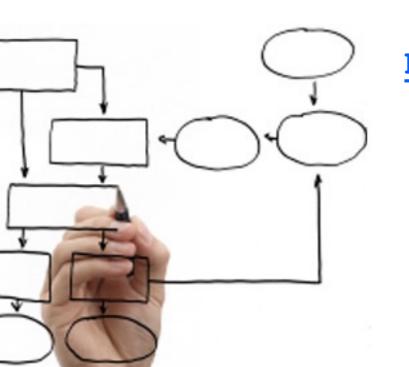
#### Business Processes Modelling MPB (6 cfu, 295AA)



Roberto Bruni http://www.di.unipi.it/~bruni

12 - Some Facts

## Object

#### $N \vdash \psi$

#### We survey two connectedness theorems and five exchange lemmas

Free Choice Nets (book, optional reading) https://www7.in.tum.de/~esparza/bookfc.html Two theorems on strong connectedness (whose proofs are optional reading)

# Strong connectedness theorem

**Theorem**: If a weakly connected system is live and bounded then it is strongly connected

(the proof requires some Exchange Lemmas that we illustrate later)

## Consequences

If a (weakly-connected) net is not strongly connected

then

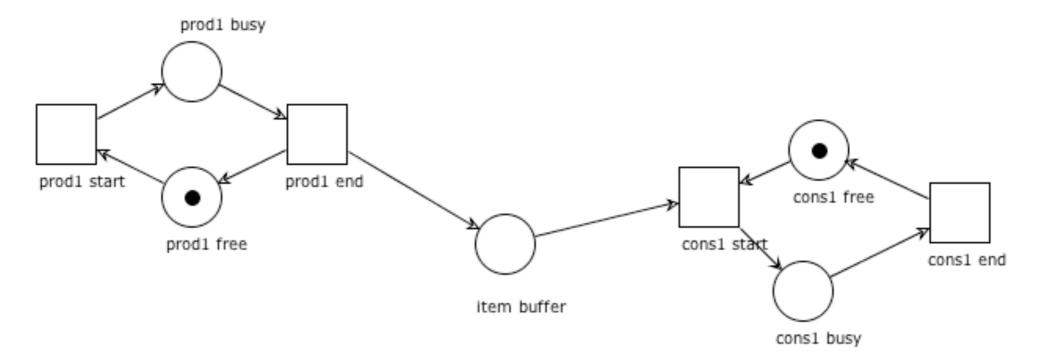
It is not live and bounded

If it is live, it is not bounded

If it is bounded, it is not live

## Example

It is now immediate to see that this system (weakly connected, not strongly connected) cannot be live and bounded (it is live but not bounded)



## Exercise

On the basis of the previous observation:

Draw a net that is bounded but not live

Draw a net that is neither live nor bounded

(all nets must be weakly connected)

## Strong connectedness via invariants

**Theorem**: If a weakly connected net has a positive S-invariant I and a positive T-invariant J then it is strongly connected

## Consequences

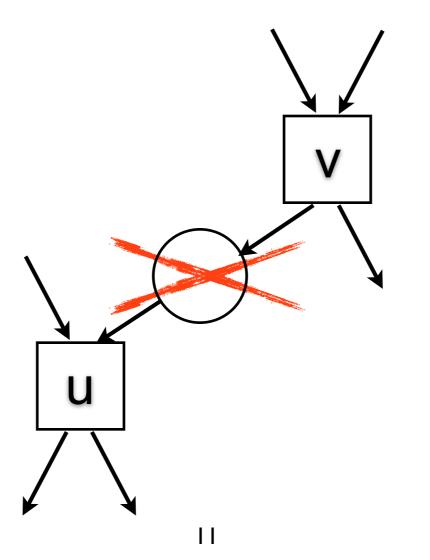
If a (weakly-connected) net is not strongly connected

then

we cannot find (two) positive S- and T-invariants

# Five Exchange Lemmas (whose proofs are optional reading)

### Exchange lemma: finite sequences (1) Lemma: Let $u, v \in T$ with $\bullet u \cap v \bullet = \emptyset$ . If $M \xrightarrow{vu} M'$ , then $M \xrightarrow{uv} M'$



#### Exchange lemma: finite sequences (2) Lemma: Let $V \subset T$ and $u \in T \setminus V$ , with $\bullet u \cap V \bullet = \emptyset$ . If $M \xrightarrow{\sigma u} M'$ with $\sigma \in V^*$ , then $M \xrightarrow{u\sigma} M'$

 $M \xrightarrow{v_1} \xrightarrow{v_2} \xrightarrow{\cdots} \xrightarrow{v_{n-1}} \xrightarrow{v_n} \xrightarrow{u} M'$ 

#### Exchange lemma: finite sequences (2) Lemma: Let $V \subset T$ and $u \in T \setminus V$ , with $\bullet u \cap V \bullet = \emptyset$ . If $M \xrightarrow{\sigma u} M'$ with $\sigma \in V^*$ , then $M \xrightarrow{u\sigma} M'$

 $M \xrightarrow{v_1} \xrightarrow{v_2} \xrightarrow{\cdots} \xrightarrow{v_{n-1}} \xrightarrow{v_n} M'$ 

#### Exchange lemma: finite sequences (2) Lemma: Let $V \subset T$ and $u \in T \setminus V$ , with $\bullet u \cap V \bullet = \emptyset$ . If $M \xrightarrow{\sigma u} M'$ with $\sigma \in V^*$ , then $M \xrightarrow{u\sigma} M'$

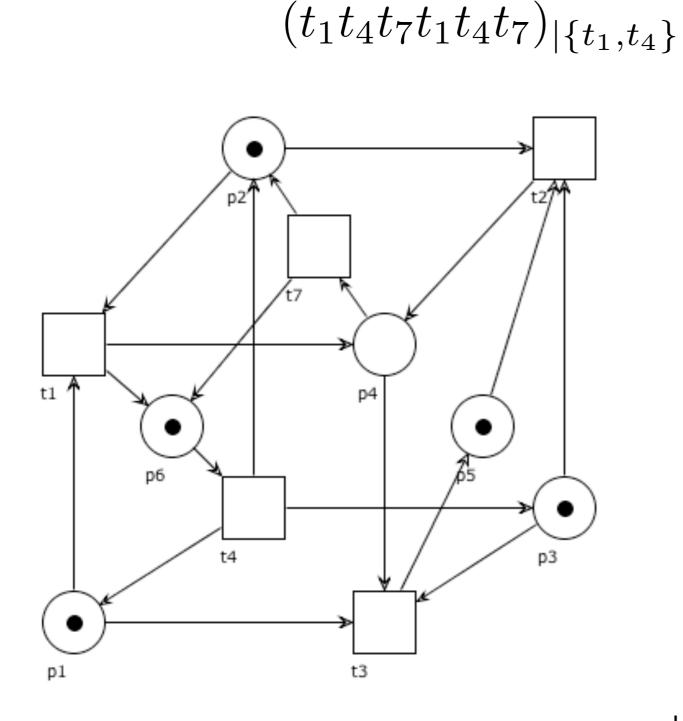
 $M \xrightarrow{u} \xrightarrow{v_1} \xrightarrow{v_2} \xrightarrow{\cdots} \xrightarrow{v_{n-1}} \xrightarrow{v_n} M'$ 

# More on sequences: projection

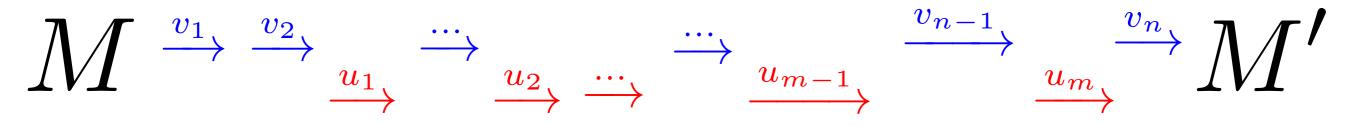
**Restriction:** (also extraction / projection) given  $T' \subseteq T$  we inductively define  $\sigma_{|T'}$  as:

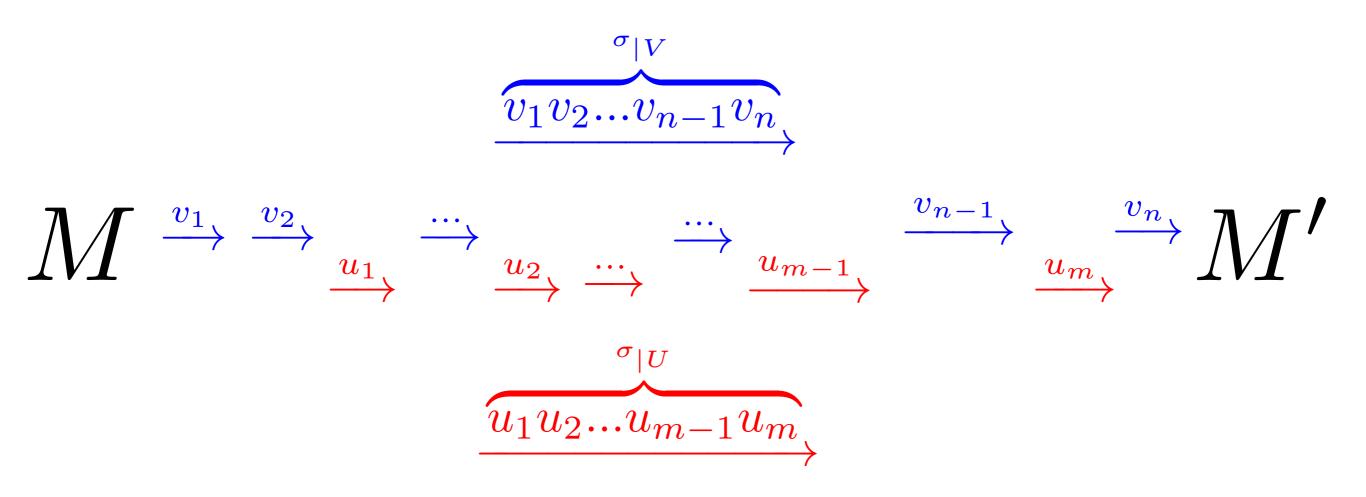
$$\epsilon_{|T'} = \epsilon \qquad (t\sigma)_{|T'} = \begin{cases} t(\sigma_{|T'}) & \text{if } t \in T' \\ \sigma_{|T'} & \text{if } t \notin T' \end{cases}$$

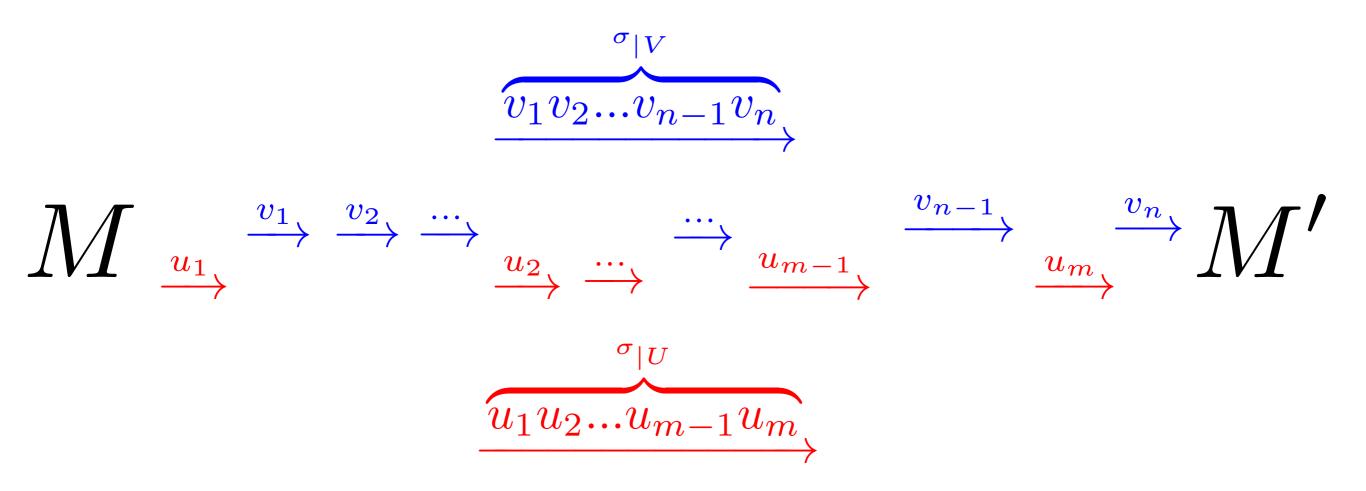
## Example

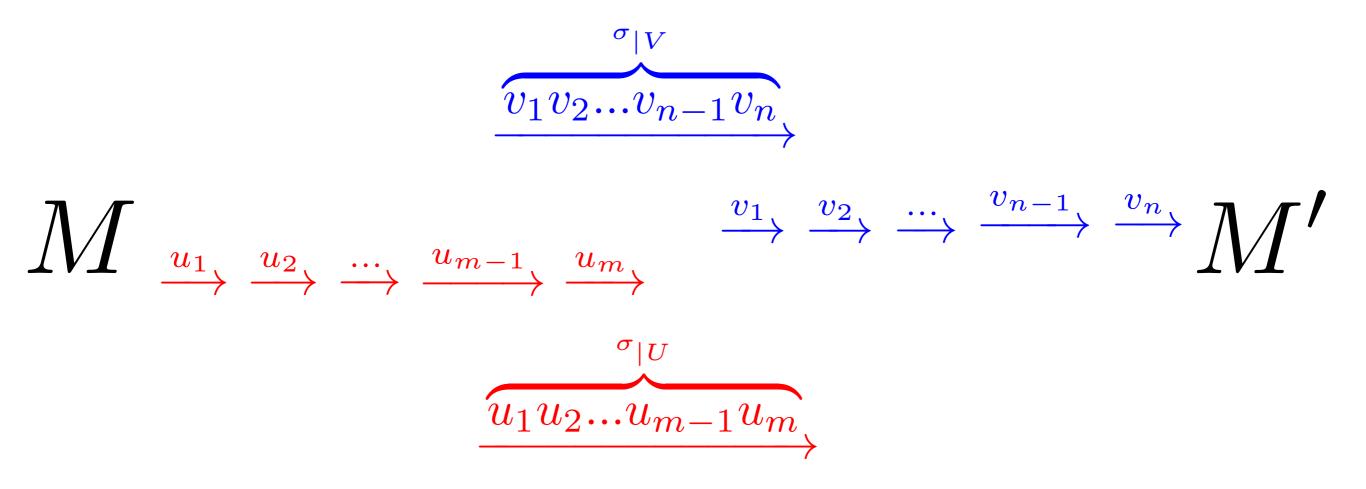


- $= t_1(t_4t_7t_1t_4t_7)_{|\{t_1,t_4\}}$
- $= t_1 t_4 (t_7 t_1 t_4 t_7)_{|\{t_1, t_4\}}$
- $= t_1 t_4 (t_1 t_4 t_7)_{|\{t_1, t_4\}}$
- $= t_1 t_4 t_1 (t_4 t_7)_{|\{t_1, t_4\}}$
- $= t_1 t_4 t_1 t_4 (t_7)_{|\{t_1, t_4\}}$
- $= t_1 t_4 t_1 t_4 (t_7 \epsilon)_{|\{t_1, t_4\}}$
- $= t_1 t_4 t_1 t_4(\epsilon)_{|\{t_1, t_4\}}$
- $= t_1 t_4 t_1 t_4 \epsilon$
- $= t_1 t_4 t_1 t_4$







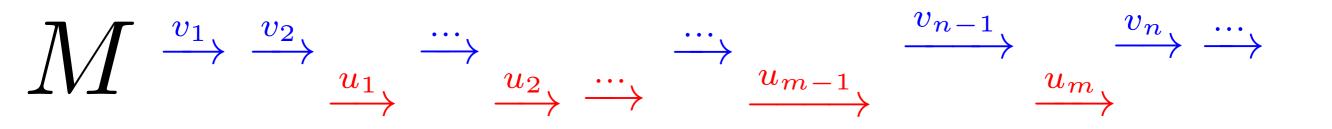


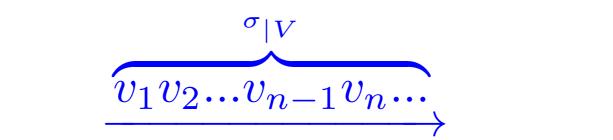
 $\overbrace{u_1u_2...u_{m-1}u_m}^{\sigma_{|U|}}$  $\mathcal{M}$ 

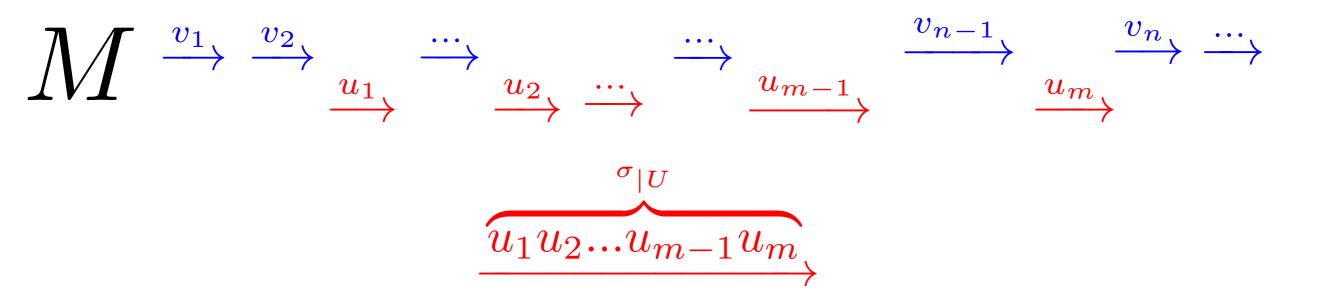
 $\sigma_{|V|}$  $v_1v_2...v_{n-1}v_n$ 

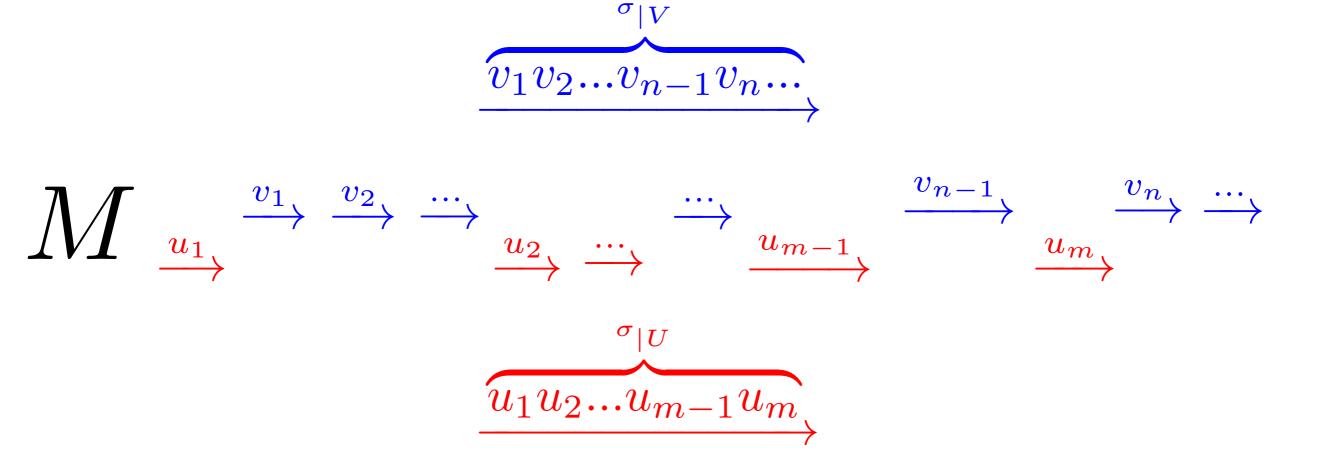
### Notation A<sup>w</sup>

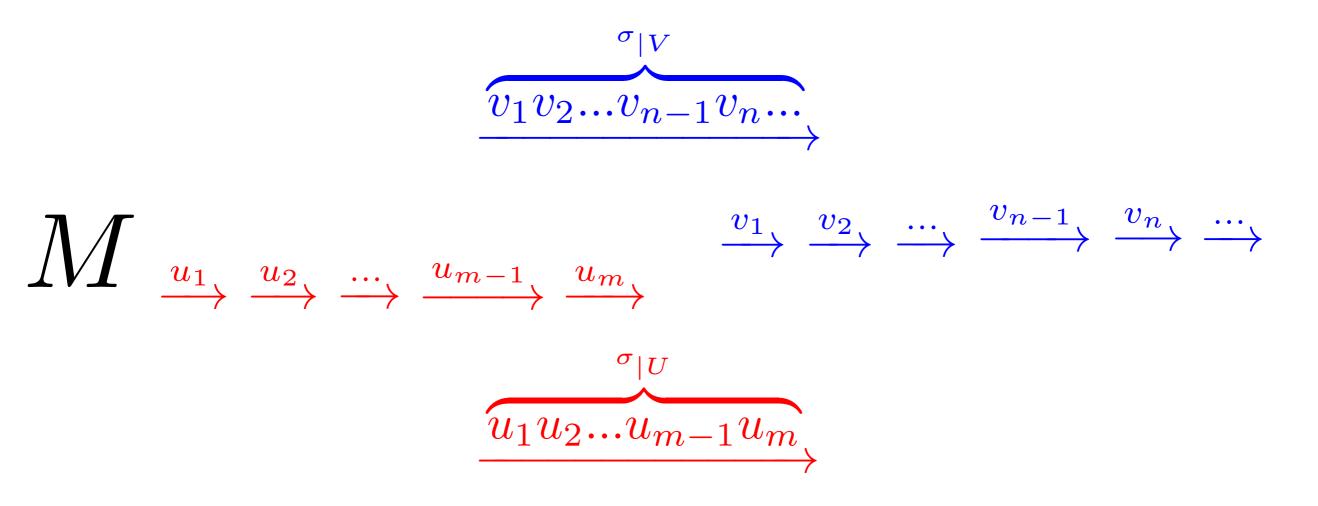
Given a set A we denote by  $A^{\omega}$ the set of infinite sequences of elements in A, i.e.:  $A^{\omega} = \{ a_1 a_2 \cdots | a_1, a_2, \ldots \in A \}$ 

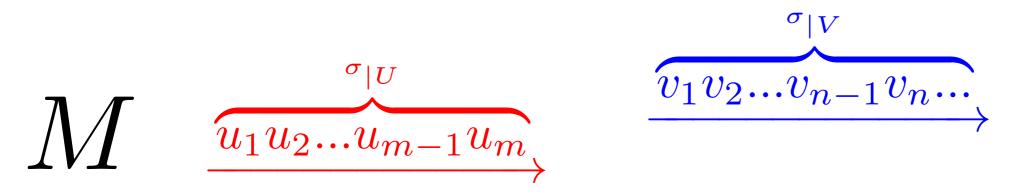


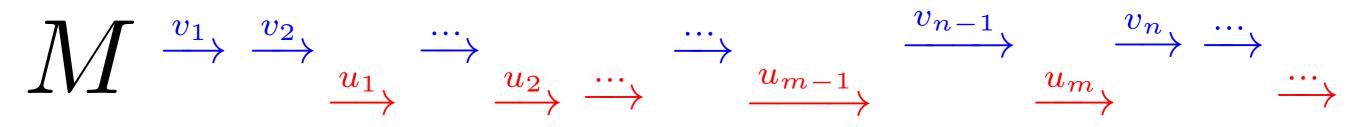


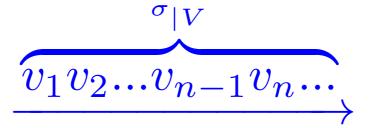


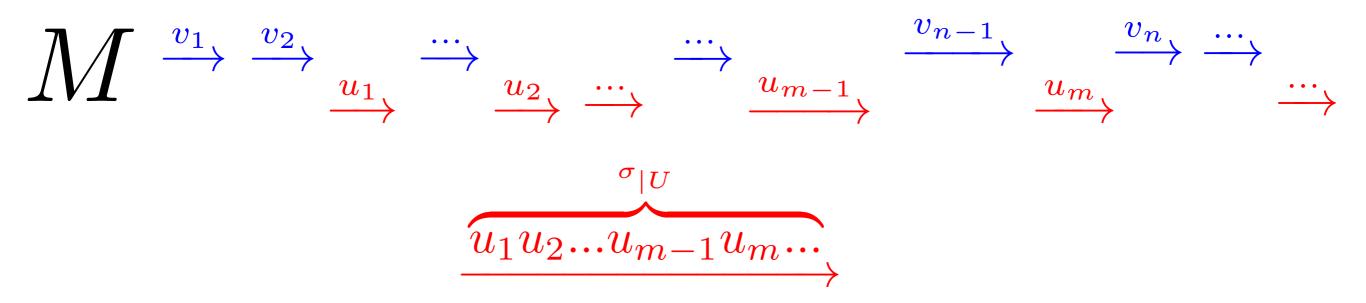


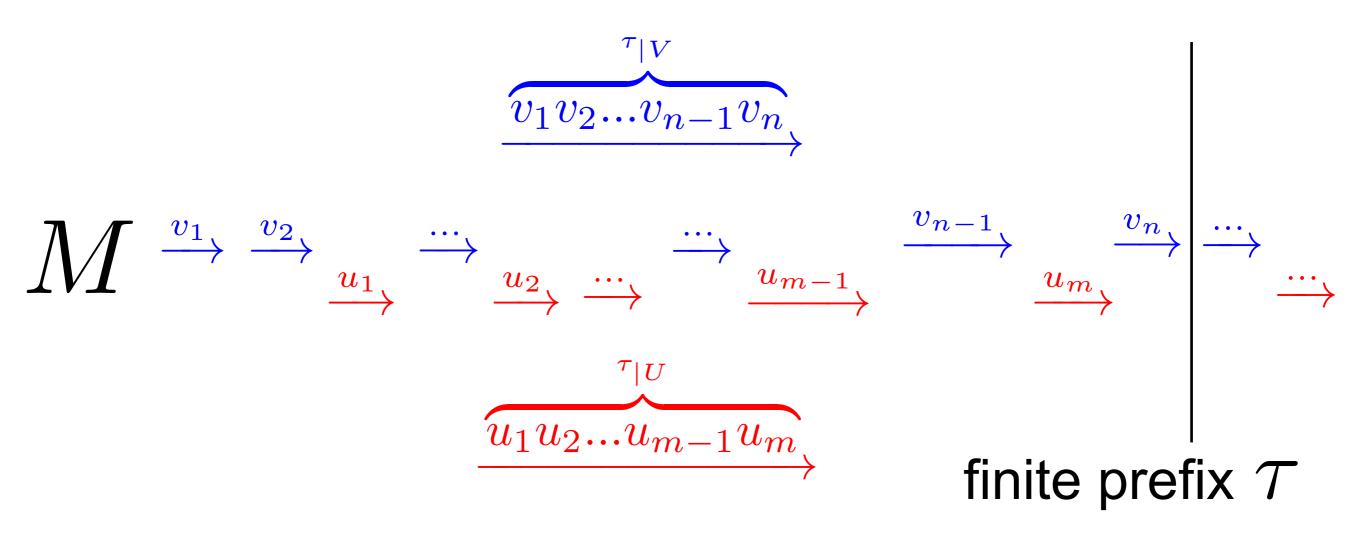




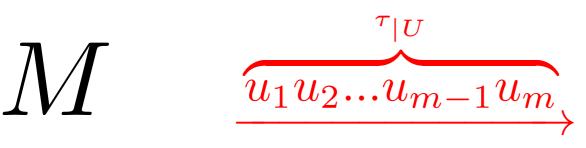








#### Exchange lemma: infinite sequences (5) Lemma: Let $U, V \subset T$ and $U \cap V = \emptyset$ , with $\bullet U \cap V \bullet = \emptyset$ . If $M \xrightarrow{\sigma}$ with $\sigma \in (U \cup V)^{\omega}$ and $\sigma_{|U} \in U^{\omega}$ , then $M \xrightarrow{\sigma_{|U|}}$



#### enabled

 $v_1v_2...v_{n-1}v_n$ 

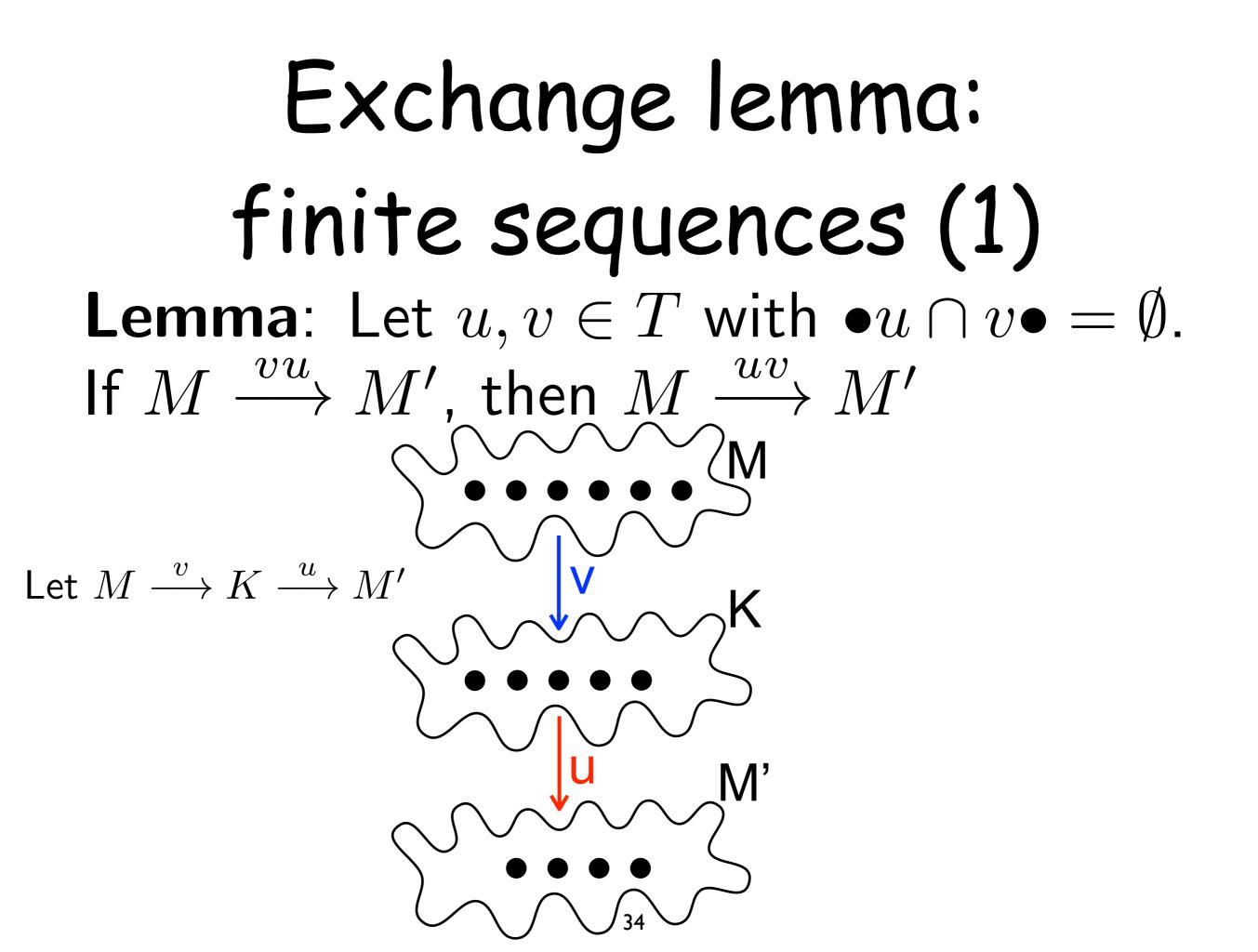
# Proofs of exchange lemmas (optional reading)

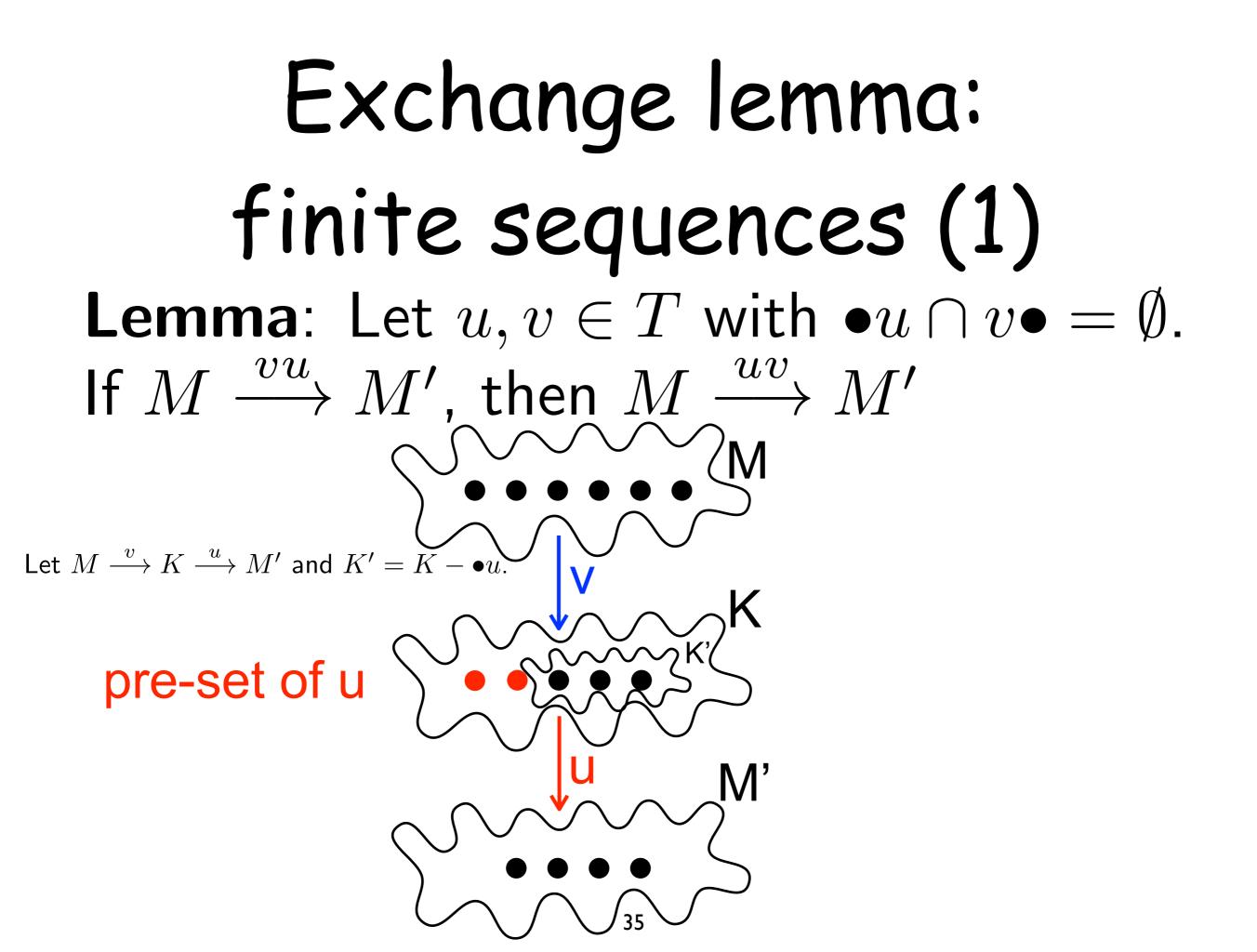
Exchange lemma: finite sequences (1) Lemma: Let  $u, v \in T$  with  $\bullet u \cap v \bullet = \emptyset$ . If  $M \xrightarrow{vu} M'$ , then  $M \xrightarrow{uv} M'$ 

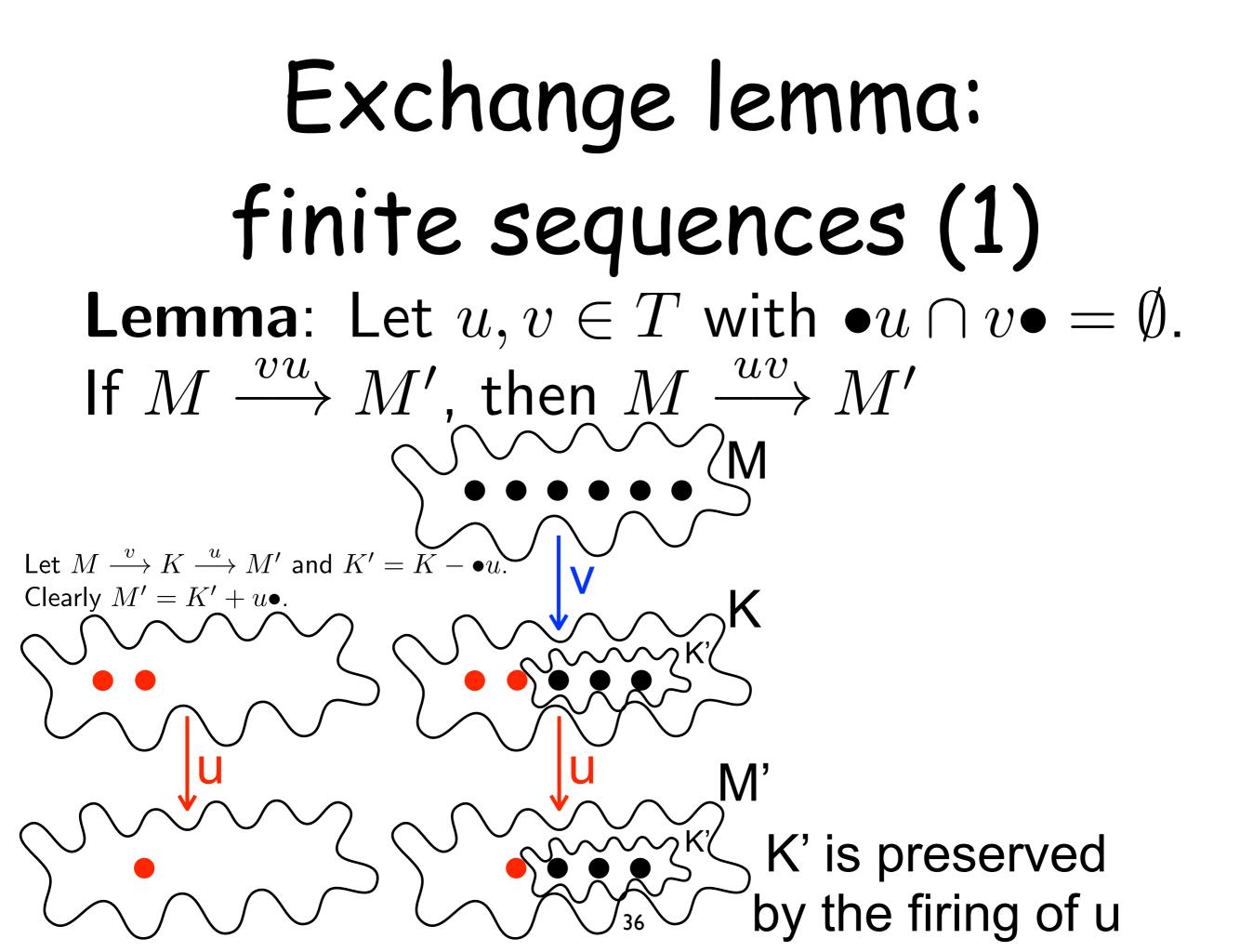
Let 
$$M \xrightarrow{v} K \xrightarrow{u} M'$$
.  
Clearly  $M' = \underbrace{K - \bullet u}_{K'} + u \bullet$ , with  $K' = K - \bullet u$ .

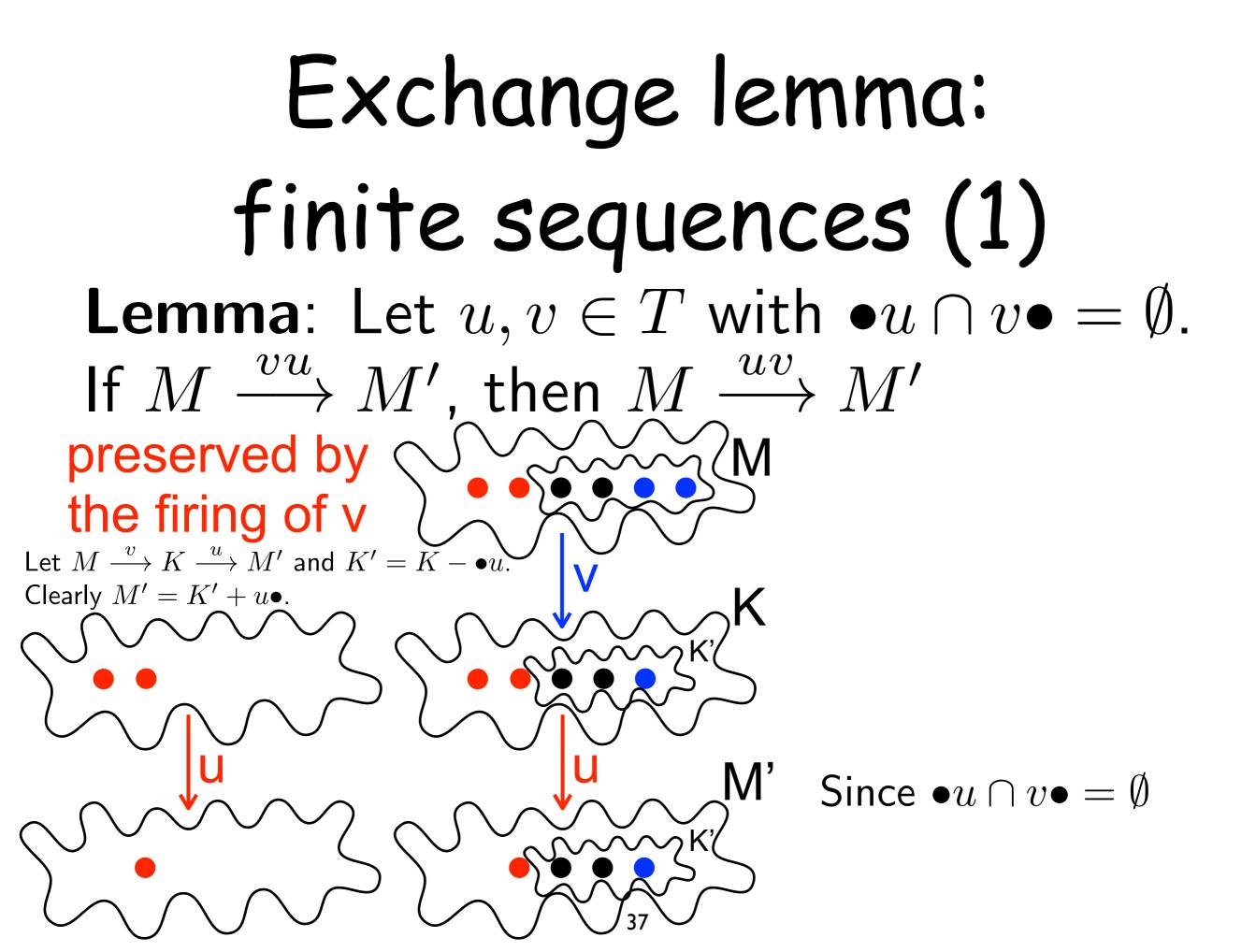
Since  $\bullet u \cap v \bullet = \emptyset$ , then:  $M'' \xrightarrow{v} K'$  with  $M'' = M - \bullet u$ 

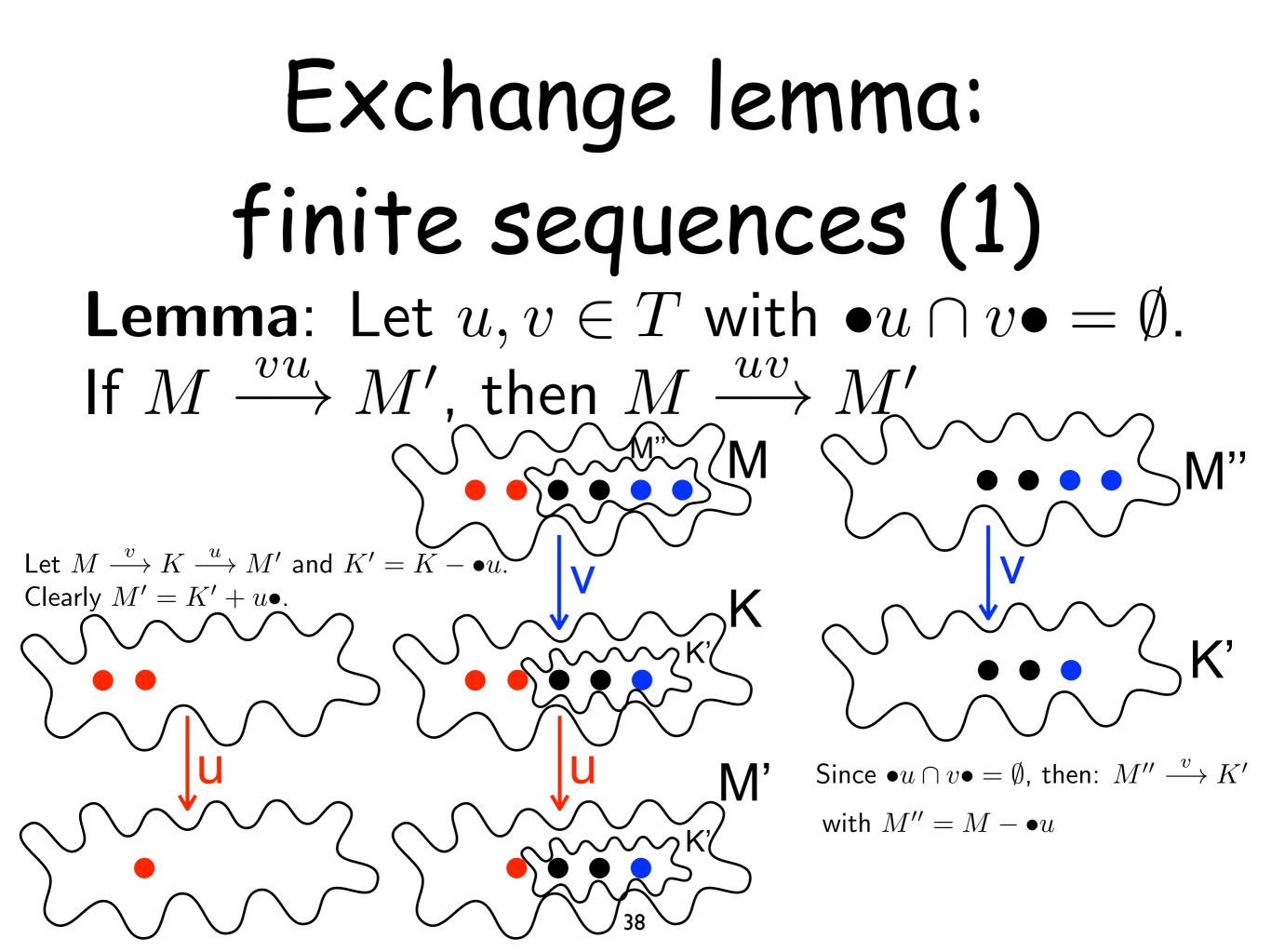
Therefore:  $M = M'' + \bullet u \xrightarrow{u} M'' + u \bullet \xrightarrow{v} K' + u \bullet = M'$ 

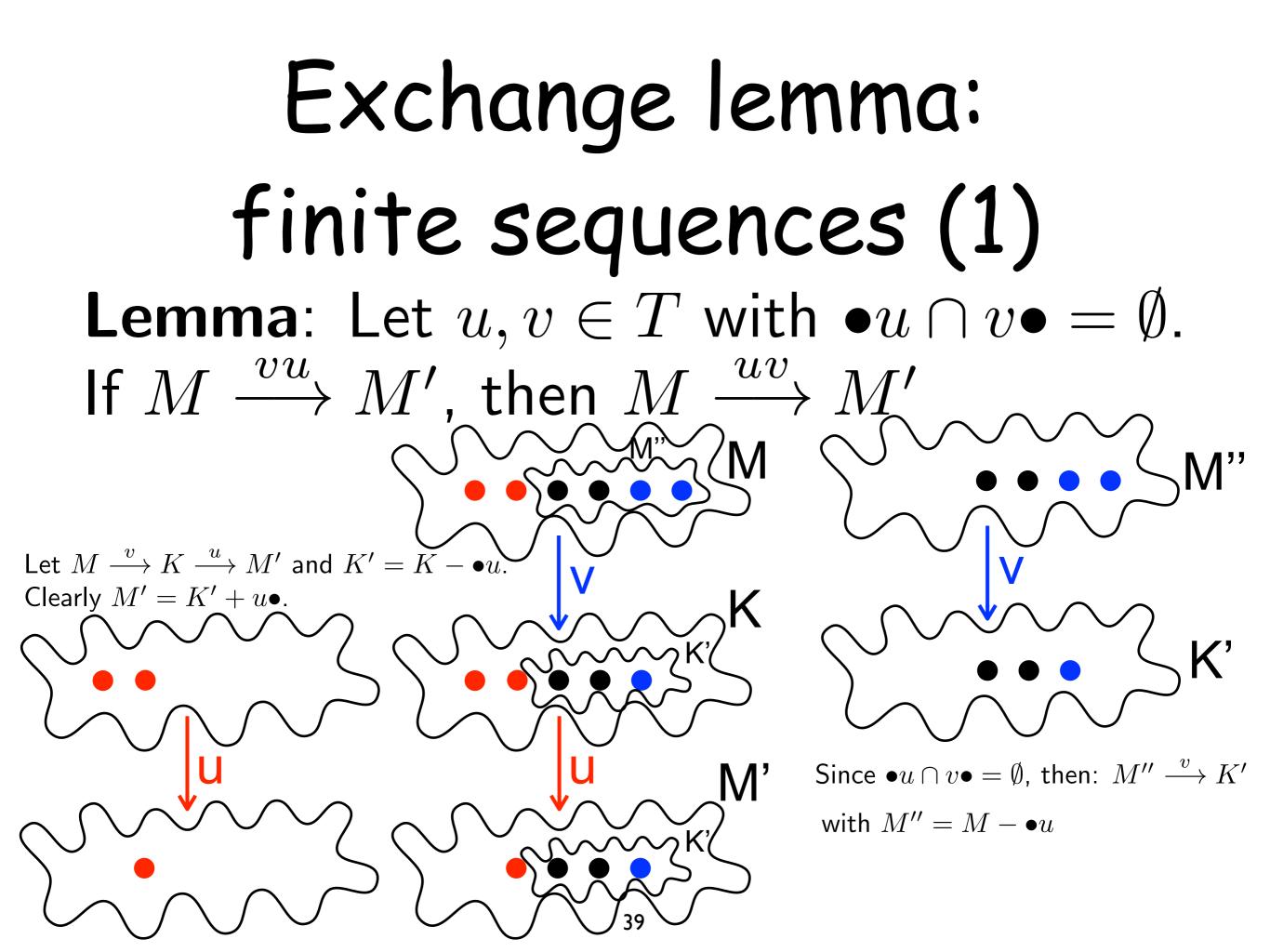


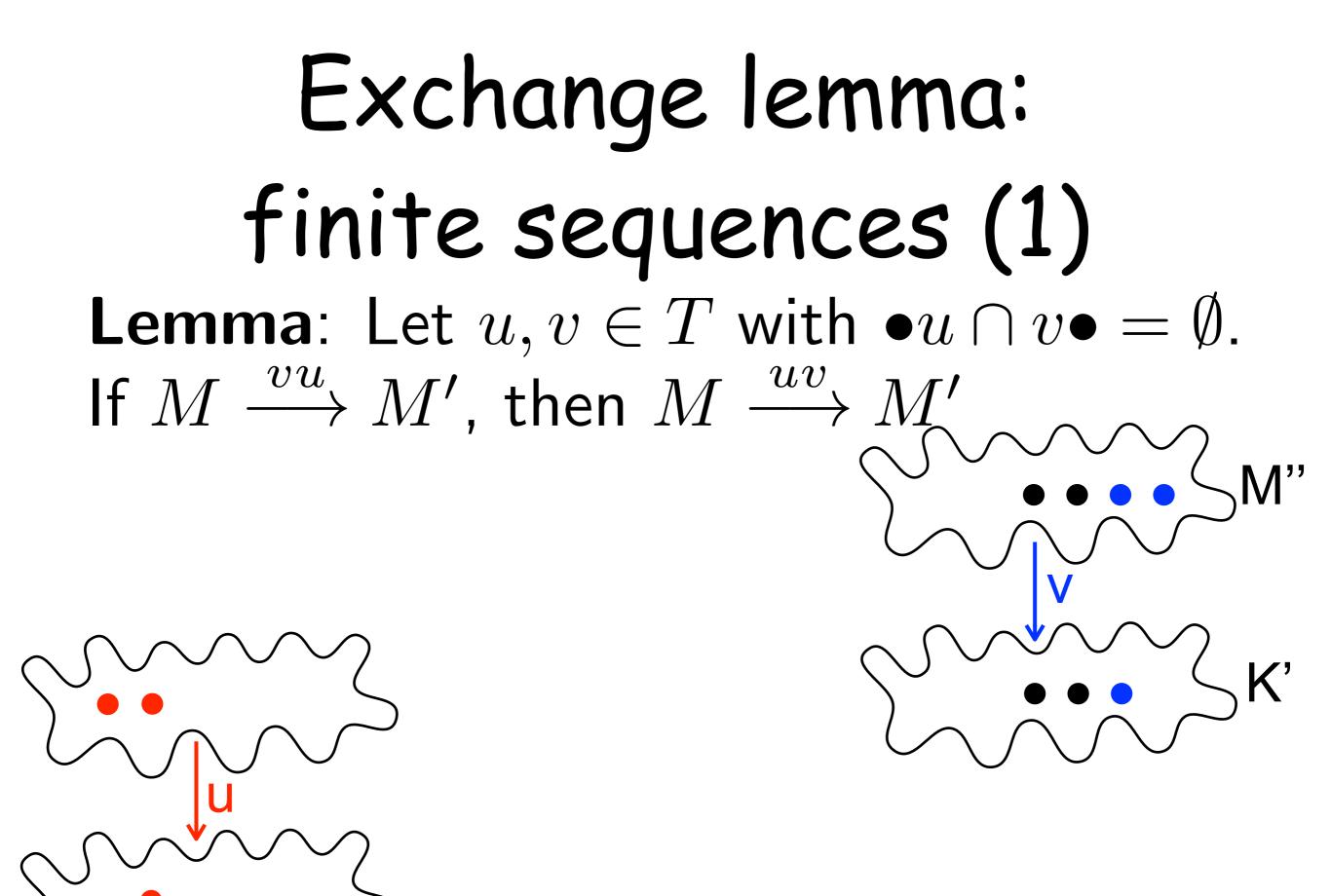


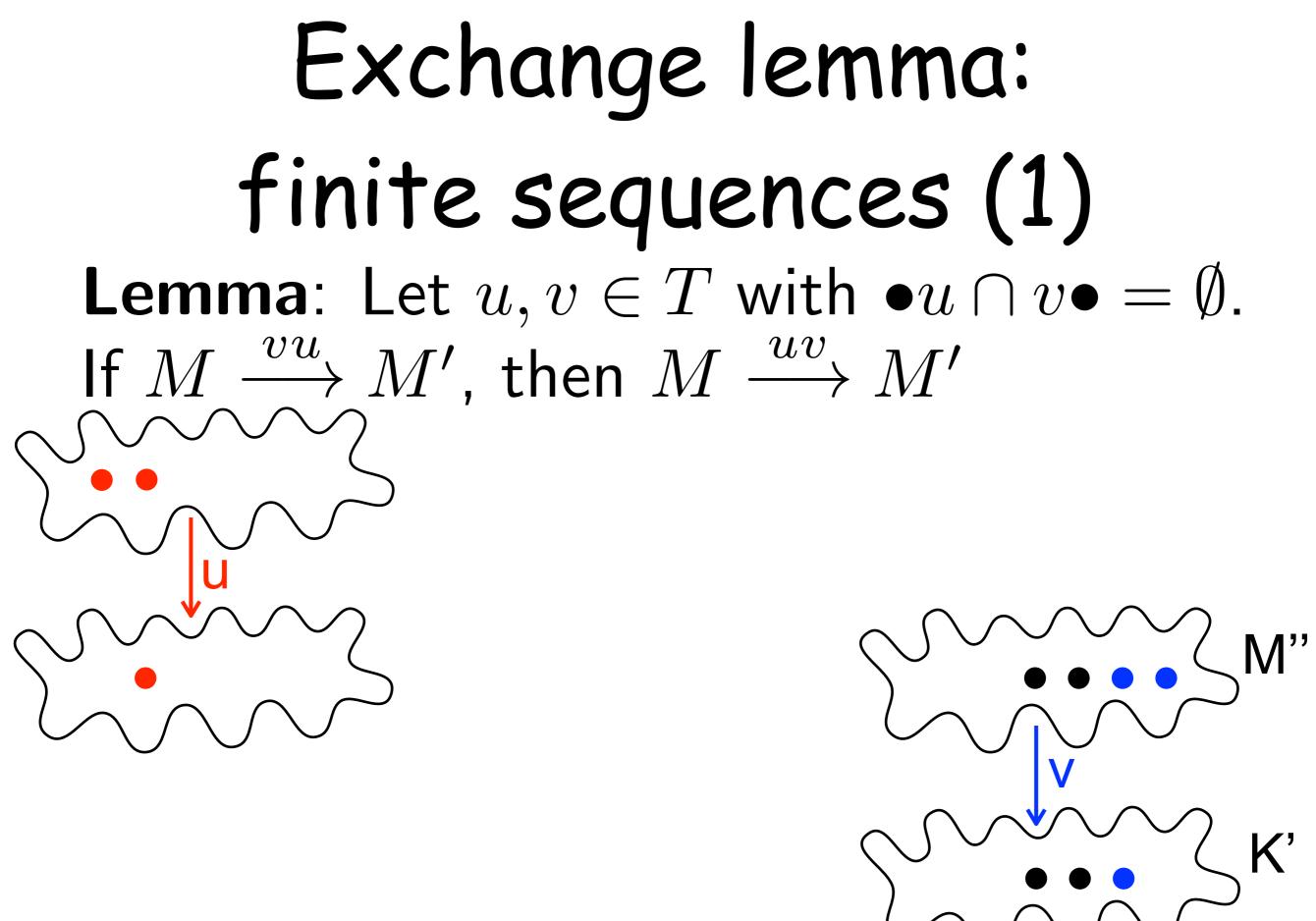


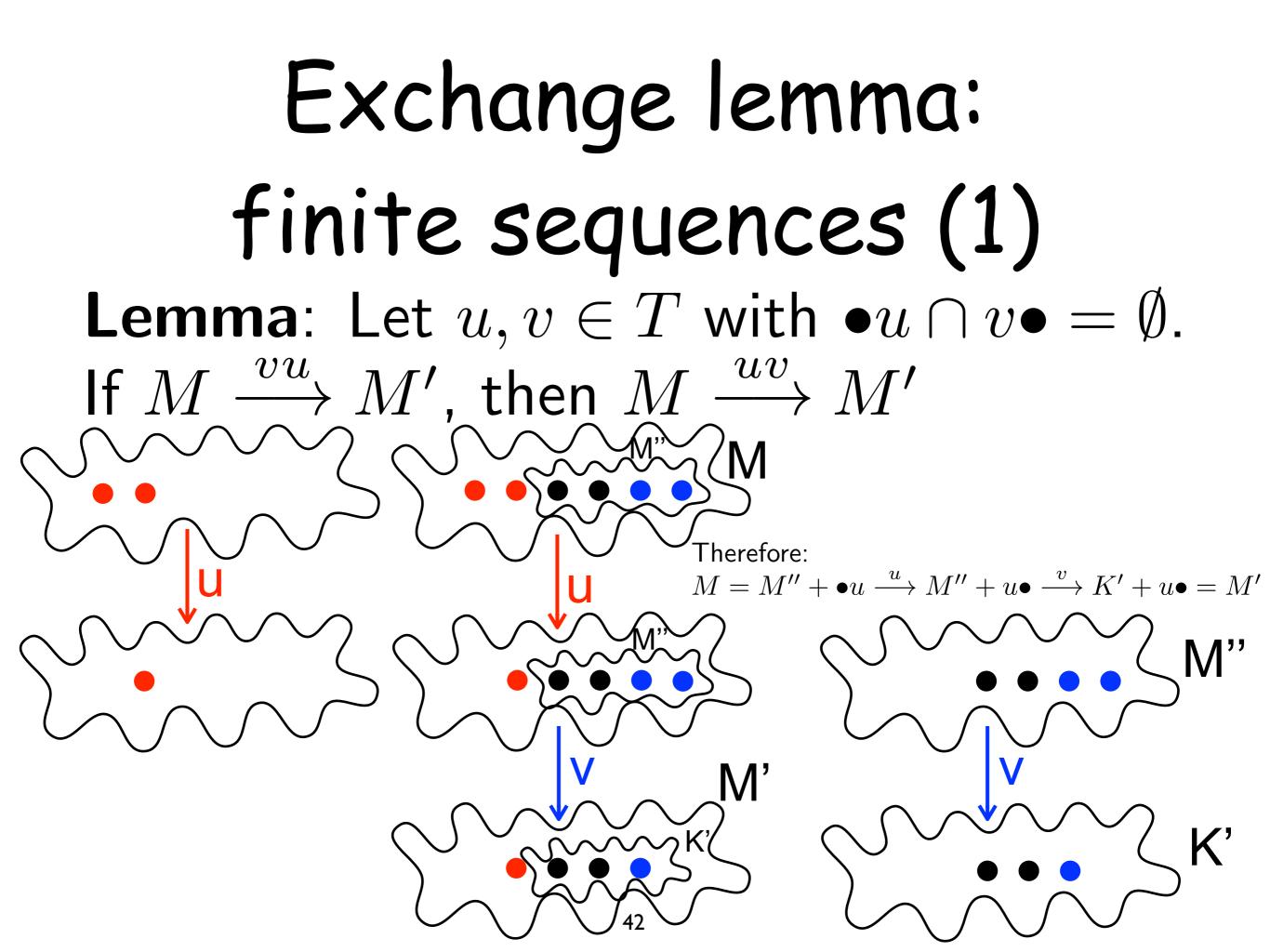












Exchange lemma: finite sequences (2) **Lemma**: Let  $V \subset T$  and  $u \in T \setminus V$ , with  $\bullet u \cap V \bullet = \emptyset$ . If  $M \xrightarrow{\sigma u} M'$  with  $\sigma \in V^*$ , then  $M \xrightarrow{u\sigma} M'$ The proof is by induction on the length of  $\sigma$ base  $(\sigma = \epsilon)$ : trivially  $M \xrightarrow{u} M'$ induction ( $\sigma = \sigma' v$  for some  $\sigma' \in V^*$  and  $v \in V$ ): Let  $M \xrightarrow{\sigma'} M'' \xrightarrow{vu} M'$ . Note that  $\bullet u \cap v \bullet = \emptyset$ By exchange lemma 1:  $M \xrightarrow{\sigma'} M'' \xrightarrow{uv} M'$ . Let  $M \xrightarrow{\sigma' u} M''' \xrightarrow{v} M'$ 

By inductive hypothesis:  $M \xrightarrow{u\sigma'} M''' \xrightarrow{v} M'$ Thus,  $M \xrightarrow{u\sigma} M'$ 

Exchange lemma: finite sequences (3) **Lemma**: Let  $U, V \subset T$  and  $U \cap V = \emptyset$ , with  $\bullet U \cap V \bullet = \emptyset$ . If  $M \xrightarrow{\sigma} M'$  with  $\sigma \in (U \cup V)^*$ , then  $M \xrightarrow{\sigma_{|U}\sigma_{|V}} M'$ The proof is by induction on the length of  $\sigma_{|U}$ base  $(\sigma_{|U} = \epsilon)$ : trivially  $\sigma_{|V} = \sigma$ induction  $(\sigma_{|U} = u\sigma' \text{ for some } u \in U \text{ and } \sigma' \in U^*)$ : Let  $M \xrightarrow{\sigma_0} \xrightarrow{u} \xrightarrow{\sigma_1} M'$ , with  $\sigma = \sigma_0 u \sigma_1$  and  $\sigma_0 \in V^*$ . Note that  $\sigma' = (\sigma_1)_{|U}$  and  $\bullet u \cap V \bullet = \emptyset$ By exchange lemma 2:  $M \xrightarrow{u} \xrightarrow{\sigma_0} \xrightarrow{\sigma_1} M'$ . Note that  $(\sigma_0 \sigma_1)|_U = (\sigma_1)|_U = \sigma'$  and  $(\sigma_0 \sigma_1)|_V = \sigma|_V$ .

> By inductive hypothesis:  $M \xrightarrow{u} \xrightarrow{\sigma'} \xrightarrow{\sigma_{|V}} M'$ Since  $\sigma_{|U} = u\sigma'$ , we conclude that  $M \xrightarrow{\sigma_{|U}} \xrightarrow{\sigma_{|V}} M'$

### Exchange lemma: infinite sequences (4) Lemma: Let $U, V \subset T$ and $U \cap V = \emptyset$ , with $\bullet U \cap V \bullet = \emptyset$ . If $M \xrightarrow{\sigma}$ with $\sigma \in (U \cup V)^{\omega}$ and $\sigma_{|U} \in U^*$ , then $M \xrightarrow{\sigma_{|U}\sigma_{|V}}$

Let  $\sigma = \sigma' \sigma''$  with  $\sigma'_{|U} = \sigma_{|U}$  and  $\sigma''_{|V} = \sigma''$ (i.e., only transitions in V appears in  $\sigma''$ ). Such sequences exist because  $\sigma_{|U}$  is assumed to be finite.

Let M' be such that  $M \xrightarrow{\sigma'} M' \xrightarrow{\sigma''}$ .

By Exchange Lemma (3) applied to  $\sigma'$  we have:  $M \xrightarrow{\sigma'_{|U}\sigma'_{|V}} M' \xrightarrow{\sigma''}$ .

We conclude by observing that:  $\sigma_{|U} = \sigma'_{|U}$  and  $\sigma_{|V} = \sigma'_{|V}\sigma''_{_{45}}$ 

Exchange lemma: infinite sequences (5) Lemma: Let  $U, V \subset T$  and  $U \cap V = \emptyset$ , with  $\bullet U \cap V \bullet = \emptyset$ . If  $M \xrightarrow{\sigma}$  with  $\sigma \in (U \cup V)^{\omega}$  and  $\sigma_{|U} \in U^{\omega}$ , then  $M \xrightarrow{\sigma_{|U|}}$ To prove that  $M \xrightarrow{\sigma_{|U|}}$  it suffices to show that every finite prefix of  $\sigma_{|U|}$  is enabled at M.

> Take any finite prefix  $\tau'$  of  $\sigma_{|U}$  and a corresponding finite prefix  $\tau$  of  $\sigma$  such that  $\tau_{|U} = \tau'$ .

Clearly  $M \xrightarrow{\tau} M'$  for some suitable M'.

By Exchange Lemma (3), then  $M \xrightarrow{\tau_{|U}\tau_{|V}} M'$ , i.e.: M enables  $\tau_{|U} = \tau'$ .

## Proofs of theorems on strong connectedness (optional reading)

# Strong connectedness theorem

### **Theorem**: If a weakly connected system is live and bounded then it is strongly connected

Since the system is live and bounded, by a previous corollary: (see Lecture 11) exists  $M \in [M_0\rangle$  and  $\sigma$  such that  $M \xrightarrow{\sigma} M$  and all transitions in T occur in  $\sigma$ .

Take any arc  $x \rightarrow y$  in F: we need to show that there is a path from y to x using arcs of F. We distinguish two cases:

1.  $x \in P$  and  $y \in T$ 

2.  $x \in T$  and  $y \in P$ 

#### Strong connectedness theorem (case 1)

Let  $V = \{ v \in T \mid y \to^* v \}$  and  $U = T \setminus V$ . (V is the set of transitions reachable from y) Note that U and V are disjoint and that  ${}^{\bullet}U \cap V^{\bullet} = \emptyset$ . (to see this, suppose  $q \in {}^{\bullet}U \cap V^{\bullet}$  then  $v \to q \to u$  for some  $v \in V$  and  $u \in U$ , but then  $u \in V$ , which is impossible because  $U = T \setminus V$ )

By the Exchange Lemma (3), there exists M' with  $M \xrightarrow{\sigma_{|V|}} M' \xrightarrow{\sigma_{|V|}} M$ We claim that  $M \xrightarrow{\sigma_{|V|}} M$ .

(we want to find a path from y to x)

Х

• if  $\sigma_{|U} = \epsilon$  (i.e.,  $\sigma$  does not contain any transition in U), then  $\sigma_{|V} = \sigma$ .

 otherwise (σ<sub>|U</sub> ≠ ε), we can apply the Exchange Lemma (5) to M → to get M → (σσ···)<sub>|U</sub>, i.e., M → (σ<sub>|U</sub>σ<sub>|U</sub>···). Since σ<sub>|U</sub> can occur infinitely often from M, then M' ⊇ M. By the Boundedness Lemma M' = M and M → M.

Since  $y \in V$ , y occurs in  $\sigma_{|V}$  and  $y \in x^{\bullet}$ , then there must be some transition v that occurs in  $\sigma_{|V}$  such that  $v \in {}^{\bullet}x$ . (v adds a token to x)

Since  $v \in V$ , there is a path  $y \to^* v$ . We can extend this path by the arc (v, x) to get a path  $y \to^* x$ .

#### **Strong connectedness theorem (case 2)** (U is the set of transitions from which x is reachable) Let $U = \{ u \in T \mid u \to^* x \}$ and $V = T \setminus U$ . Note that U and V are disjoint and that ${}^{\circ}U \cap V^{\circ} = \emptyset$ . (to see this, suppose $q \in {}^{\circ}U \cap V^{\circ}$ then $v \to q \to u$ for some $v \in V$ and $u \in U$ , but then $v \in U$ , which is impossible because $V = T \setminus U$ ) By the Exchange Lemma (3), there exists M' with $M \xrightarrow{\sigma_{|U|}} M' \xrightarrow{\sigma_{|V|}} M$ By the Exchange Lemma (5) applied to $M \xrightarrow{\sigma\sigma\cdots}$

we get  $M \xrightarrow{(\sigma \sigma \cdots)_{|U}}$ , i.e.,  $M \xrightarrow{\sigma_{|U} \sigma_{|U} \cdots}$ . Since  $\sigma_{|U}$  can occur infinitely often from M, then  $M' \supseteq M$ .

By the Boundedness Lemma M' = M and  $M \xrightarrow{\sigma_{|U|}} M$ .

Since  $x \in U$ , x occurs in  $\sigma_{|U}$  and  $x \in {}^{\bullet}y$ , then (x adds a token to y) there must be some transition u that occurs in  $\sigma_{|U}$  such that  $u \in y^{\bullet}$ . (u subtracts a token from y)

Since  $u \in U$ , there is a path  $u \to^* x$ . We can extend this path by the arc (y, u) to get a path  $y \to^* x$ .

### Strong connectedness via invariants

Theorem: If a weakly connected net has a positive S-invariant I and a positive T-invariant J then it is strongly connected

Take any arc  $x \rightarrow y$  in F: we need to show that there is a path from y to x using arcs of F. We distinguish two cases:

- 1.  $x \in P$  and  $y \in T$
- 2.  $x \in T$  and  $y \in P$

# Strong connectedness ( $\stackrel{\times}{\downarrow}$ via invariants: case (1) $\stackrel{\vee}{\downarrow}$

Let  $V = \{ v \in T \mid y \to^* v \}$  and define:  $J'(t) = \begin{cases} \mathbf{J}(t) & \text{if } t \in V \\ 0 & \text{otherwise} \end{cases} \text{ (We want to find a path from y to x)}$ 

Take  $p \in P$ :

• if 
$$J'(u) = 0$$
 for all  $u \in {}^{\bullet}p$ , then:

$$0 = \sum_{u \in \bullet p} J'(u) \le \sum_{t \in p^{\bullet}} J'(t)$$

(because J' has no negative entries).

• otherwise, assume that  $J'(u) = \mathbf{J}(u) > 0$  for some  $u \in {}^{\bullet}p$ , i.e.,  $y \to {}^{*}u \to p$ . Then, for any  $t \in p^{\bullet}$ :  $y \to {}^{*}t$  and  $J'(t) = \mathbf{J}(t) > 0$ . So:

$$0 < \sum_{u \in \bullet p} J'(u) \le \sum_{u \in \bullet p} \mathbf{J}(u) = \sum_{t \in p^{\bullet}} \mathbf{J}(t) = \sum_{t \in p^{\bullet}} J'(t)$$

## Strong connectedness (x)via invariants: case (1) y

In both cases: 
$$\sum_{u \in \bullet_p} J'(u) \leq \sum_{t \in p^{\bullet}} J'(t)$$
 (we want to find a path from y to x)  
Then: 
$$(\mathbf{N} \cdot J')(p) = \sum_{u \in \bullet_p} J'(u) - \sum_{t \in p^{\bullet}} J'(t) \leq 0 \text{ for any } p \in P,$$

i.e.,  $\mathbf{N} \cdot J'$  has no positive entries.

Since I is an S-invariant:  $\mathbf{I} \cdot (\mathbf{N} \cdot J') = (\mathbf{I} \cdot \mathbf{N}) \cdot J' = 0$ and since I is positive,  $\mathbf{N} \cdot J' = \mathbf{0}$ , i.e., J' is a T-invariant. Hence:

$$\sum_{t \in \bullet x} J'(t) = \sum_{t \in x^{\bullet}} J'(t) \ge J'(y) = \mathbf{J}(y) > 0$$

So there exists  $v \in {}^{\bullet}x$  with J'(v) > 0, which means  $v \in V$ , i.e.,  $y \to {}^{*}v$ . Since  $v \in {}^{\bullet}x$ , then  $y \to {}^{*}x$ .

# Strong connectedness $\begin{bmatrix} x \\ y \end{bmatrix}$ via invariants: case (2) $\begin{bmatrix} y \\ y \end{bmatrix}$

(we want to find a path from y to x)

N'

Then, 
$$\mathbf{N}' = -\mathbf{N}^{\mathsf{T}}$$
 (where  $\mathbf{N}^{\mathsf{T}}$  is the transposed of  $\mathbf{N}$ )

I is a positive T-invariant of N'.
J is a positive S-invariant of N'.
By case (1), N' contains a path from y to x.
So, N contains a path from y to x.

(i.e., invert the roles of places and transitions).

Take N' = (T, P, F)