Methods for the specification and verification of business processes MPB (6 cfu, 295AA)

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17 - Free-choice nets

We study some "good" properties of free-choice nets

Free Choice Nets (book, optional reading) <https://www7.in.tum.de/~esparza/bookfc.html>

Free-choice net

Definition: We recall that a net N is **free-choice** if whenever there is an arc (p,t), then there is an arc from any input place of t to any output transition of p

implies

Free-choice net: alternative definitions

Proposition: All the following definitions of free-choice net are equivalent.

1) A net (P, T, F) is free-choice if: $\forall p \in P, \forall t \in T, (p, t) \in F$ implies $\bullet t \times p \bullet \subseteq F$.

2) A net (*P, T, F*) is free-choice if: $\forall p, q \in P, \forall t, u \in T, \{ (p, t), (q, t), (p, u) \} \subseteq F$ implies $(q, u) \in F$.

3) A net (P, T, F) is free-choice if: 8*p, q* 2 *P*, either *p•* = *q•* or *p •* *q•* = ;.

4) A net (*P, T, F*) is free-choice if: $\forall t, u \in T$, either $\bullet t = \bullet u$ or $\bullet t \cap \bullet u = \emptyset$.

Free-choice net: my favourite definition 2) A net (*P, T, F*) is free-choice if: r_i , $\$ 3) A net (*P, T, F*) is free-choice if:

4) A net (*P, T, F*) is free-choice if: $\forall t, u \in T$, either $\bullet t = \bullet u$ or $\bullet t \cap \bullet u = \emptyset$.

Free-choice system

Definition: A system (N,M0) is **free-choice** if N is free-choice

$$
\begin{array}{rcl}\n\mathbf{t}_1 &=& \{p_1, p_3\} \\
\mathbf{t}_2 &=& \{p_3\} \\
\mathbf{t}_1 & \neq & \mathbf{t}_2 \\
\mathbf{t}_1 \cap \mathbf{t}_2 &=& \{p_3\} \neq \emptyset\n\end{array}
$$
\n**EXAMPLE 2**

\n**Output**

\n**Output**

\n**Description**

\n<

non free-choice free-choice

Fundamental property of free-choice nets

Proposition: Let (P, T, F, M_0) be free-choice. If $M \stackrel{t}{\longrightarrow}$ and $t \in p\bullet$, then $M \stackrel{t'}{\longrightarrow}$ for every $t' \in p\bullet$.

The proof is trivial, by definition of free-choice net

Prove that every S-net is free-choice

Prove that every T-net is free-choice

Show a free-choice net that is neither an S-net nor a T-net

Free-choice N*

Proposition: A workflow net N is free-choice iff N* is free-choice

N and N* differ only for the reset transition, whose pre-set (o) is disjoint from the pre-set of any other transition

Rank Theorem (main result)

Theorem:

A free-choice system (P,T,F,M0) is live and bounded

iff

- 1. it has at least one place and one transition
- 2. it is connected
- 3. M0 marks every proper siphon
- 4. it has a positive S-invariant
- 5. it has a positive T-invariant
- 6. rank(N) = $|C_N|$ 1

(where C_N is the set of clusters)

Clusters

Cluster

Let x be the node of a net $N = (P, T, F)$ (not necessarily free-choice)

Definition: The cluster of x , written $[x]$, is the least set s.t.

- 1. $x \in [x]$
- 2. if $p \in [x] \cap P$ then $p \bullet \subseteq [x]$

3. if $t \in [x] \cap T$ then $\bullet t \subseteq [x]$

(if a place p is in the cluster, then all transitions in the post-set of p are in the cluster)

(if a transition t is in the cluster, then all places in the pre-set of t are in the cluster)

Cluster: example

Clusters partition

Lemma: The set $\{ [x] | x \in P \cup T \}$ is a partition of $P \cup T$

Take the reflexive, symmetric and transitive closure *E* of

$$
F\cap (P\times T)
$$

From the definition, it follows that

$$
y \in [x] \qquad \text{iff} \qquad (x, y) \in E
$$

Since *E* is an equivalence relation, its classes define a partition

Fundamental property of clusters in f.c. nets

Proposition:

If $M \stackrel{t}{-}$ \rightarrow , then for any $t' \in [t]$ we have $M \xrightarrow{t'}$

Immediate consequence of the fact that, for free-choice nets

$$
t, t' \in [x] \qquad \text{iff} \qquad \bullet t = \bullet t'
$$

Draw all clusters in the free-choice net below

Stable markings

Stable set of markings

Definition: A set of markings M is called stable if

 $M \in \mathbf{M}$ implies $\{ M \} \subseteq \mathbf{M}$

(starting from any marking in the stable set **M**, no marking outside **M** is reachable)

Question time

Given a net system:

Is the singleton set $\{ 0 \}$ a stable set?

Is the set of all markings a stable set?

Is the set of live markings a stable set?

Is the set of deadlock markings a stable set?

Stability check

M is stable iff $\forall M,t,M'. \, (M \in {\bf M} \, \wedge \, M \stackrel{t}{\longrightarrow} M' \,$ implies $M' \in {\bf M})$

Example

Which of the following is a stable set of markings?

Which of the following is a stable set of markings?

$$
\{p_1, p_3\}
$$

$$
\{2p_1+2p_2, 2p_3\}
$$

$$
\{2p_1+2p_2, p_1+p_2+p_3, 2p_3\}
$$

$$
\{p_1, 2p_1+2p_2, p_1+p_2+p_3, 2p_3\}
$$

Given a net system:

Is the set $\{ M | M(P)=1 \}$ a stable set?

Is the set of markings reachable from M_0 a stable set?

Is the set $\{ M | M(P) \le k \}$ a stable set?

Let **I** be an S-invariant

Is the set $\{ M \mid I \cdot M = I \cdot M_0 \}$ a stable set?

Is the set $\{M \mid I \cdot M \neq I \cdot M_0 \}$ a stable set?

Is the set $\{ M \mid I \cdot M = 1 \}$ a stable set?

Is the set $\{ M \mid I \cdot M = 0 \}$ a stable set?

Let **M** and **M'** be stable sets Is their union a stable set? Is their intersection a stable set? Is their difference a stable set?

What is the least stable set that includes a marking M_0 ?

What is the largest stable set of a net?

Siphons

Proper siphon

Definition:

A set of places R is a siphon if $\bullet R \subseteq R \bullet$

It is a **proper siphon** if $R \neq \emptyset$

Siphons, intuitively

A set of places R is a siphon if

all transitions that can produce tokens in the places of R

require some place in R to be marked

Therefore: if no token is present in R, then no token will ever be produced in R

Siphon check

Let R be a set of places of a net

mark with **√** all transitions that consumes tokens from R

if there is a transition producing tokens in some place of R that is not marked by **√**, then R is not a siphon

Otherwise R is a siphon

Is $R = \{ \text{prod1busy}, \text{prod1free}, \text{itembuffer} \}$ a siphon?

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Is $R = \{ \text{prod1busy}, \text{itembuffer} \}$ a siphon?

Fundamental property of siphons

Proposition: Unmarked siphons remain unmarked

Take a siphon R.

We just need to prove that the set of markings $M = \{ M | M(R)=0 \}$ is stable, which is immediate by definition of siphon
Consequence of the fundamental property

Corollary:

If a siphon R is marked at some reachable marking M, then it was initially marked at M_0

By hypothesis: M(R)>0

By contradiction: assume $M_0(R)=0$ Then by the fundamental property of siphons: $M(R)=0$ which is absurd

Siphons and liveness

Prop.: Live systems have no unmarked proper siphons (We show that every proper siphon R of a live system is initially marked)

Take $p \in R$ and let $t \in \bullet p \cup p \bullet$

Since the system is live, then there are $M, M' \in [M_0 \rangle$ such that

$$
M \stackrel{t}{\longrightarrow} M'
$$

Therefore *p* is marked at either *M* or *M* Therefore *R* is marked at either *M* or *M* Therefore R was initially marked (at M_0)

Siphons and deadlock

Proposition:

Deadlocked systems have an unmarked proper siphon

Let *M* be a deadlocked marking

$$
\mathsf{Let}\ R = \{p \mid M(p) = 0\}
$$

Since *M* is deadlock: *R•* = *T*

Therefore $\bullet R \subseteq T = R \bullet$ and R is a siphon. Since *T* cannot be empty, *R* is proper

A key observation

If we can guarantee that

all proper siphons are marked at **every** reachable marking,

then the system is deadlock free

Exercise

Prove that the union of siphons is a siphon

Proper trap

Definition:

A set of places *R* is a trap if $\bullet R \supseteq R \bullet$

It is a **proper trap** if $R \neq \emptyset$

Traps, intuitively

A set of places R is a trap if

all transitions that can consume tokens from R

produce some token in some place of R

Therefore: if some token is present in R, then it is never possible for R to become empty

Trap check

Let R be a set of places of a net

mark with **√** all transitions that produce tokens in R

if there is a transition consuming tokens from some place in R that is not marked by **√**, then R is not a trap

Otherwise R is a trap

Trap check: example *•R* ◆ *R•*

Is $R = \{$ itembuffer, cons1busy, cons1free} a trap?

Trap check: example *•R* ◆ *R•*

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Is $R = \{$ itembuffer, cons1busy $\}$ a trap?

Trap check: example $\bullet R \supseteq R \bullet$

Is $R = \{$ itembuffer, cons1busy $\}$ a trap?

Fundamental property of traps

Proposition: Marked traps remain marked

Take a trap R.

We just need to prove that the set of markings $M = \{ M | M(R) > 0 \}$ is stable, which is immediate by definition of trap

Consequence of the fundamental property

Corollary:

If a trap R is unmarked at some reachable marking M, then it was initially unmarked at M_0

By hypothesis: $M(R)=0$

By contradiction: assume $M_0(R)$ >0

Then by the fundamental property of traps: M(R)>0 which is absurd

Exercise

Prove that the union of traps is a trap

Putting pieces together

unmarked siphons stay unmarked (marked siphons can become unmarked)

if a siphon is marked at M, it was marked at M_0

if all proper siphons always stay marked \Rightarrow deadlock-free

Putting pieces together

if all proper siphons always stay marked \Rightarrow deadlock-free

marked traps stay marked (unmarked traps can become marked)

if a trap is unmarked at M, it was unmarked at M_0

if a siphon contains a marked trap, it stays marked

if all siphons contain marked traps, they stay marked => deadlock-free

A sufficient condition for deadlock-freedom

Proposition:

If every proper siphon of a system includes an initially marked trap, then the system is deadlock-free

We show that if the system is not deadlock free, then there is a siphon that does not include any marked trap.

Assume some reachable M is dead. Let R be the set of unmarked places at M. Then, we have seen that R is a proper siphon. Since M(R)=0, then R includes no trap marked at M. Therefore, R includes no trap marked at M_0

Note

It is easy to observe that the every siphon includes a (possibly empty) unique maximal trap with respect to set inclusion

Moreover, a siphon includes a marked trap iff its maximal trap is marked

Exercise

Find all siphons and traps in the net below

Live and dead places (recall)

Place liveness

Definition: Let (P, T, F, M_0) be a net system.

A place $p \in P$ is live if $\forall M \in [M_0 \rangle \ldotp \exists M' \in [M \rangle \ldotp M'(p) > 0$

A place p is live if every time it becomes unmarked there is still the possibility to be marked in the future (or if it is always marked)

Definition:

A net system (P, T, F, M_0) is **place-live** if every place $p \in P$ is live

liveness implies place-liveness

Dead nodes

Definition: Let (*P, T, F*) be a net system.

A transition $t \in T$ is **dead** at M if $\forall M' \in [\,M\, \rangle. \, M' \not\stackrel{t}{\longleftrightarrow}$

 A place $p \in P$ is dead at M if $\forall M' \in [M \rangle$. $M'(p) = 0$

Some obvious facts

If a system is not live, it has a transition dead at some reachable marking

If a system is not place-live, it has a place dead at some reachable marking

If a place / transition is dead at M, then it remains dead at any marking reachable from M (the set of dead nodes can only increase during a run)

Every transition in the pre- or post-set of a dead place is also dead

An obvious facts in free-choice nets

In a free-choice net:

if an output transition t of a place p is dead at M

then any output transition t' of p is dead at M

(because t and t' must have the same pre-set)

Dead t, dead p

Lemma: If the transition t is dead at M in a free-choice net, then there is a non-live place p in the pre-set of t (i.e., p is dead at some marking reachable from M)

By contraposition, we prove: if all input places of *t* are live then *t* is not dead Let $\bullet t = [t] \cap P = \{p_1, ..., p_n\}$

Since all places $p_1, ..., p_n$ are live at M , there exists $M \xrightarrow{\sigma_1} M_1 \xrightarrow{\sigma_2} \dots \xrightarrow{\sigma_n} M_n$ such that $M_i(p_i) > 0$ for all *i*

If the sequence contains $u \in [t]$ then t is not dead at M

If no transition in [*t*] appears in the sequence, then no token in *•t* is consumed H ence $M_n(p_i) > 0$ for all i , and M_n *t* \longrightarrow and *t* is not dead at M

Place-liveness implies liveness in f.c. nets

Proposition: If a free-choice system is place-live, then it is live

If a free-choice system is not live then there is a transition t dead at some reachable marking M

But then some input place of t must be dead at M, so the system is not place-live

Consequence in f.c. nets: place-liveness = liveness

If a free-choice system is place-live, then it is live

In any system, liveness implies place-liveness

Therefore:

A free-choice system is live iff it is place-live

Non-liveness and unmarked siphons

Lemma: Every non-live free-choice system has a proper siphon R and a reachable marking M such that $M(R)=0$

By non-liveness: the system is not place-live, i.e., some *p* is dead at some *L*

Take $M \in |L\rangle$ such that every place not dead at M is not dead at any marking of $|M\rangle$ i.e. all markings in $\mid M \rangle$ have the same set R dead places (dead places remain dead)

Next we prove that *R* is a proper siphon and $M(R)=0$

Non-liveness and unmarked siphons

Lemma: Every non-live free-choice system has a proper siphon R and a reachable marking M such that $M(R)=0$

1. *R* is a siphon

- any $t \in \bullet R$ is dead at M (if not any $q \in t \bullet \cap R$ would not be dead)
- *•* every *t* dead at *M* has an input place in *R* (*t* has some input place dead at some marking reachable from *M*)
- 2. *R* is proper

p is dead at *L*, hence it is dead at *M*, hence $p \in R$, hence $R \neq \emptyset$

3. $M(R)=0$ because it contains dead places

Commoner's theorem

Commoner's theorem

Theorem: A free-choice system is live

iff

every proper siphon includes an initially marked trap

(we show just the "if" direction, which is simpler)

Commoner's theorem: "if" direction

(Non-live free-choice implies that a proper siphon exists whose traps are all unmarked)

We know that a non-live free-choice system contains a proper siphon R such that $M(R)=0$

So every trap included in R is unmarked at M

Since marked traps remain marked, every trap included in R must have been initially unmarked

Complexity of the non-liveness problem in free-choice systems

A non-deterministic algorithm for non-liveness

- 1. guess a set of places R
- 2. check if R is a siphon $(\cdot R \subseteq R\cdot)$ (polynomial time)
- 3. if R is a siphon, compute the maximal trap $Q \subseteq R$

4. if $M_0(Q)=0$, then answer "non-live" (polynomial time)
A polynomial algorithm for maximal trap in a siphon 3. if R is a siphon, compute the maximal trap $Q \subseteq R$ $\bullet R \subseteq R \bullet$ \bullet $\bullet Q \supseteq Q \bullet$

Input: A net $N = (P, T, F)$ and $R \subseteq P$ Output: $Q \subseteq R$

$$
Q := R
$$
\nwhile

\n
$$
(\exists p \in Q, \exists t \in p\bullet, t \notin \bullet Q)
$$
\n
$$
Q := Q \setminus \{p\}
$$
\nreturn

\n
$$
Q
$$

Main consequence

The non-liveness problem for free-choice systems is in NP

Is the same problem in P?

The corresponding deterministic algorithm cannot make the guess in step 1

It has to explore all possible subsets of places 2|P| cases!

NP-completeness

We next sketch the proof of the reduction to non-liveness in a free-choice net of the CNF-SAT problem

(Satisfiability problem for propositional formulas in conjunctive normal form)

CNF-SAT formulas

Variables: *x*1*, x*2*, ..., xⁿ*

Literals: $x_1, \bar{x}_1, x_2, \bar{x}_2, ..., x_n, \bar{x}_n$

Clause: disjunction of literals

Formula: conjunction of clauses

Example: $\phi = (x_1 \vee \bar{x_3}) \wedge (x_1 \vee \bar{x_2} \vee x_3) \wedge (x_2 \vee \bar{x_3})$

Is there an assignment of boolean values to the variables such that $\phi = true$?

The free-choice net of a formula

The idea is to construct a free-choice system (P,T,F,M0) and show that

> the formula is satisfiable iff (P,T,F,M0) is not live

CNF-SAT formulas

Is there an assignment of boolean values to the variables such that $\phi = true$?

Is there an assignment of boolean values to the variables such that $\neg \phi = false$?

$$
\phi = (x_1 \lor \overline{x}_3) \land (x_1 \lor \overline{x}_2 \lor x_3) \land (x_2 \lor \overline{x}_3)
$$

$$
\neg \phi = (\overline{x}_1 \land x_3) \lor (\overline{x}_1 \land x_2 \land \overline{x}_3) \lor (\overline{x}_2 \land x_3)
$$

 $\neg \phi = (\overline{x}_1 \wedge x_3) \vee (\overline{x}_1 \wedge x_2 \wedge \overline{x}_3) \vee (\overline{x}_2 \wedge x_3)$

 $\neg \phi = (\overline{x}_1 \wedge x_3) \vee (\overline{x}_1 \wedge x_2 \wedge \overline{x}_3) \vee (\overline{x}_2 \wedge x_3)$

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 $\neg \phi = (\overline{x}_1 \wedge x_3) \vee (\overline{x}_1 \wedge x_2 \wedge \overline{x}_3) \vee (\overline{x}_2 \wedge x_3)$

 $\neg \phi = (\overline{x}_1 \wedge x_3) \vee (\overline{x}_1 \wedge x_2 \wedge \overline{x}_3) \vee (\overline{x}_2 \wedge x_3)$

If ϕ is satisfiable, then the net is not live

 $\frac{1}{2}$ C₂ C₂ $\frac{1}{2}$ C₂ C₂ $\frac{1}{2}$ C₂ C₂ If the net is not live, then ϕ is satisfiable

 $\neg \phi = (\overline{x}_1 \wedge x_3) \vee (\overline{x}_1 \wedge x_2 \wedge \overline{x}_3) \vee (\overline{x}_2 \wedge x_3)$

No polynomial algorithm to decide liveness of a free-choice system exists

(unless P=NP)

Exercise

Draw the net corresponding to the formula

$x_2 \wedge (x_1 \vee \overline{x}_3 \vee \overline{x}_4) \wedge (x_1 \vee \overline{x}_2) \wedge (\overline{x}_1 \vee x_4) \wedge (\overline{x}_2 \vee \overline{x}_4)$

Is it satisfiable?

Live and bounded free-choice nets

Rank Theorem

Theorem:

A free-choice system (P,T,F,M0) is live and bounded

iff

- 1. it has at least one place and one transition
- 2. it is connected
- 3. M0 marks every proper siphon
- 4. it has a positive S-invariant
- 5. it has a positive T-invariant
- 6. rank(N) = $|C_N|$ 1

(where C_N is the set of clusters)

A polynomial algorithm for maximal siphon

A polynomial algorithm for computing maximal siphon in R

Input: A net $N = (P, T, F, M_0)$, $R \subseteq P$ **Output:** $Q \subseteq R$

$$
Q := R
$$

while $(\exists p \in Q, \exists t \in \bullet p, t \notin Q\bullet)$

$$
Q := Q \setminus \{p\}
$$

return Q

 Q is a siphon if $\bullet Q \subseteq Q \bullet$

A polynomial algorithm for maximal unmarked siphon

3. M0 marks every proper siphon

 $\text{Input: A net } N = (P, T, F, M_0), \, R = \{ p \mid M_0(p) = 0 \}$ **Output:** $Q \subseteq R$ maximal unmarked siphon

$$
Q := R
$$
\nwhile

\n
$$
(\exists p \in Q, \exists t \in \bullet p, \ t \notin Q\bullet)
$$
\n
$$
Q := Q \setminus \{p\}
$$
\nreturn

\n
$$
Q
$$

If Q is empty then M_0 marks every proper siphon

Main consequence

Given a free-choice system, the problem to decide if it is live and bounded can be solved in polynomial time

Coverability

A technique to find a positive S-invariant

Decompose the free-choice net N in suitable S-nets so that any place of N belongs to an S-net (the same place can appear in more S-nets)

Each S-net provides a uniform S-invariant

A positive S-invariant is obtained as the sum of the S-invariants of each subnet

S-component

Definition: Let $N = (P, T, F)$ and $\emptyset \subset X \subseteq P \cup T$ Let $N' = (P \cap X, T \cap X, F \cap (X \times X))$ be a subnet of N. *N* is an S-component if

- 1. it is a strongly connected S-net
- 2. for every place $p \in X \cap P$, we have $\bullet p \cup p \bullet \subset X$

S-cover

Definition: Let **C** be a set of S-components of a net N

C is an **S-cover** if every place p of N belongs to one or more S-components in **C**

We say that N is **covered by S-components** if it has an S-cover

S-coverability theorem

Theorem: If a free-choice net N is live and bounded then N is S-coverable

(proof omitted)

Consequence:

free-choice + not S-coverable => not (live and bounded)

A technique to find a positive T-invariant

Decompose the free-choice net N in suitable T-nets so that any transition of N belongs to a T-net (the same transition can appear in more T-nets)

Each T-net provides a uniform T-invariant

A positive T-invariant is obtained as the sum of the T-invariants of each subnet

T-component

Definition: Let $N = (P, T, F)$ and $\emptyset \subset X \subseteq P \cup T$ Let $N' = (P \cap X, T \cap X, F \cap (X \times X))$ be a subnet of N. *N'* is a **T-component** if

- 1. it is a strongly connected T-net
- 2. for every transition $t \in X \cap T$, we have $\bullet t \cup t \bullet \subseteq X$

T-cover

Definition: Let **C** be a set of T-components of a net N

C is a **T-cover** if every transition t of N belongs to one or more T-components in **C**

We say that N is **covered by T-components** if it has a T-cover

T-cover: example

T-coverability theorem

Theorem: If a free-choice net N is live and bounded then N is T-coverable

(proof omitted)

Consequence:

free-choice + not T-coverable => not (live and bounded)

Exercise

Find an S-cover and a T-cover for the net below and derive suitable S- and T-invariants

Compositionality

Compositionality of sound free-choice nets

Lemma:

If a free-choice workflow net N is sound then it is safe

(because N^* is S-coverable and M_0 =i has just one token)

Proposition:

If N and N' are sound free-choice workflow nets then N[N'/t] is a sound free-choice workflow net

(we just need to show that N[N'/t] is free-choice)