\rightarrow$	c d
3	а	$\rightarrow$	b		
4	а	$\rightarrow$	а	$\rightarrow$	b d

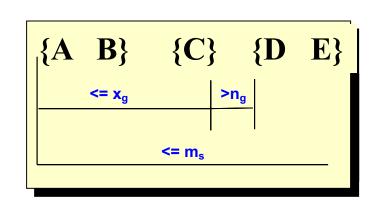
	S	eque	ntial pa	attern	Support
а					100 %
b					100 %
d					50 %
а	$\rightarrow$	а			50 %
а	$\rightarrow$	b			75 %
а	$\rightarrow$	d			50 %
b	$\rightarrow$	а			50 %
а	$\rightarrow$	а	$\rightarrow$	b	50 %

### **Timing Constraints**

- Motivation by examples:
- Sequential Pattern {milk} → {cookies}
  - It might suggest that cookies are bought to better enjoy milk
  - Yet, we might obtain it even if all customers by milk and after 6 months buy cookies, in which case our interpretation is wrong
- {cheese A} → {cheese B}
  - Does it mean that buying and eating cheese A induces the customer to try also cheese B (e.g. by the same brand)?
  - Maybe, yet if they are bought within 20 minutes it is like that they were to be bought together (and the customer forgot it)
- {buy PC}  $\rightarrow$  {buy printer}  $\rightarrow$  {ask for repair}
  - Is it a good or bad sign?
  - It depends on how much time the whole process took:
    - Short time => issues, Long time => OK, normal life cycle

### **Timing Constraints**

- Define 3 types of constraint on the instances to consider
  - E.g. ask that the pattern instances last no more than 30 days



x<sub>g</sub>: max-gap

→ Each element of the pattern instance must be at most x<sub>g</sub> time after the previous one

n<sub>g</sub>: min-gap

→ Each element of the pattern instance must be at least n<sub>g</sub> time after the previous one

 $m_s$ : maximum span  $\rightarrow$  instance

The overall duration of the pattern instance must be at most **m**<sub>s</sub>

$$x_g = 2$$
,  $n_g = 0$ ,  $m_s = 4$ 

consecutive elements at most distance 2 & overall duration at most 4 time units

Data sequence	Subsequence	Contain?
< {2,4} {3,5,6} {4,7} {4,5} {8} >	< {6} {5} >	
< {1} {2} {3} {4} {5}>	< {1} {4} >	
< {1} {2,3} {3,4} {4,5}>	< {2} {3} {5} >	
< {1,2} {3} {2,3} {3,4} {2,4} {4,5}>	< {1,2} {5} >	

#### Mining Sequential Patterns with Timing Constraints

#### Approach 1:

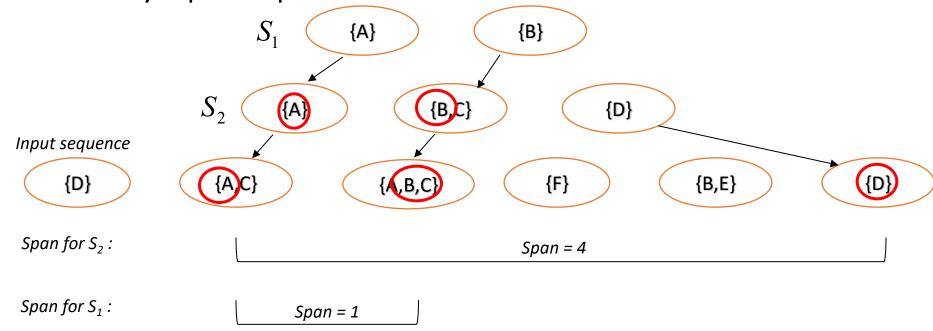
- Mine sequential patterns without timing constraints
- Postprocess the discovered patterns
- Dangerous: might generate billions of sequential patterns to obtain only a few time-constrained ones

#### Approach 2:

- Modify GSP to directly prune candidates that violate timing constraints
- Question:
  - Does Apriori principle still hold?

## Apriori Principle with Time Constraints

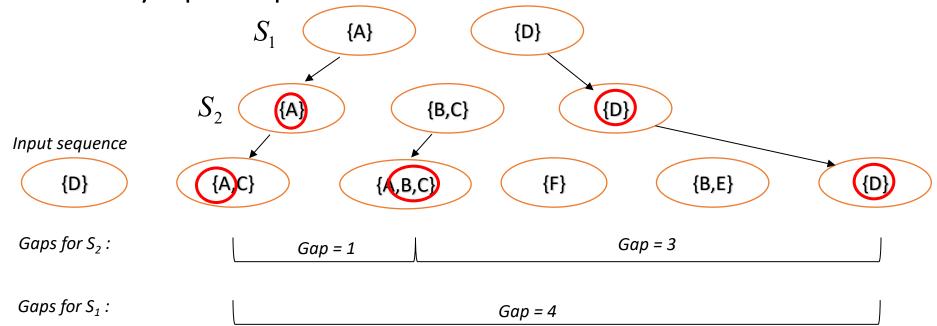
- Case 1: max-span
- Intuitive check
  - Does any input sequence that contains S2 will also contain S1?



- When S1 has less elements, S1 span can (only) decrease
  - If S2 span is OK, then also S1 span is OK

## Apriori Principle with Time Constraints

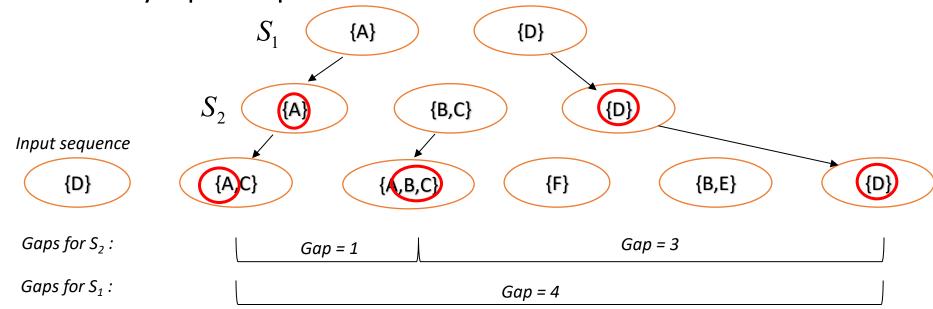
- Case 2: min-gap
- Intuitive check
  - Does any input sequence that contains S2 will also contain S1?



- When S1 has less elements, gaps for S1 can (only) increase
  - If S2 gaps are OK, they are OK also for S1

## Apriori Principle with Time Constraints

- Case 3: max-gap
- Intuitive check
  - Does any input sequence that contains S2 will also contain S1?



- When S1 has less elements, gaps for S1 can (only) increase
  - Happens when S1 has lost an internal element w.r.t. S2
  - Even if S2 gaps are OK, S1 gaps might grow too large w.r.t. max-gap

## Apriori Principle for Sequence Data

Object	Timestamp	Events
Α	1	1,2,4
Α	2	2,3
Α	3	5
В	1	1,2
В	2	2,3,4
С	1	1, 2
С	2	2,3,4 2,4,5
С	3	2,4,5
D	1	2
D	2	3, 4
D	3	4, 5
E	1	1, 3
E	2	2, 4, 5

#### Suppose:

$$x_g = 1 \text{ (max-gap)}$$
 $n_g = 0 \text{ (min-gap)}$ 
 $m_s = 5 \text{ (maximum span)}$ 
 $minsup = 60\%$ 

<{2} {5}> support = 40%

but

<{2} {3} {5}> support = 60%

Problem exists because of max-gap constraint

No such problem if max-gap is infinite

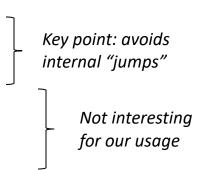
# Contiguous Subsequences

s is a contiguous subsequence of

$$w = \langle e_1 \rangle \langle e_2 \rangle ... \langle e_k \rangle$$

if any of the following conditions hold:

- 1. s is obtained from w by deleting an item from either  $e_1$  or  $e_k$
- 2. s is obtained from w by deleting an item from any element  $e_i$  that contains more than 2 items
- s is a contiguous subsequence of s' and s' is a contiguous subsequence of w (recursive definition)
- Examples:  $s = < \{1\} \{2\} >$ 
  - is a contiguous subsequence of{1} {2 3}>, < {1 2} {2} {3}>, and < {3 4} {1 2} {2 3} {4} >
  - is not a contiguous subsequence of{1} {3} {2}> and < {2} {1} {3} {2}>

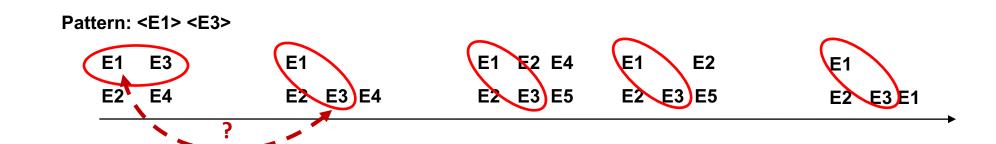


# Modified Candidate Pruning Step

- Without maxgap constraint:
  - A candidate k-sequence is pruned if at least one of its (k-1)-subsequences is infrequent
- With maxgap constraint:
  - A candidate k-sequence is pruned if at least one of its **contiguous** (k-1)-subsequences is infrequent
  - Remark: the "pruning power" is now reduced
    - Less subsequences to test for "killing" the candidate

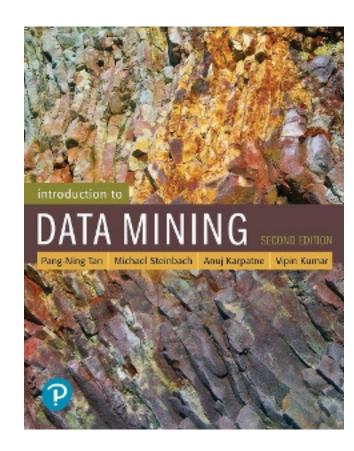
# Other kinds of patterns for sequences

- In some domains, we may have only one very long time series
  - Example:
    - monitoring network traffic events for attacks
    - monitoring telecommunication alarm signals
- Goal is to find frequent sequences of events in the time series
  - Now we have to count "instances", but which ones?
  - This problem is also known as frequent episode mining



### References

• Sequential Pattern Mining. Chapter 7. Introduction to Data Mining.



# **Exercises SPM**

a) (3 points) Given the following input sequence

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering min-gap = 1 (i.e. gap > 1, right column). Each occurrence should be represented by its corresponding list of time stamps, e.g..: <0,2,3>=<t=0, t=2, t=3>.

	Occurrences	Occurrences with min-gap =1
ex.: <{B}{E}>	<1,2> <1,6> <3,6>	<1,6><3,6>
$w_1 = \{A\} \{B\} \{E\} >$		
$w_2 = \{B\}\{D\} >$		
$w_3 = \{F\}\{E\}\{C,D\}>$		

a) (3 points) Given the following input sequence

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering min-gap = 1 (i.e. gap > 1, right column). Each occurrence should be represented by its corresponding list of time stamps, e.g..: <0,2,3>=<t=0, t=2, t=3>.

Answer:				
	<b>Occurrences</b>	Occurrences with min-gap =1		
$ex.: <{B}{E}>$	<1,2> <1,6> <3,6>	<1,6><3,6>		
$w_1 = \{A\} \{B\} \{E\} >$				
$w_2 = \langle \{B\}\{D\} \rangle$				
$w_3 = \langle \{F\} \{E\} \{C,D\} \rangle$				

## Sequential Pattern – Exercise 1 – Solution

a) (3 points) Given the following input sequence

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering min-gap = 1 (i.e. gap > 1, right column). Each occurrence should be represented by its corresponding list of time stamps, e.g..: <0,2,3>=<t=0, t=2, t=3>.

Answer:		
	<b>Occurrences</b>	Occurrences with min-gap =1
ex.: <{B}{E}>	<1,2> <1,6> <3,6>	<1,6><3,6>
$w_1 = \langle \{A\} \{B\} \{E\} \rangle$	<0,1,2> <0,1,6> <0,3,6>	<0,3,6>
$\mathbf{w}_2 = \langle \{\mathbf{B}\}\{\mathbf{D}\}\rangle$	<1,4> <1,7> <3,4> <3,7> <6,7>	<1,4> <1,7> <3,7>
$w_3 = \langle \{F\} \{E\} \{C,D\} \rangle$	<1,2,4> <1,2,7> <1,6,7> <5,6,7>	none

a) (3 points) Given the following input sequence

$$\{B,F\}$$
  $\{A\}$   $\{A,B\}$   $\{C,D,F\}$   $\{E\}$   $\{B,E\}$   $\{C,D\}$  >  $t=0$   $t=1$   $t=2$   $t=3$   $t=4$   $t=5$   $t=6$ 

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering max-gap = 4 (i.e. gap  $\le$  4, right column). Each occurrence should be represented by its corresponding list of time stamps, e.g.: <0,2,3> = <t=0, t=2, t=3>.

	Occurrences	Occurrences with max-gap =4
$w_1 = \{B\} \{E\} >$		
$w_2 = \langle \{B\}\{D\} \rangle$		
$w_3 = \langle \{F\} \{B\} \{C,D\} \rangle$		

## Sequential Pattern – Exercise 2 – Solution

a) **(3 points)** Given the following input sequence

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering max-gap = 4 (i.e. gap  $\le$  4, right column). Each occurrence should be represented by its corresponding list of time stamps, e.g.: <0,2,3> = <t=0, t=2, t=3>.

#### **Answer:**

	Occurrences	Occurrences with max-gap =4
$w_1 = \langle \{B\}   \{E\} \rangle$	<0,4> <0,5> <2,4> <2,5>	<0,4> <2,4> <2,5>
$w_2 = \langle \{B\}\{D\} \rangle$	<0,3><0,6>	<0,3>
	<2,3> <2,6> <5,6>	<2,3> <2,6> <5,6>
$w_3 = \{F\}\{B\}\{C,D\} >$	<0,2,3> <0,2,6> <0,5,6>	<0,2,3> <0,2,6>
	<3,5,6>	<3,5,6>

Given the input sequences listed in the table below (column 1), show for each of them **all the occurrences** of subsequences  $\{A\} \rightarrow \{D\}$  and  $\{A\} \rightarrow \{C,D\}$ , and finally write its total support. Repeat the exercise twice: the first time **considering no temporal constraints** (columns 2 and 4); the second time **considering min-gap = 1** (i.e. gap > 1) (columns 3 and 5). Each occurrence should be represented by its corresponding list of time stamps, e.g.: <0,2,3>=<t=0, t=2, t=3>.

column 1	column 2	column 3	column 4	column 5
	$\{A\} \rightarrow \{D\}$	}	{ <b>B</b> } → {	(C,D)
	No constraints	min- $gap = 1$	No constraints	min- $gap = 1$
$< \{A,B,F\} \{C\} \{C,D,F\} \{E\} \{C,D\} > t=0 t=1 t=2 t=3 t=4$				
< {A,B} {C} {A,B} {C,D} > t=0 t=1 t=2 t=3				
< {F} {A,B,F} {A,B,C,D} {D} {E} {C} > t=0 t=1 t=2 t=3 t=4 t=5				
< {A,F} {B,C} {A,B} {E} {D} > t=0 t=1 t=2 t=3 t=4				
< {A,B,F} {A,C} {A,B,D} {C} {C,D} > t=0 t=1 t=2 t=3 t=4				
Total support:				

### Sequential Pattern – Exercise 3 – Solution

Given the input sequences listed in the table below (column 1), show for each of them **all the occurrences** of subsequences  $\{A\} \rightarrow \{D\}$  and  $\{A\} \rightarrow \{C,D\}$ , and finally write its total support. Repeat the exercise twice: the first time **considering no temporal constraints** (columns 2 and 4); the second time **considering min-gap = 1** (i.e. gap > 1) (columns 3 and 5). Each occurrence should be represented by its corresponding list of time stamps, e.g.: <0,2,3>=< t=0, t=2, t=3>.

column 1	column 2	column 3	column 4	column 5
	$\{A\} \rightarrow \{D\}$	}	{ <b>B</b> } → {	{C,D}
	No constraints	min- $gap = 1$	No constraints	min- $gap = 1$
< {A,B,F} {C} {C,D,F} {E} {C,D} > t=0 t=1 t=2 t=3 t=4	<0,2>, <0,4>	<0,2><0,4>	<0,2>,<0,4>	<0,2>, <0,4>
< {A,B} {C} {A,B} {C,D} > t=0 t=1 t=2 t=3	<0,3><2,3>	<0,3>	<0,3>, <2,3>	<0,3>
< {F} {A,B,F} {A,B,C,D} {D} {E} {C} > t=0 t=1 t=2 t=3 t=4 t=5	<1,2> <1,3> <2,3>	<1,3>	<del>&lt;1,2&gt;</del>	none
< {A,F} {B,C} {A,B} {E} {D} > t=0 t=1 t=2 t=3 t=4	<0,4> <2,4>	<0,4><2,4>	none	none
< {A,B,F} {A,C} {A,B,D} {C} {C,D} > t=0 t=1 t=2 t=3 t=4	<0,2> <0,4> <1,2>, <1,4> <2,4>	<0,2> <0,4> <1,4> <2,4>	<0,4><2,4>	<0,4> <2,4>
Total support:	<b>5 (100%)</b>	5 (100%)	4 (80%)	3 (60%)

Given the input sequences listed in the table below (column 1), show for each of them all the occurrences of subsequences  $\{A\} \rightarrow \{A\} \rightarrow \{D\}$  and  $\{B\} \rightarrow \{C,D\}$ , and finally write its total support. Repeat the exercise twice: the first time considering no temporal constraints (columns 2 and 4); the second time considering max-gap = 2 (i.e. gap <= 2) (columns 3 and 5). Each occurrence should be represented by its corresponding list of time stamps, e.g.: <0,2,3>=<t=0, t=2, t=3>.

column 1	column 2	column 3	column 4	column 5
	$\{A\} \to \{A\} \to \{D\}$		{ <b>B</b> } → {	C,D}
	No constraints	max- $gap = 2$	No constraints	max- $gap = 2$
< {A,B,F} {C} {A,C,D,F} {E} {C,D} > t=0 t=1 t=2 t=3 t=4				
< {A,B} {C} {A,B} {C,D} > t=0 t=1 t=2 t=3				
< {F} {A,F} {A,C} {D} {A,E} {C} > t=0 t=1 t=2 t=3 t=4 t=5				
< {A,F} {B,C,D} {A,B} {B,E} {D} > t=0 t=1 t=2 t=3 t=4				
<{A,B}{A}{A,D}{A}{C}{A}{C,D}> t=0 t=1 t=2 t=3 t=4 t=5 t=6	NOT REQUESTED			
Total support:				

#### GSP – Exercise 1

b) **(3 points)** Simulate the execution of the GSP algorithm on the following dataset of sequences, assuming a minimum support threshold of 60%.

#### GSP – Exercise 1 – Solution

b) **(3 points)** Simulate the execution of the GSP algorithm on the following dataset of sequences, assuming a minimum support threshold of 60%.

```
{A} -> {BC} -> {C} -> {D}

{AC} -> {B} -> {C} -> {C}

{D} -> {C} -> {B} -> {CD}

{AB} -> {D} -> {C} -> {E}
```

#### GSP – Exercise 1 – Solution

b) **(3 points)** Simulate the execution of the GSP algorithm on the following dataset of sequences, assuming a minimum support threshold of 60%.

{ A }	
{ B }	
{ C }	
{ D }	
<del>{ BC }</del>	
<del>{ AC }</del>	
<del>{ CD }</del>	
<del>{ AB }</del>	

#### GSP – Exercise 2

b) **(3 points)** Running the GSP algorithm on a dataset of sequences, at the end of the second iteration it found the frequent 3-sequences on the left, and at the next iteration it generated (among the others) the candidate 4-sequences on the right. Which of the candidates will be **pruned**, and why?

#### Frequent 3-sequences

#### 

#### **Candidates**

#### GSP – Exercise 2 – Solution

b) **(3 points)** Running the GSP algorithm on a dataset of sequences, at the end of the second iteration it found the frequent 3-sequences on the left, and at the next iteration it generated (among the others) the candidate 4-sequences on the right. Which of the candidates will be **pruned**, and why?

#### Frequent 3-sequences

#### 

#### **Candidates**

```
    {AB} → {CD}
    {A} → {D} → {C} → {D}
    {B} → {D} → {C} → {D}
    {AB} → {D} → {C} → {D}
    {AB} → {D} → {C}
```

#### **Answer:**

#### **Candidates**

```
1. {AB} → {CD}

2. {A} → {D} → {C} → {D}

3. {B} → {D} → {C} → {D}

4. {AB} → {D} → {C}

5. {AB} → {C} → {D}
```

Missing from frequent 3-sequences

- A -> D -> D
- B -> D -> D