

# Business Processes Modelling

## MPB (6 cfu, 295AA)

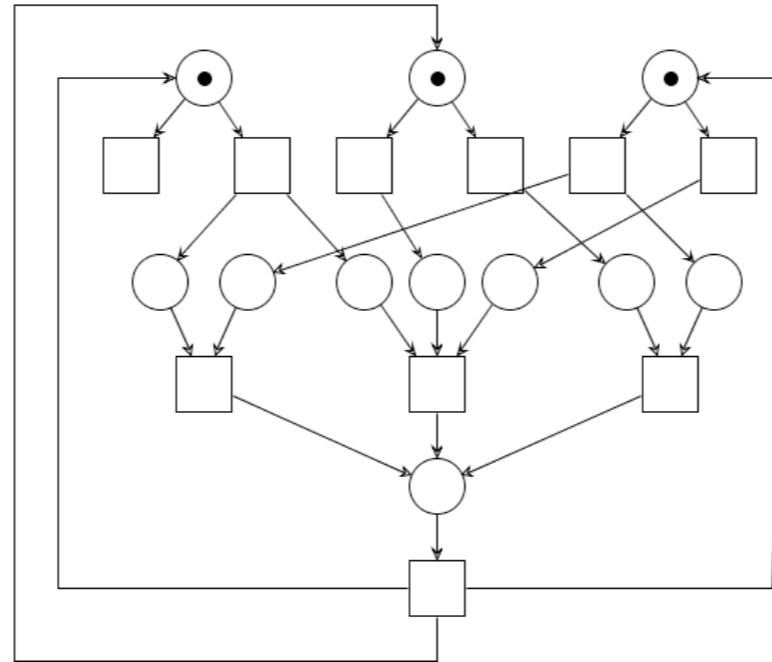
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<http://www.di.unipi.it/~bruni>

18 - Free-choice nets



# Object



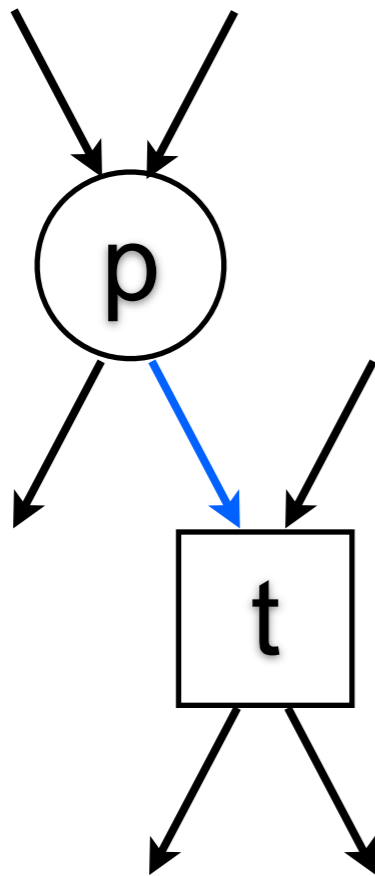
We study some “good” properties of free-choice nets

Free Choice Nets (book, optional reading)

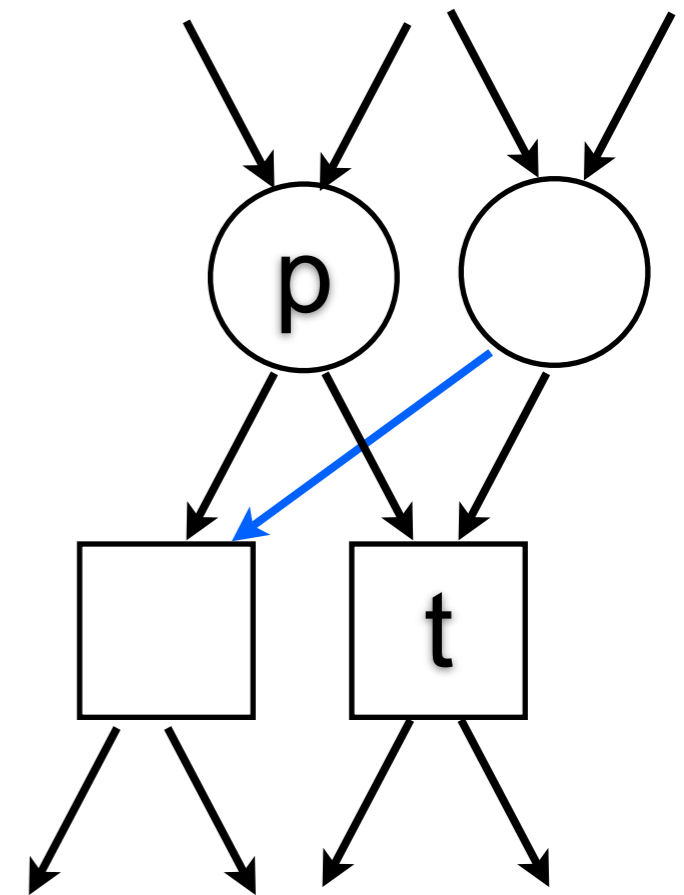
<https://www7.in.tum.de/~esparza/bookfc.html>

# Free-choice net

**Definition:** We recall that a net  $N$  is **free-choice** if whenever there is an arc  $(p,t)$ , then there is an arc from any input place of  $t$  to any output transition of  $p$



implies



# Free-choice net: alternative definitions

**Proposition:** All the following definitions of free-choice net are equivalent.

1) A net  $(P, T, F)$  is free-choice if:

$$\forall p \in P, \forall t \in T, (p, t) \in F \text{ implies } \bullet t \times p \bullet \subseteq F.$$

2) A net  $(P, T, F)$  is free-choice if:

$$\forall p, q \in P, \forall t, u \in T, \{(p, t), (q, t), (p, u)\} \subseteq F \text{ implies } (q, u) \in F.$$

3) A net  $(P, T, F)$  is free-choice if:

$$\forall p, q \in P, \text{ either } p \bullet = q \bullet \text{ or } p \bullet \cap q \bullet = \emptyset.$$

4) A net  $(P, T, F)$  is free-choice if:

$$\forall t, u \in T, \text{ either } \bullet t = \bullet u \text{ or } \bullet t \cap \bullet u = \emptyset.$$

# Free-choice net: my favourite definition

4) A net  $(P, T, F)$  is free-choice if:

$\forall t, u \in T$ , either  $\bullet t = \bullet u$  or  $\bullet t \cap \bullet u = \emptyset$ .

# Free-choice system

**Definition:** A system  $(N, M_0)$  is **free-choice** if  $N$  is free-choice

# Example

$$\bullet t_1 = \{p_1, p_3\}$$

$$\bullet t_2 = \{p_3\}$$

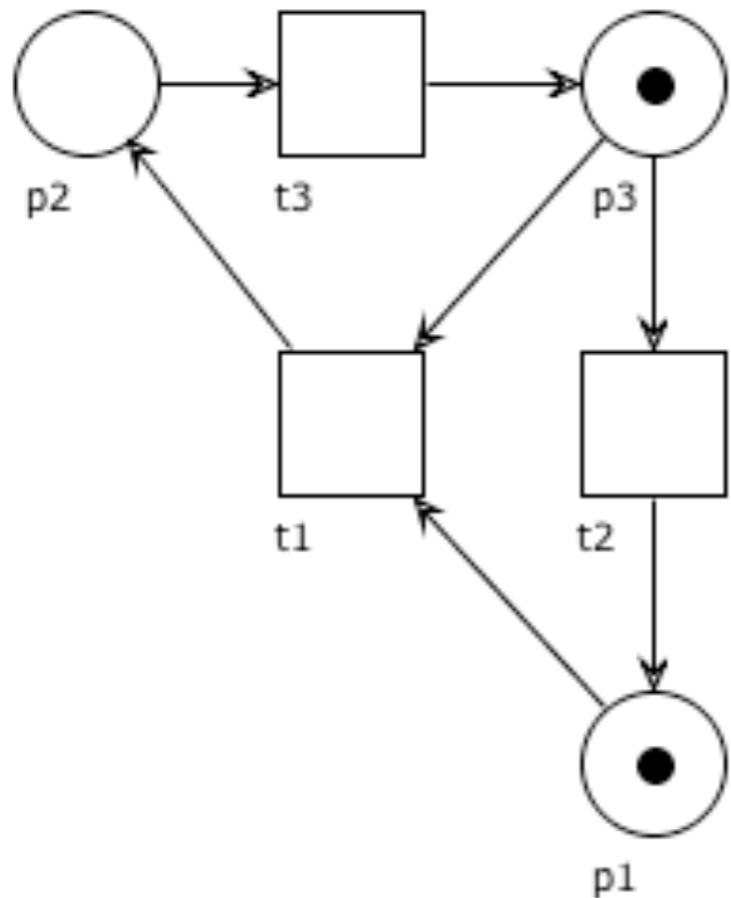
$$\bullet t_1 \neq \bullet t_2$$

$$\bullet t_1 \cap \bullet t_2 = \{p_3\} \neq \emptyset$$

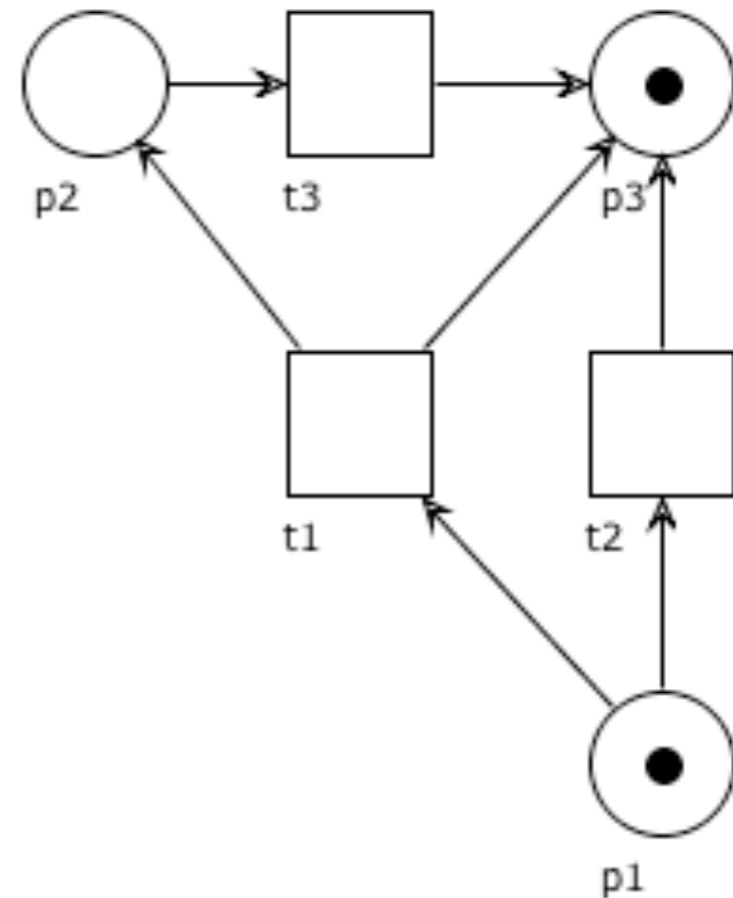
$$\bullet t_1 = \bullet t_2$$

$$\bullet t_1 \cap \bullet t_3 = \emptyset$$

$$\bullet t_2 \cap \bullet t_3 = \emptyset$$



non free-choice



free-choice

# Fundamental property of free-choice nets

**Proposition:** Let  $(P, T, F, M_0)$  be free-choice.

If  $M \xrightarrow{t}$  and  $t \in p\bullet$ , then  $M \xrightarrow{t'}$  for every  $t' \in p\bullet$ .

The proof is trivial, by definition of free-choice net



# Free-choice $N^*$

**Proposition:** A workflow net  $N$  is free-choice  
iff  $N^*$  is free-choice

$N$  and  $N^*$  differ only for the reset transition,  
whose pre-set (o) is disjoint  
from the pre-set of any other transition

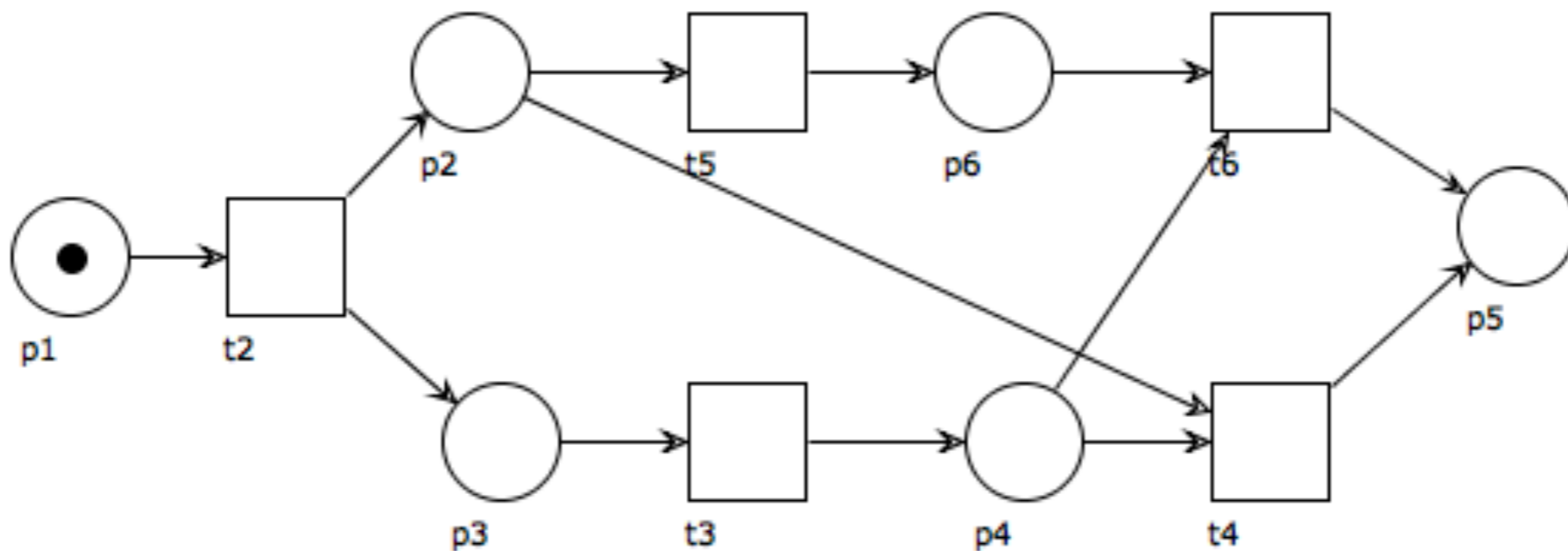
# Free-Choice vs Soundness

Note that free-choice is orthogonal to soundness:

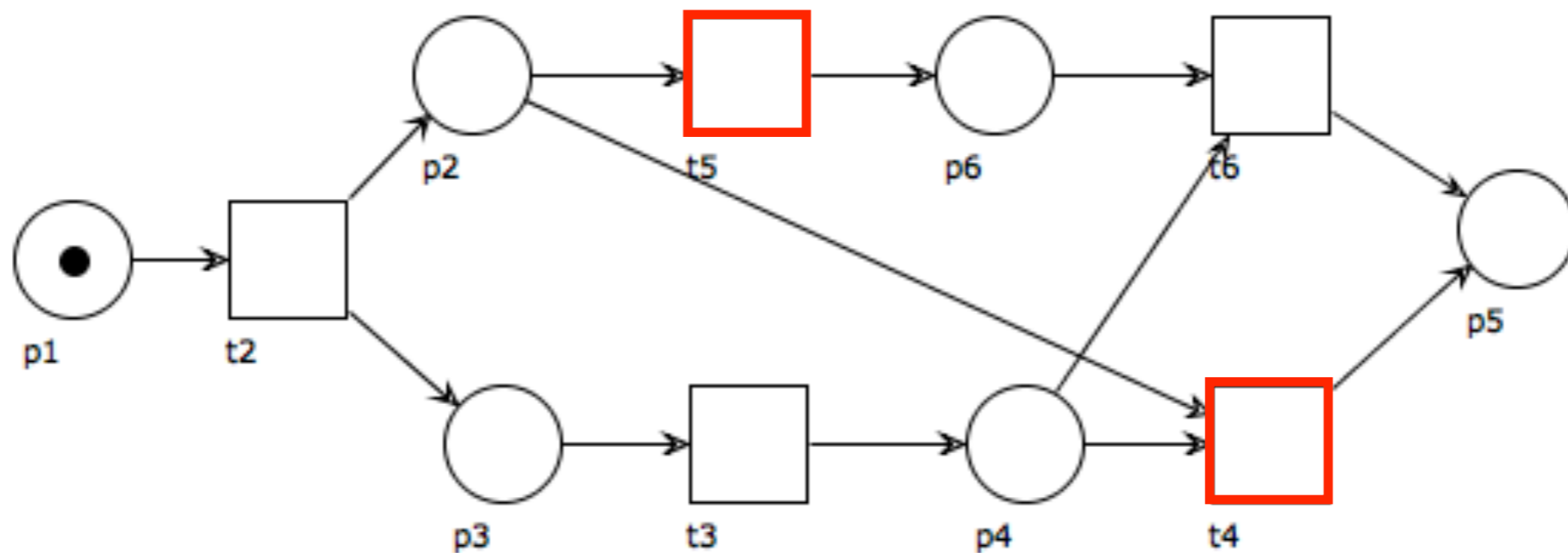
there exists WF-nets that are free-choice but not sound

there exists WF-nets that are sound but not free-choice

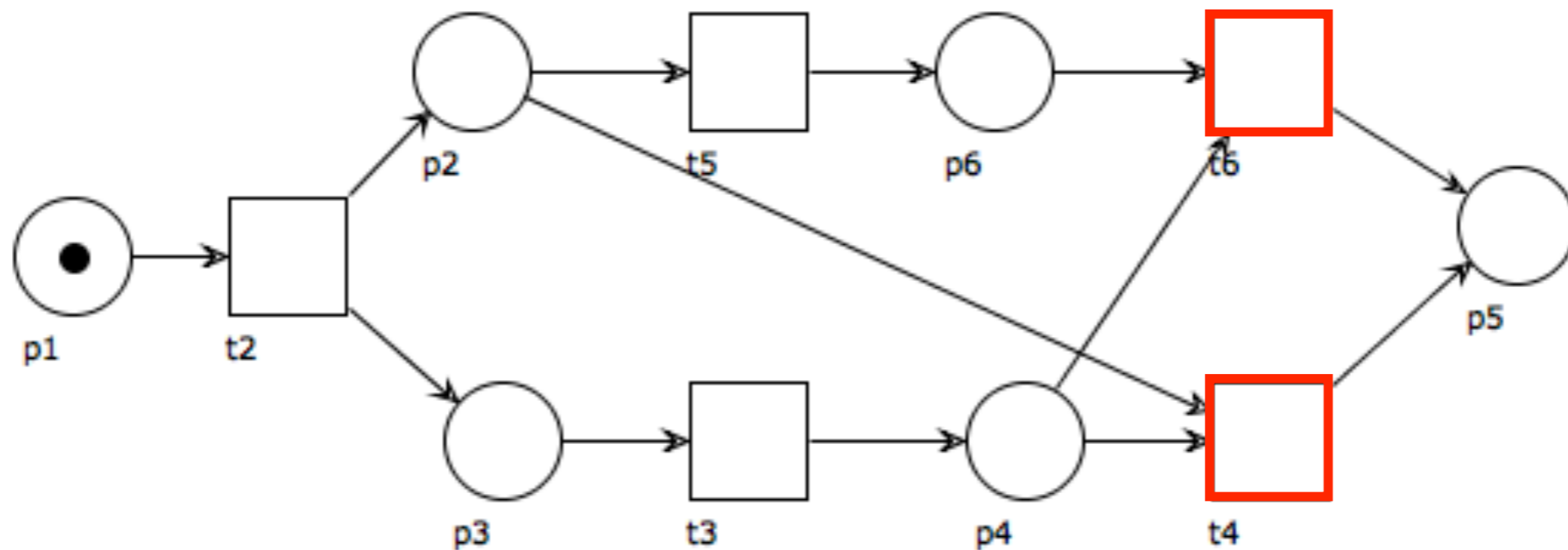
# Example: sound but not free-choice



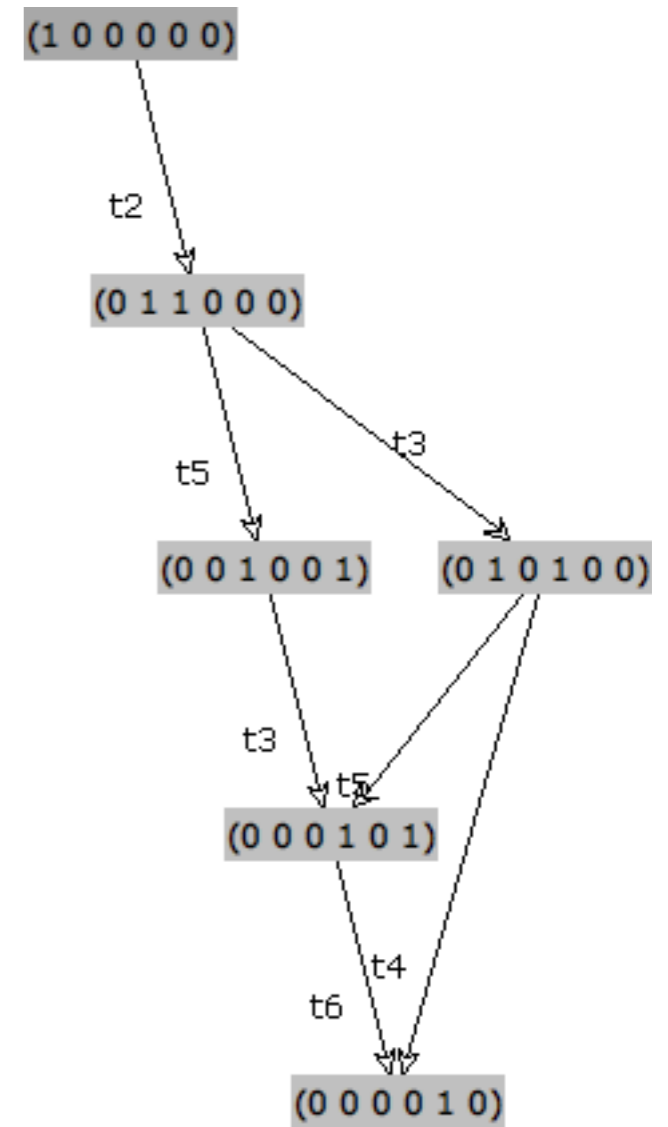
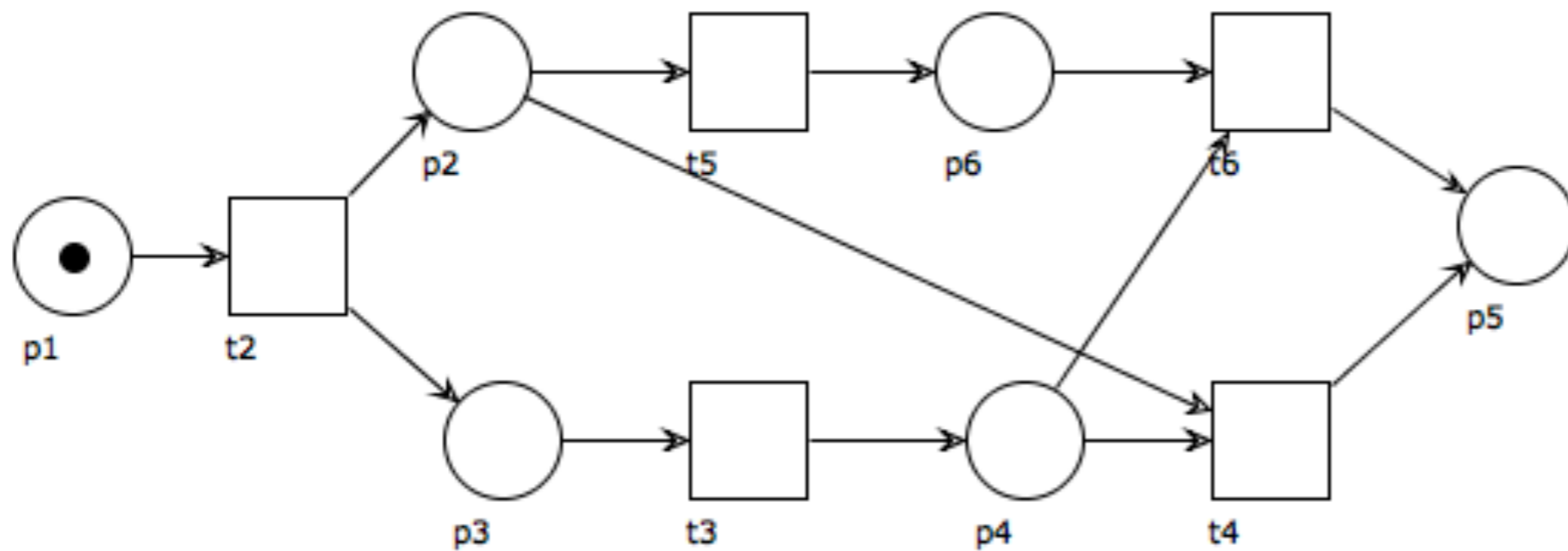
# Example: sound but not free-choice



# Example: sound but not free-choice



# Example: sound but not free-choice



# Exercise

Draw a workflow net that is free-choice but not sound

# Rank Theorem

(main result, proof omitted)

## Theorem:

A free-choice system  $(P, T, F, M_0)$  is live and bounded  
**iff**

1. it has at least one place and one transition
2. it is connected
3.  $M_0$  marks every proper **siphon**
4. it has a positive S-invariant
5. it has a positive T-invariant
6.  $\text{rank}(N) = |C_N| - 1$

(where  $C_N$  is the set of **clusters**)



# Clusters

# Cluster

Let  $x$  be the node of a net  $N = (P, T, F)$   
(not necessarily free-choice)

## **Definition:**

The **cluster** of  $x$ , written  $[x]$ , is the least set s.t.

1.  $x \in [x]$

# Cluster

Let  $x$  be the node of a net  $N = (P, T, F)$   
(not necessarily free-choice)

## Definition:

The **cluster** of  $x$ , written  $[x]$ , is the least set s.t.

1.  $x \in [x]$

2. if  $p \in [x] \cap P$  then  $p \bullet \subseteq [x]$  (if a place  $p$  is in the cluster,  
then all transitions in the  
post-set of  $p$  are in the cluster)

# Cluster

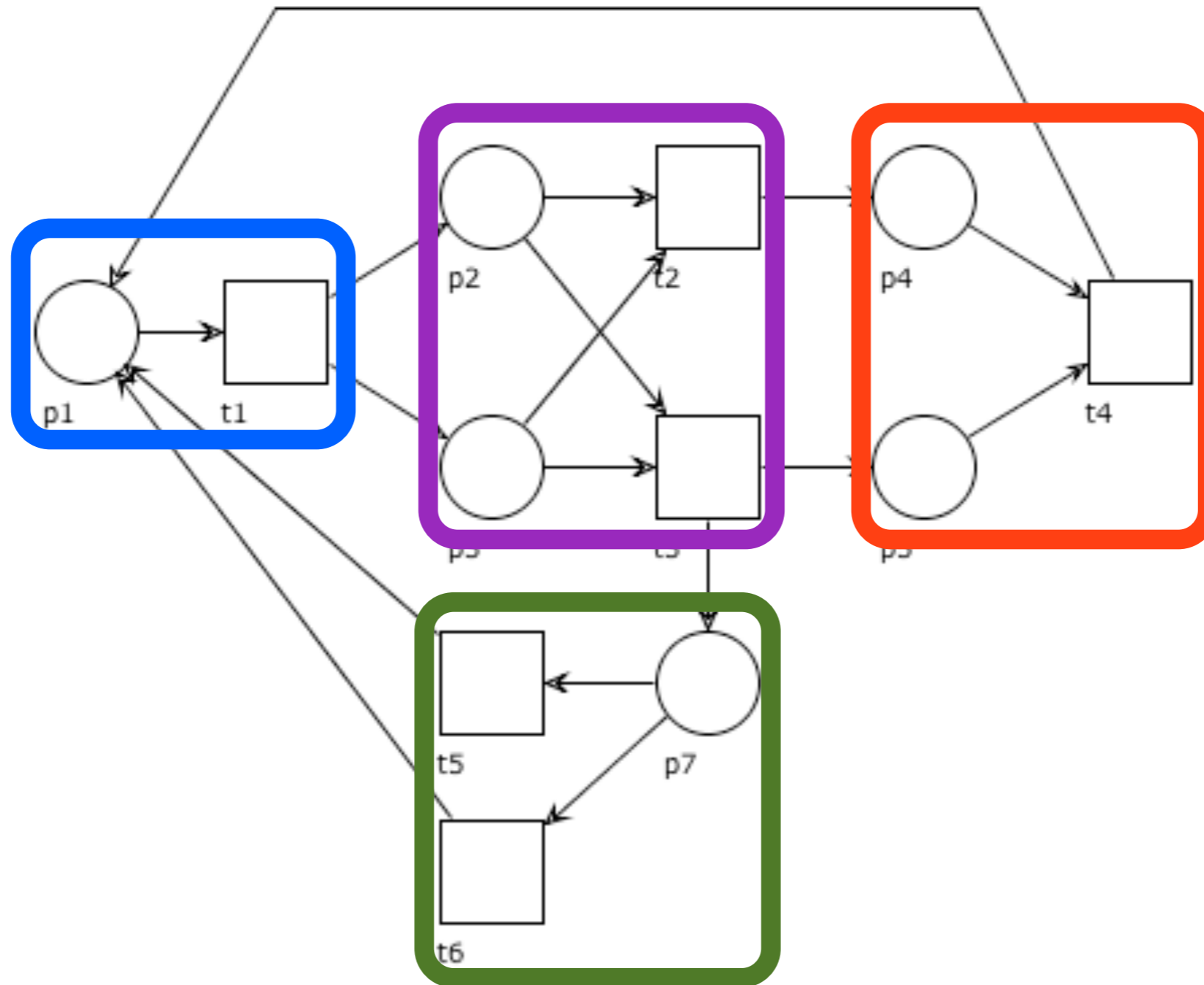
Let  $x$  be the node of a net  $N = (P, T, F)$   
(not necessarily free-choice)

## Definition:

The **cluster** of  $x$ , written  $[x]$ , is the least set s.t.

1.  $x \in [x]$
2. if  $p \in [x] \cap P$  then  $p\bullet \subseteq [x]$  (if a place  $p$  is in the cluster, then all transitions in the post-set of  $p$  are in the cluster)
3. if  $t \in [x] \cap T$  then  $\bullet t \subseteq [x]$  (if a transition  $t$  is in the cluster, then all places in the pre-set of  $t$  are in the cluster)

# Cluster: example



# Observation

Every place belongs to exactly one cluster

Every transition belongs to exactly one cluster

The set  $\{ [x] \mid x \in P \cup T \}$  is a partition of  $P \cup T$

# Fundamental property of clusters in f.c. nets

**Proposition:**

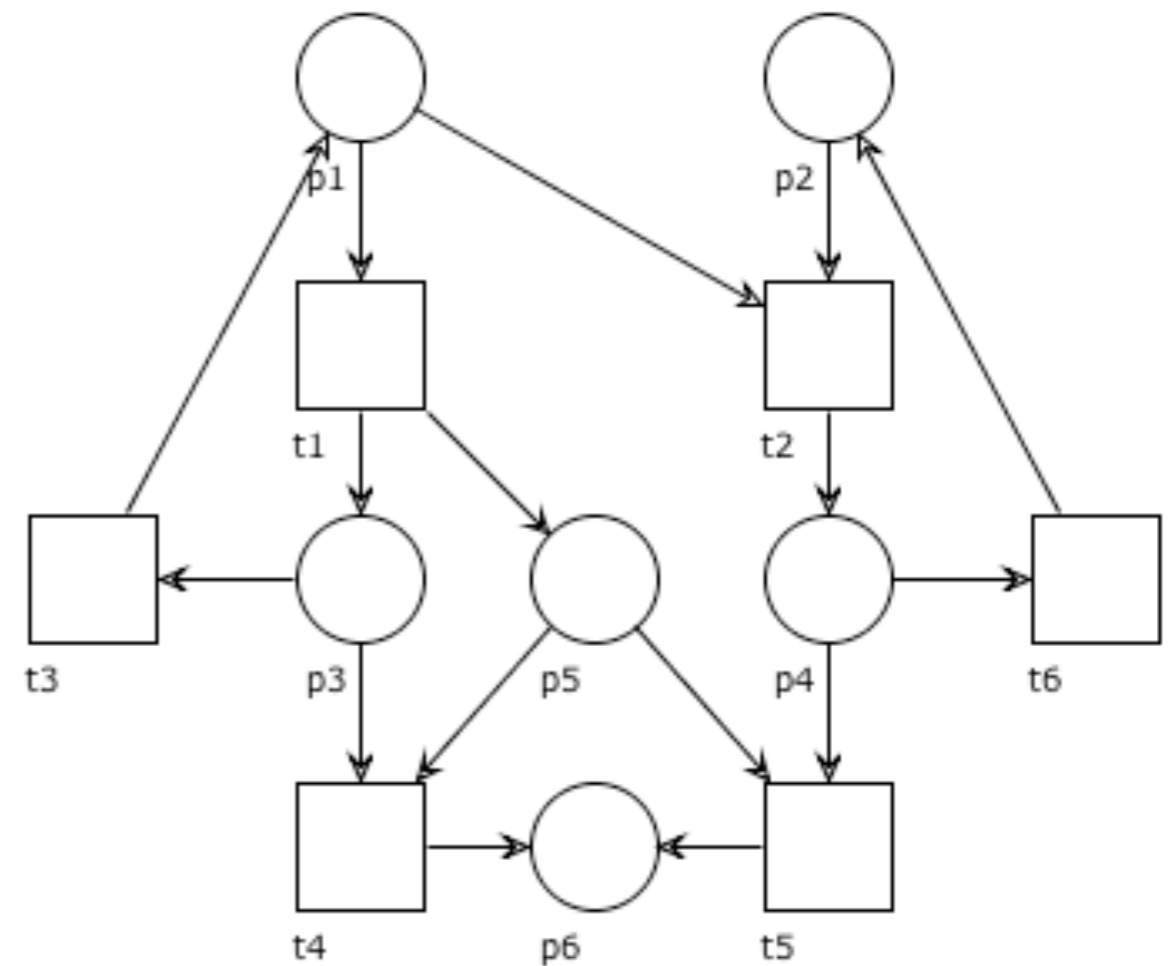
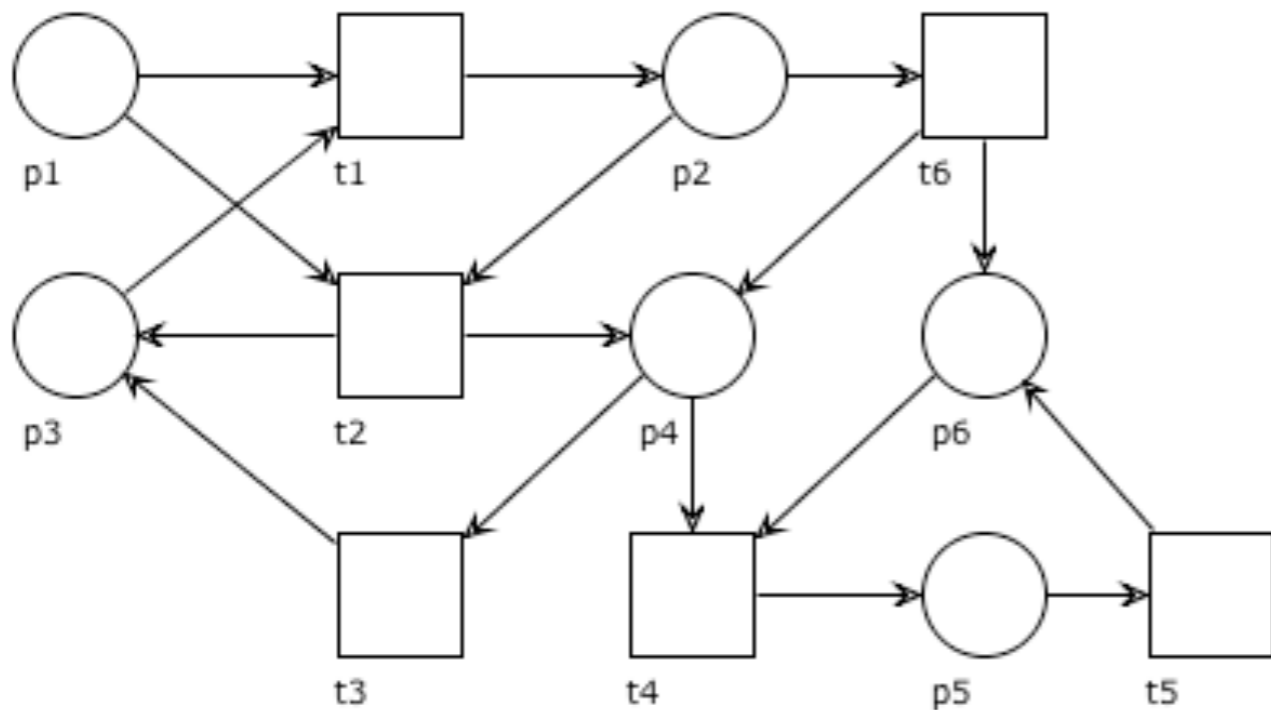
If  $M \xrightarrow{t}$ , then for any  $t' \in [t]$  we have  $M \xrightarrow{t'}$

Immediate consequence of the fact that, for free-choice nets

$$t, t' \in [x] \quad \text{iff} \quad \bullet t = \bullet t'$$

# Exercise

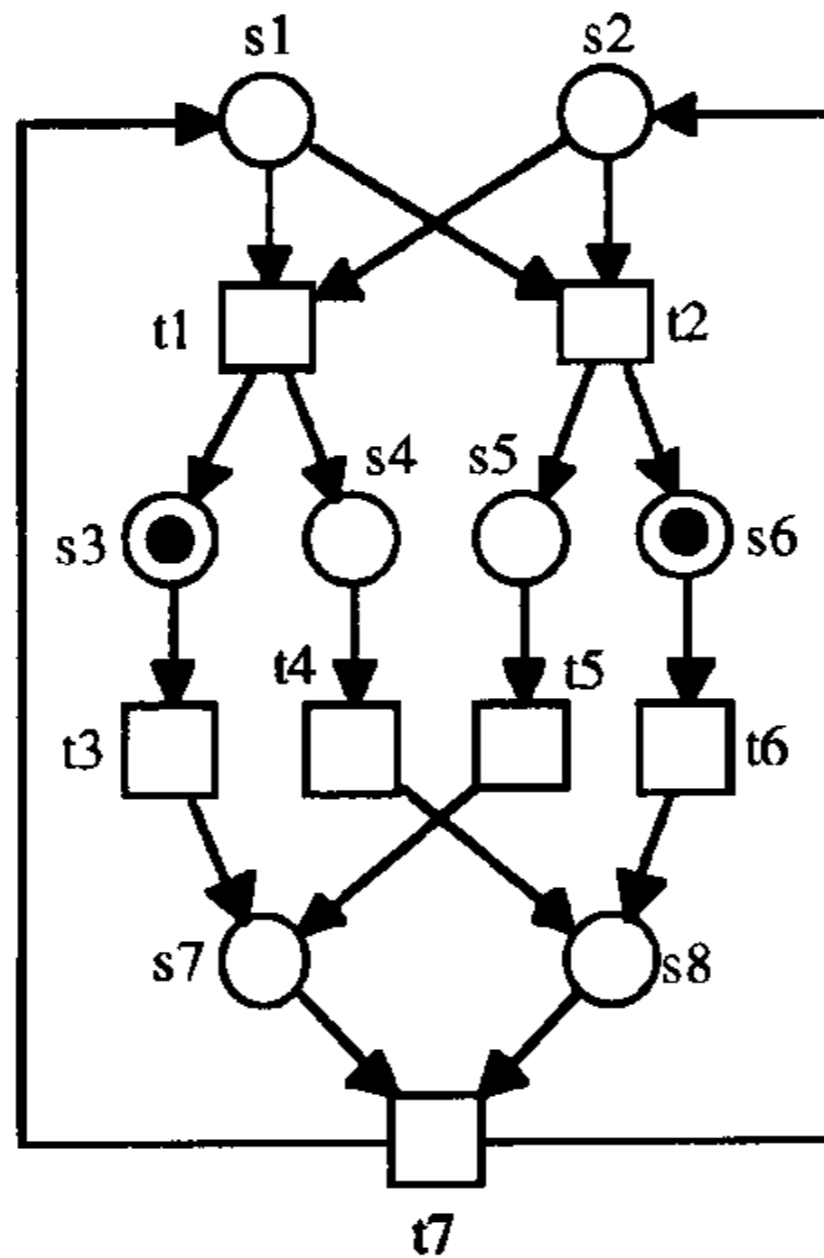
Draw all clusters in the nets below





# Exercise

Draw all clusters in the free-choice net below



# Stable markings

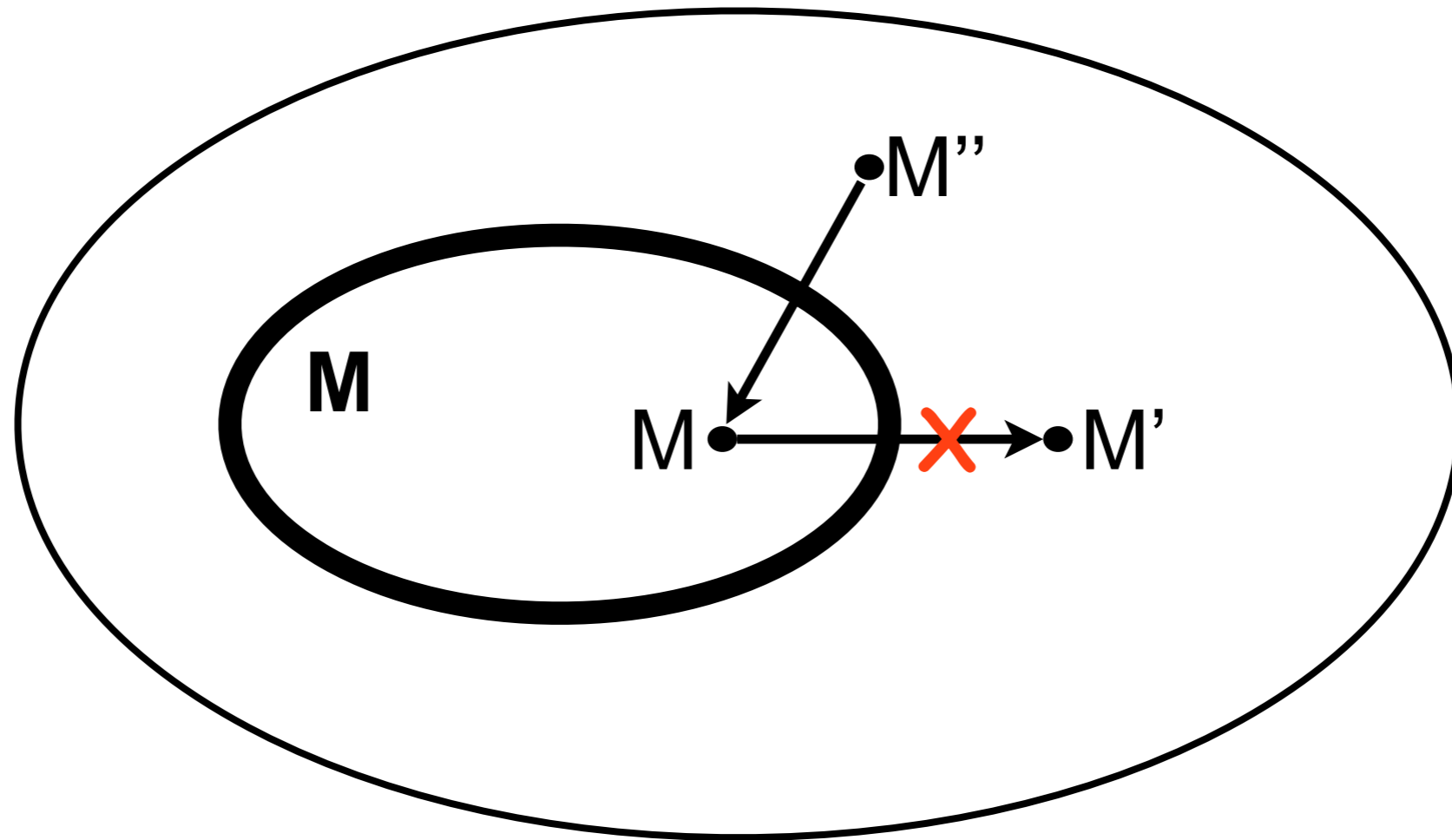
# Stable set of markings

**Definition:** A set of markings  $\mathbf{M}$  is called **stable** if

$$M \in \mathbf{M} \quad \text{implies} \quad [M \rangle \subseteq \mathbf{M}$$

(starting from any marking in the stable set  $\mathbf{M}$ ,  
no marking outside  $\mathbf{M}$  is reachable)

# Stable set of markings



(starting from any marking **M** in the stable set **M**,  
no marking **M'** outside **M** is reachable)

# Stability check

$\mathbf{M}$  is stable iff

$$\forall M, t, M'. (M \in \mathbf{M} \wedge M \xrightarrow{t} M' \text{ implies } M' \in \mathbf{M})$$

# Question time

Given a net system:

Is the singleton set  $\{ 0 \}$  a stable set?

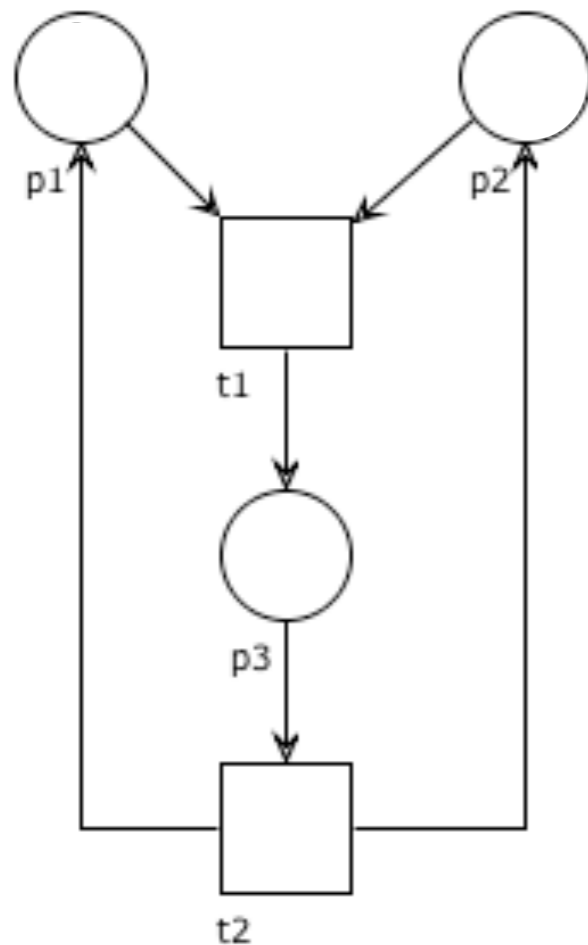
Is the set of all markings a stable set?

Is the set of live markings a stable set?

Is the set of deadlock markings a stable set?

# Example

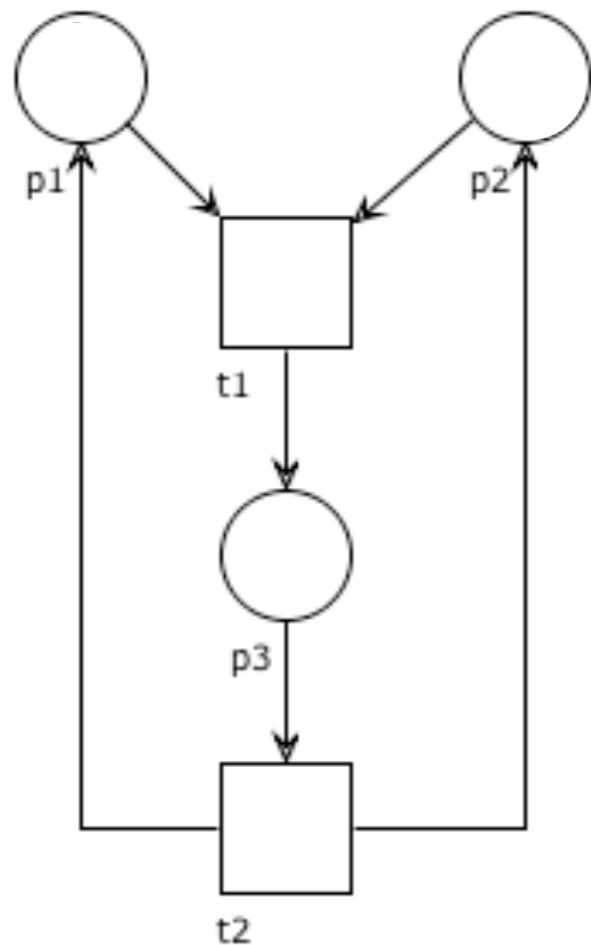
Which of the following is a stable set of markings?



- $\{ 2p_1+p_2 \}$
- $\{ 2p_1+p_2 , p_1+2p_3 \}$
- $\{ p_1 , p_2 \}$
- $\{ p_1+p_2 , p_3 \}$

# Exercises

Which of the following is a stable set of markings?



$$\{ p_1, p_3 \}$$

$$\{ 2p_1+2p_2, 2p_3 \}$$

$$\{ 2p_1+2p_2, p_1+p_2+p_3, 2p_3 \}$$

$$\{ p_1, 2p_1+2p_2, p_1+p_2+p_3, 2p_3 \}$$



# Exercises

Given a net system  $(P, T, F, M_0)$ :

Is the set  $\{ M \mid M(P)=1 \}$  a stable set?

Is the set of markings reachable from  $M_0$  a stable set?

Is the set  $\{ M \mid M(P) < k \}$  a stable set?

# Exercises

Let  $I$  be an  $S$ -invariant

Is the set  $\{ M \mid I \cdot M = I \cdot M_0 \}$  a stable set?

Is the set  $\{ M \mid I \cdot M \neq I \cdot M_0 \}$  a stable set?

Is the set  $\{ M \mid I \cdot M = 1 \}$  a stable set?

Is the set  $\{ M \mid I \cdot M = 0 \}$  a stable set?

# Exercises

Let  $\mathbf{M}$  and  $\mathbf{M}'$  be stable sets

Prove that their union is a stable set

Prove that their intersection is a stable set

Is their difference a stable set?

What is the least stable set that includes a marking  $M_0$ ?

What is the largest stable set of a net?

# Siphons

# Proper siphon

**Definition:**

A set of places  $R$  is a **siphon** if  $\bullet R \subseteq R\bullet$

It is a **proper siphon** if  $R \neq \emptyset$

# Siphons, intuitively

A set of places  $R$  is a siphon if  
all transitions that can produce tokens in the places of  $R$   
require some place in  $R$  to be marked

Therefore:  
if no token is present in  $R$ ,  
then no token will ever be produced in  $R$

# Siphon check

Let  $R$  be a set of places of a net

mark with  $\checkmark$  all transitions that consume tokens from  $R$

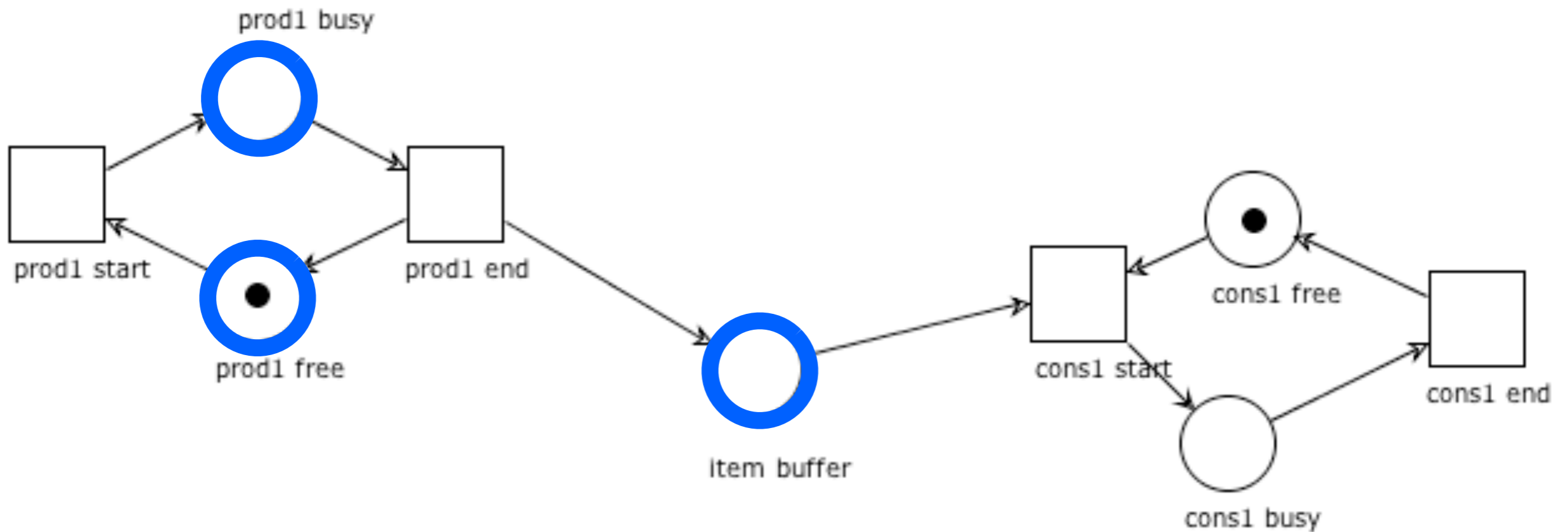
if there is a transition producing tokens in some place of  $R$  that is not marked by  $\checkmark$ , then  $R$  is not a siphon

Otherwise  $R$  is a siphon

•  $R \subseteq R$  •

# Siphon check: example

Is  $R = \{ \text{prod1 busy}, \text{prod1 free}, \text{itembuffer} \}$  a siphon?

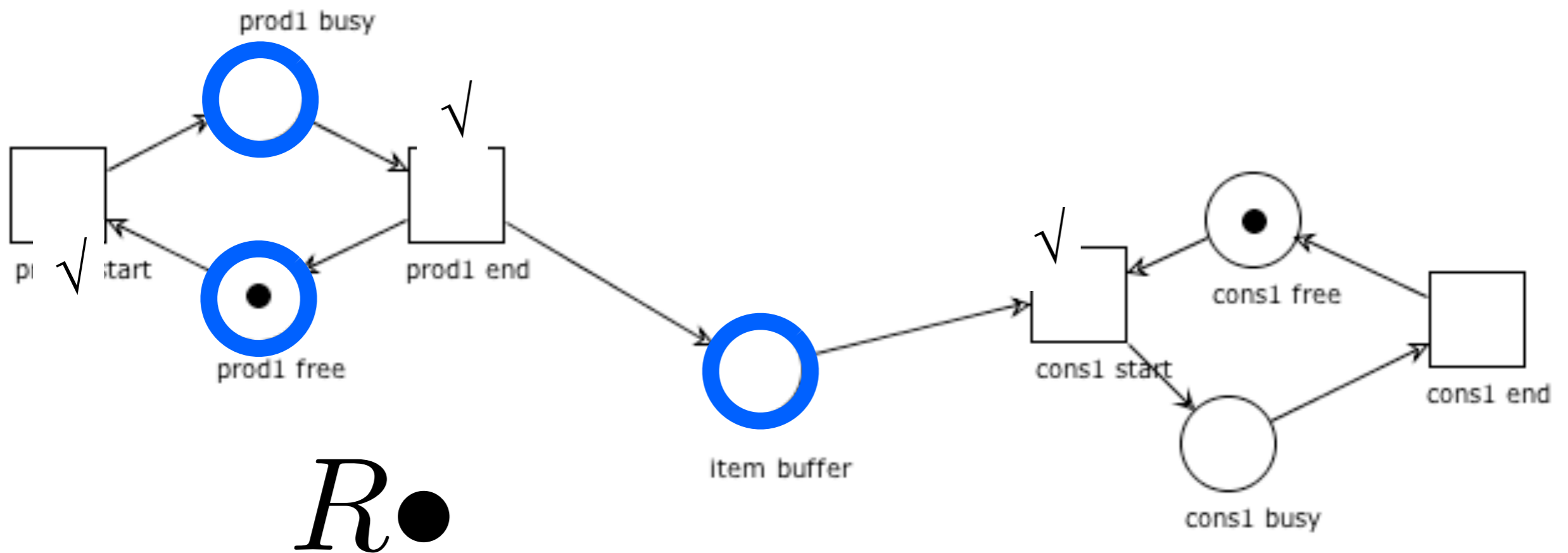




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# Siphon check: example

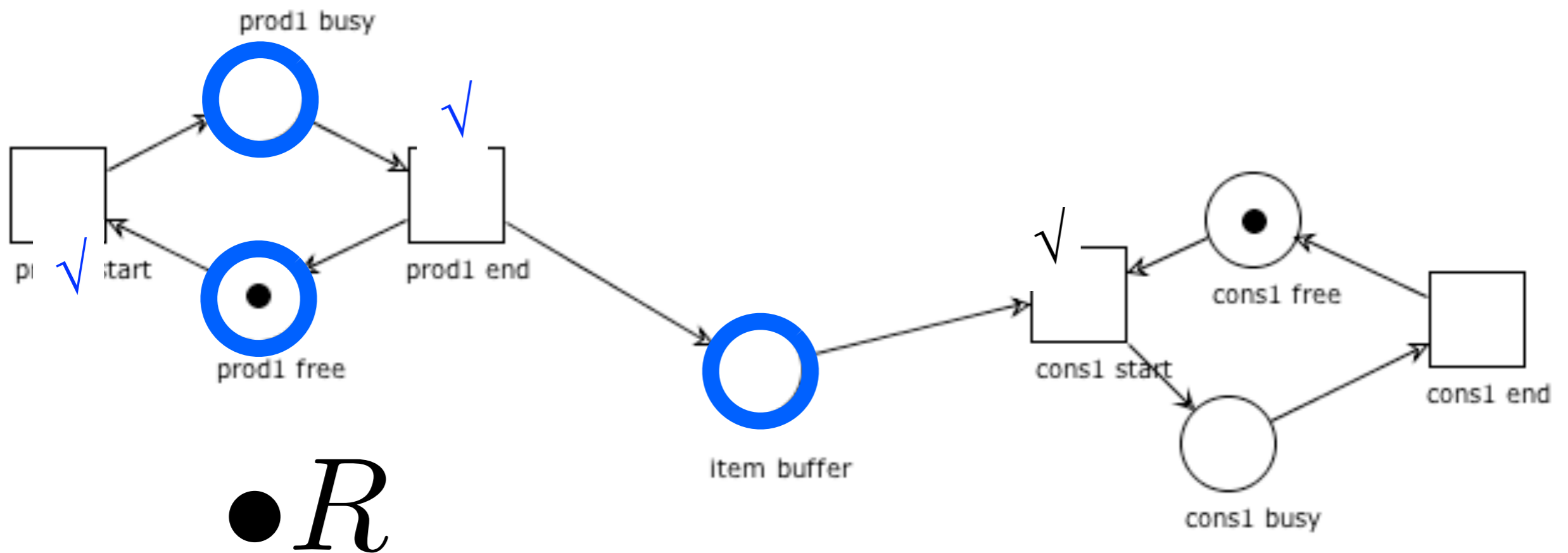
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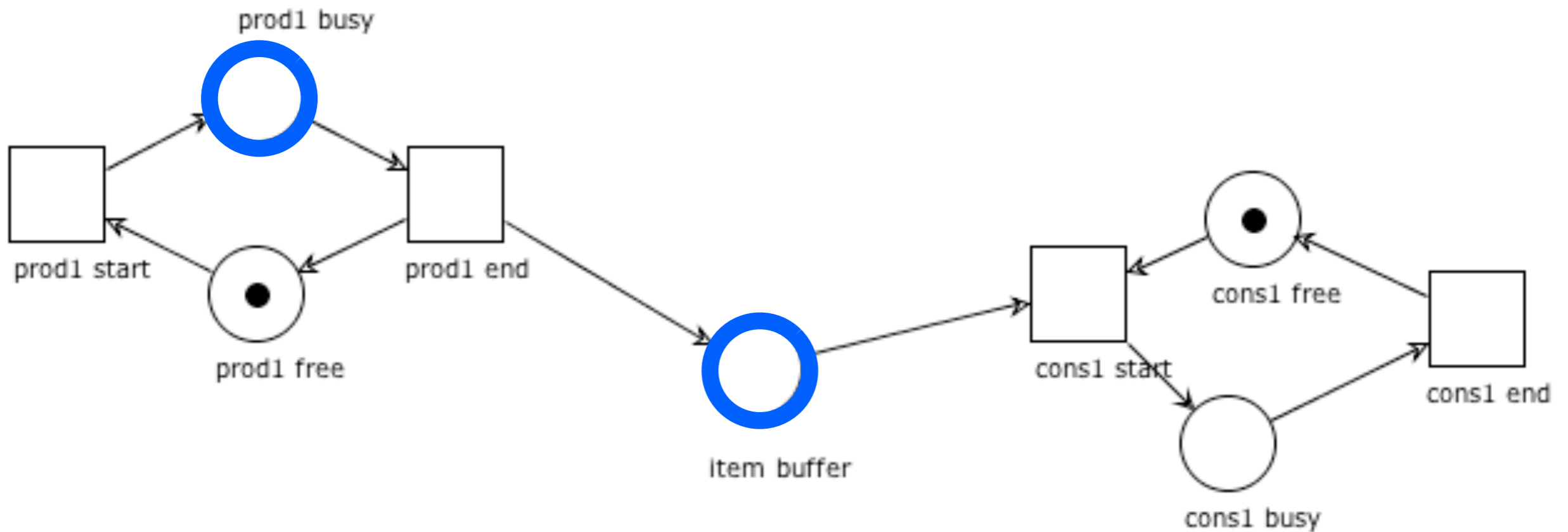
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# Siphon check: example

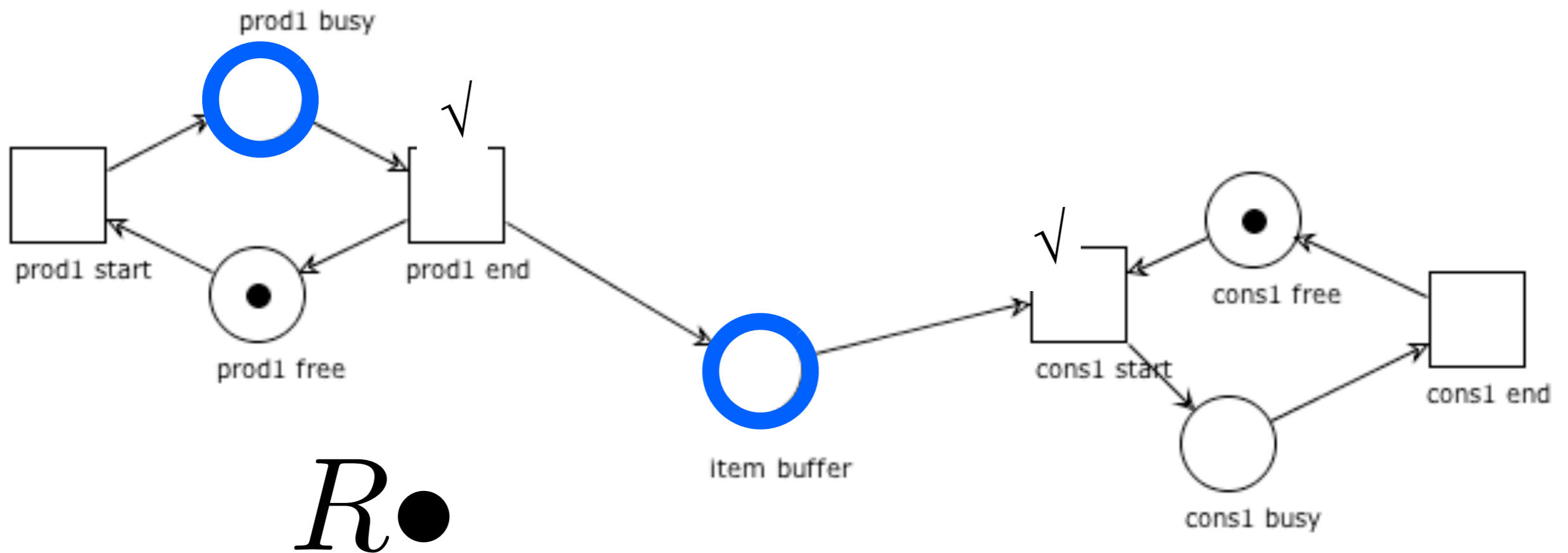
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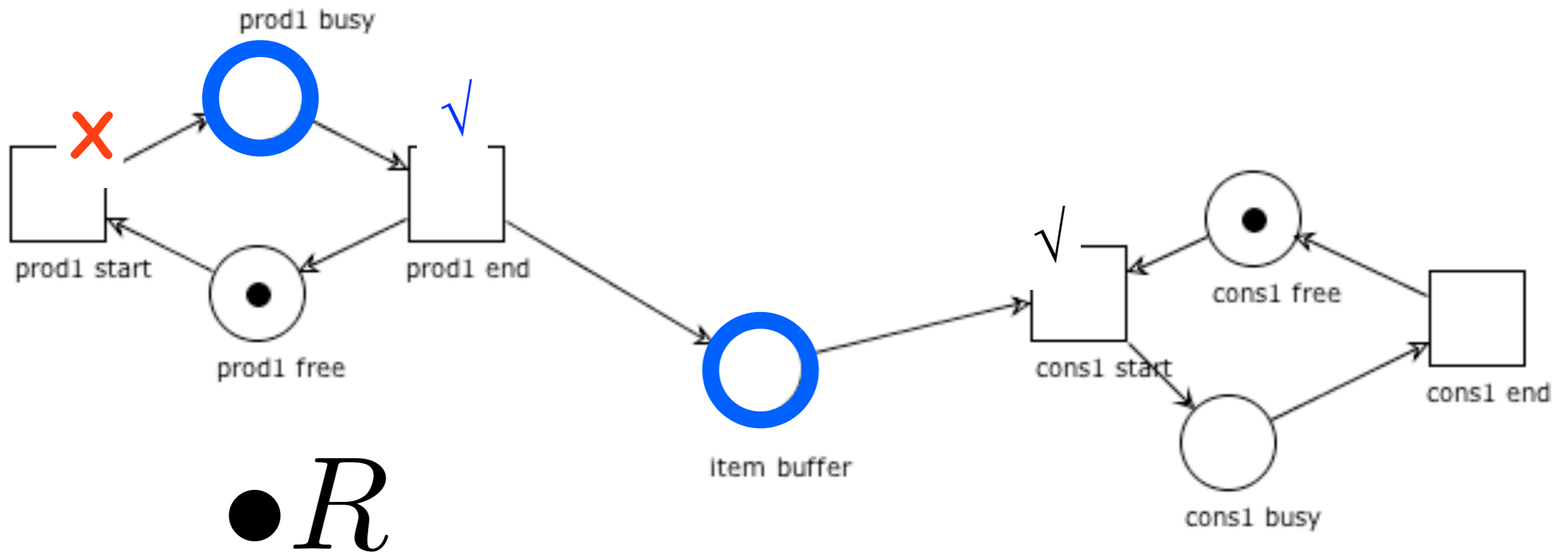
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•  $R \subseteq R$  •

# Siphon check: example

Is  $R = \{ \text{prod1 busy, itembuffer} \}$  a siphon?



# Fundamental property of siphons

**Proposition:** Unmarked siphons remain unmarked

Take a siphon  $R$ .

We just need to prove that the set of markings

$$\mathbf{M} = \{ M \mid M(R)=0 \}$$

is stable, which is immediate by definition of siphon

**Corollary:**

If a siphon  $R$  is marked at some reachable marking  $M$ ,  
then it was initially marked at  $M_0$

# Siphons and liveness

**Prop.:** Live systems have no unmarked proper siphons

(We prove:  $M_0(R) > 0$  for every proper siphon  $R$  of a live system)

Take  $p \in R$  and let  $t \in \bullet p \cup p \bullet$

Since the system is live, then there are  $M, M' \in [M_0 \rangle$  such that

$$M \xrightarrow{t} M'$$

Therefore  $p$  is marked at either  $M$  or  $M'$

Therefore  $R$  is marked at either  $M$  or  $M'$

Therefore  $R$  was initially marked (at  $M_0$ )

# Siphons and liveness

**Corollary:** If a system has an unmarked proper siphon  
then it is not live



# Siphons and liveness

**Corollary:** If a system has an unmarked proper siphon then it is not live

## **Theorem:**

A free-choice system  $(P, T, F, M_0)$  is live and bounded  
**iff**

1. it has at least one place and one transition
2. it is connected
3.  $M_0$  marks every proper **siphon**
4. it has a positive S-invariant
5. it has a positive T-invariant
6.  $\text{rank}(N) = |C_N| - 1$

(where  $C_N$  is the set of **clusters**) 57

# Siphons and deadlock

**Prop.:** Deadlocked systems have an unmarked proper siphon

Let  $M$  be a deadlocked marking

Let  $R = \{ p \mid M(p) = 0 \}$

Since  $M$  is deadlock:  $R \bullet = T$

Therefore  $\bullet R \subseteq T = R \bullet$  and  $R$  is a siphon.

Since  $T$  cannot be empty,  $R$  is proper

# A key observation

If we can guarantee that

**all** proper siphons are marked  
at **every** reachable marking,

then the system is deadlock free

# Exercise

Prove that the union of siphons is a siphon

# Traps

# Proper trap

## Definition:

A set of places  $R$  is a **trap** if  $\bullet R \supseteq R\bullet$

It is a **proper trap** if  $R \neq \emptyset$

# Traps, intuitively

A set of places  $R$  is a trap if

all transitions that can consume tokens from  $R$

produce some token in some place of  $R$

Therefore:

if some token is present in  $R$ ,  
then it is never possible for  $R$  to become empty

# Trap check

Let  $R$  be a set of places of a net

mark with  $\checkmark$  all transitions that produce tokens in  $R$

if there is a transition consuming tokens from some place in  $R$  that is not marked by  $\checkmark$ , then  $R$  is not a trap

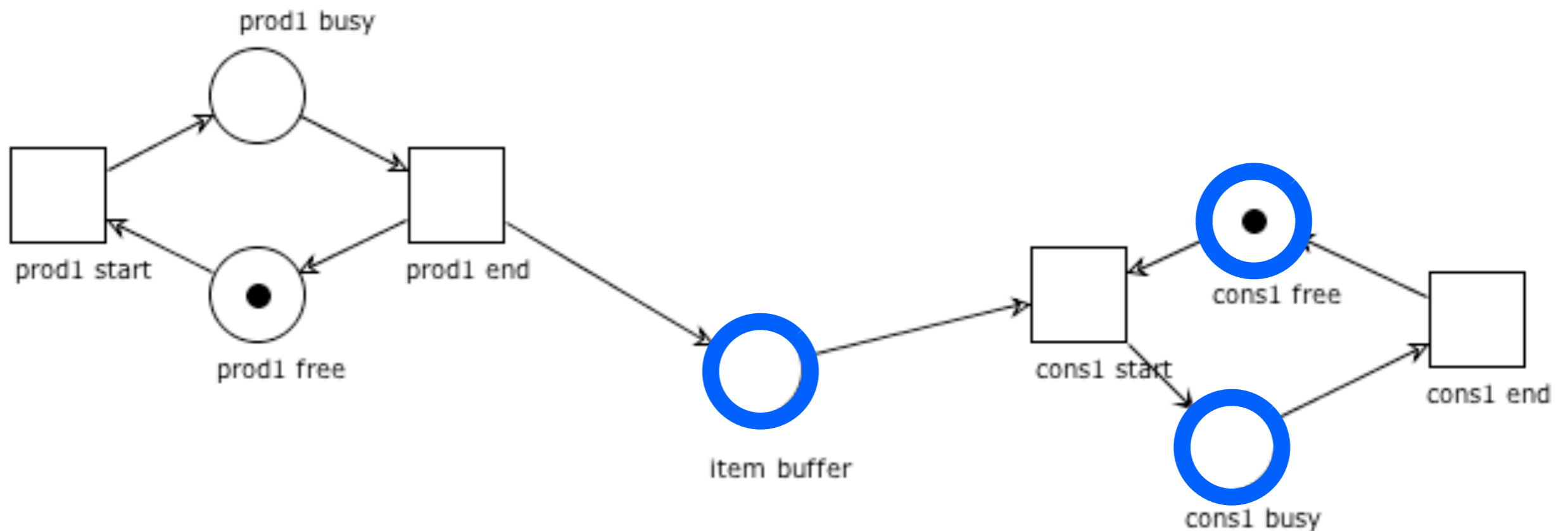
Otherwise  $R$  is a trap



• $R \supseteq R$ •

# Trap check: example

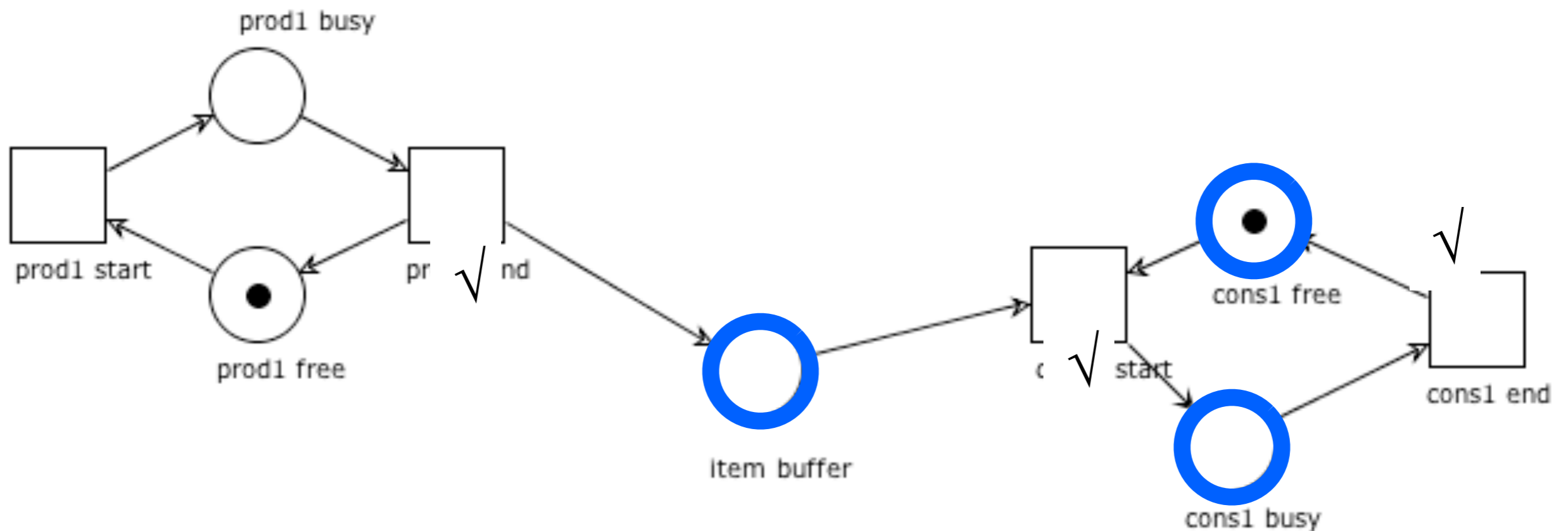
Is  $R = \{ \text{itembuffer}, \text{cons1busy}, \text{cons1free} \}$  a trap?



•  $R \supseteq R$  •

# Trap check: example

Is  $R = \{ \text{itembuffer}, \text{cons1busy}, \text{cons1free} \}$  a trap?

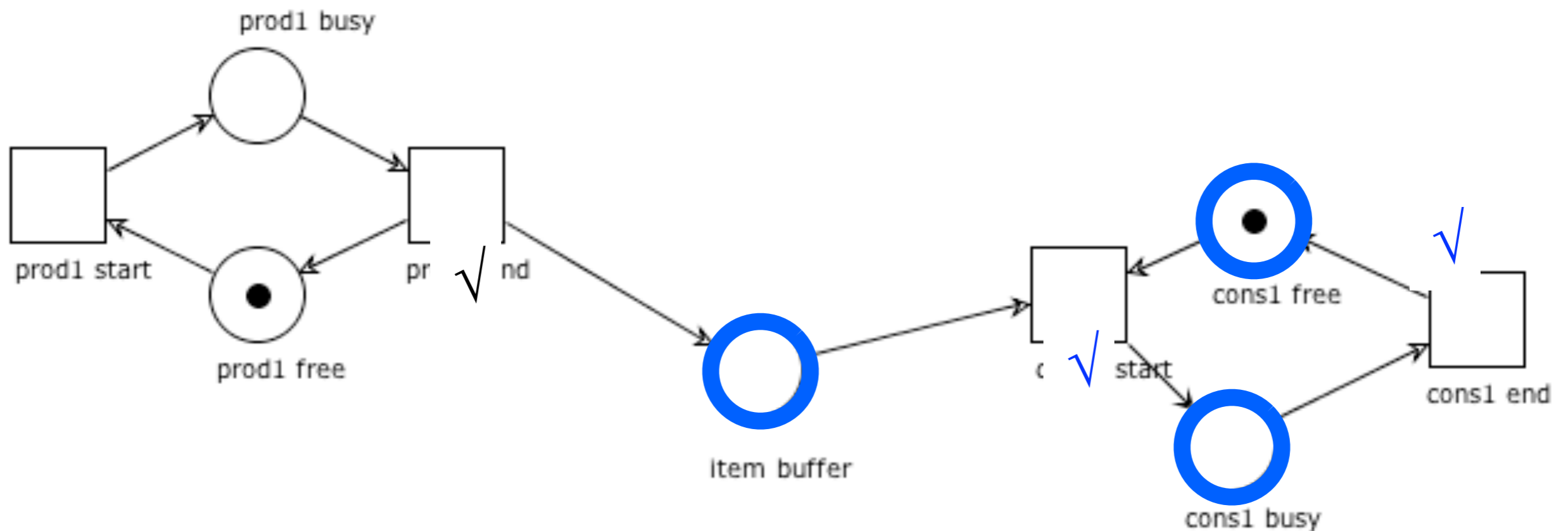


•  $R$

• $R \supseteq R$ •

# Trap check: example

Is  $R = \{ \text{itembuffer}, \text{cons1busy}, \text{cons1free} \}$  a trap?

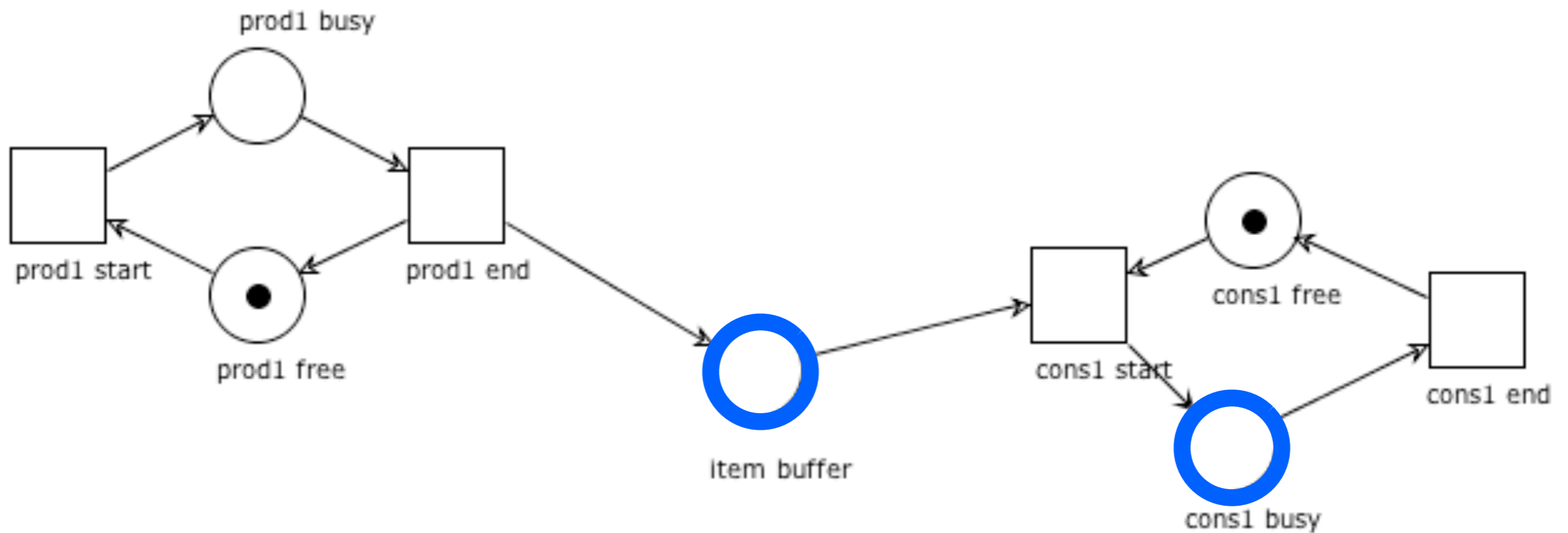


$R$ •

• $R \supseteq R$ •

# Trap check: example

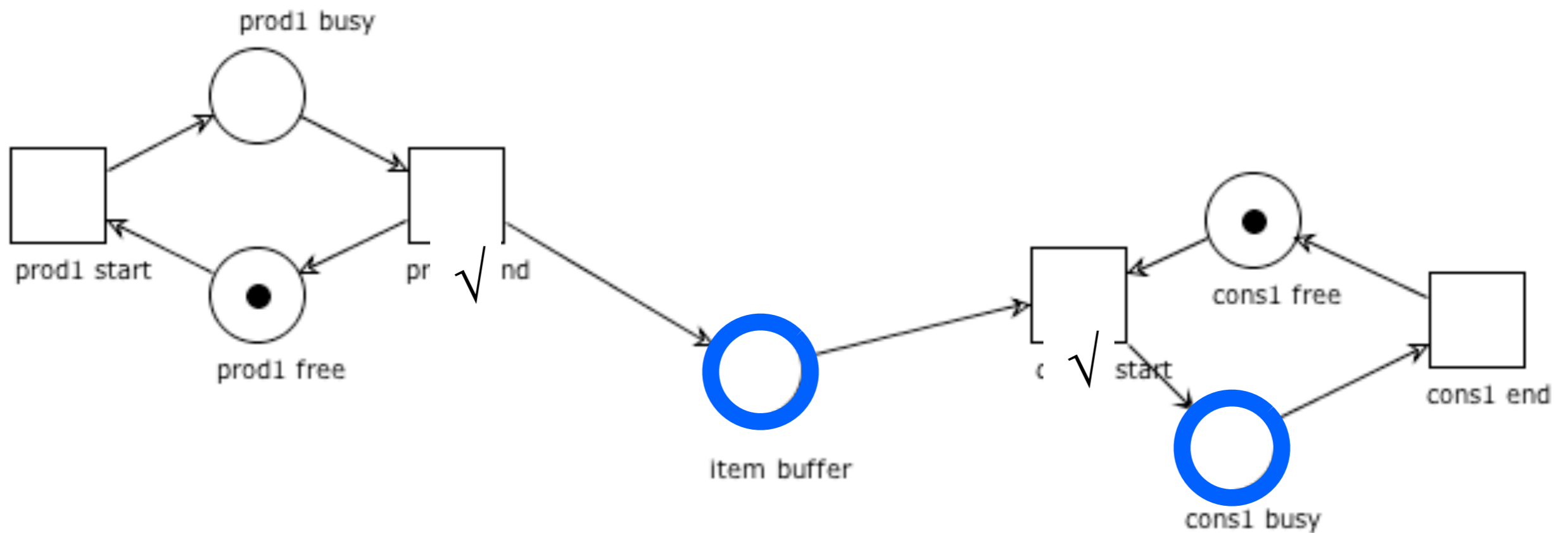
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•  $R \supseteq R$  •

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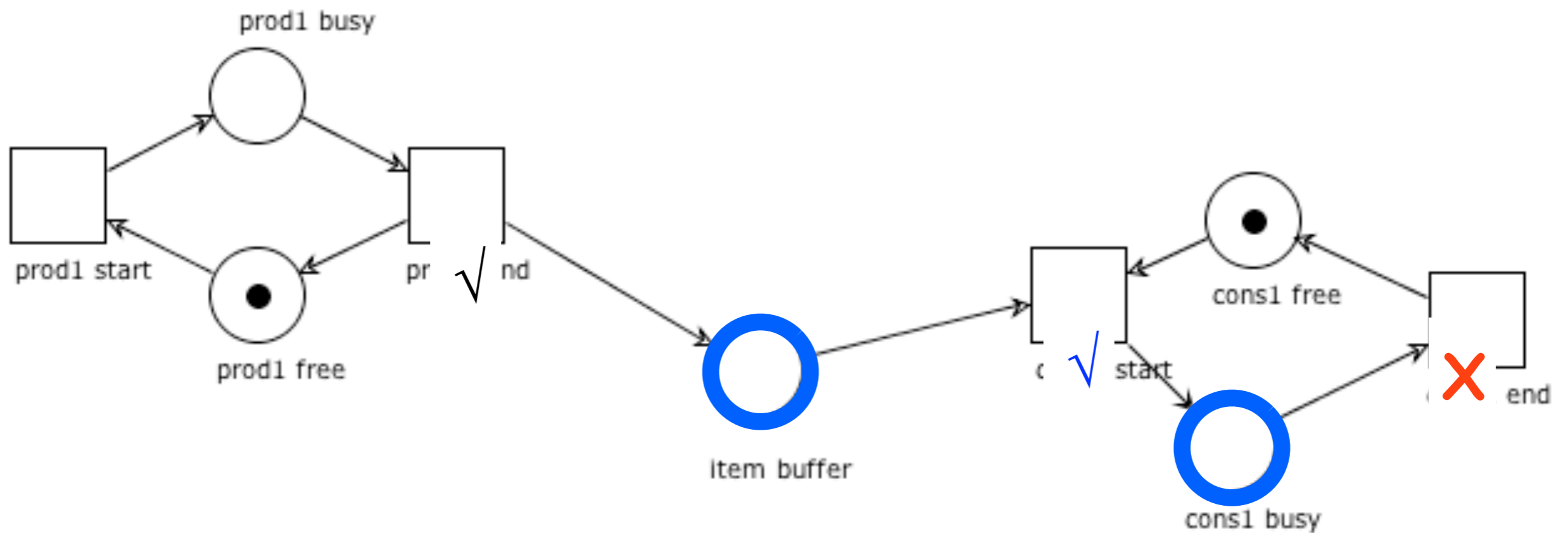


•  $R$

•  $R \supseteq R$  •

# Trap check: example

Is  $R = \{ \text{itembuffer}, \text{cons1busy} \}$  a trap?



$R$  •

# Fundamental property of traps

**Proposition:** Marked traps remain marked

Take a trap  $R$ .

We just need to prove that the set of markings

$$\mathbf{M} = \{ M \mid M(R) > 0 \}$$

is stable, which is immediate by definition of trap

**Corollary:**

If a trap  $R$  is unmarked at some reachable marking  $M$ ,  
then it was initially unmarked at  $M_0$

# Exercise

Prove that the union of traps is a trap



# Putting pieces together

unmarked siphons stay unmarked  
(marked siphons can become unmarked)

if a siphon is marked at  $M$ , it was marked at  $M_0$

if all proper siphons always stay marked  $\Rightarrow$  deadlock-free

# Putting pieces together

if all proper siphons always stay marked  $\Rightarrow$  deadlock-free

marked traps stay marked  
(unmarked traps can become marked)

if a siphon contains a marked trap, it stays marked

**if all siphons contain marked traps, they stay marked**  
 **$\Rightarrow$  deadlock-free**

# A sufficient condition for deadlock-freedom

## **Proposition:**

If every proper siphon of a system contains a marked trap,  
then the system is deadlock-free

We show that if the system is not deadlock free,  
then there is a siphon that does not include any marked trap.

Assume some reachable  $M$  is dead.

Let  $R$  be the set of unmarked places at  $M$ .

Then, we have seen that  $R$  is a proper siphon.

Since  $M(R)=0$ , then  $R$  includes no trap marked at  $M$ .

Therefore,  $R$  includes no trap marked at  $M_0$

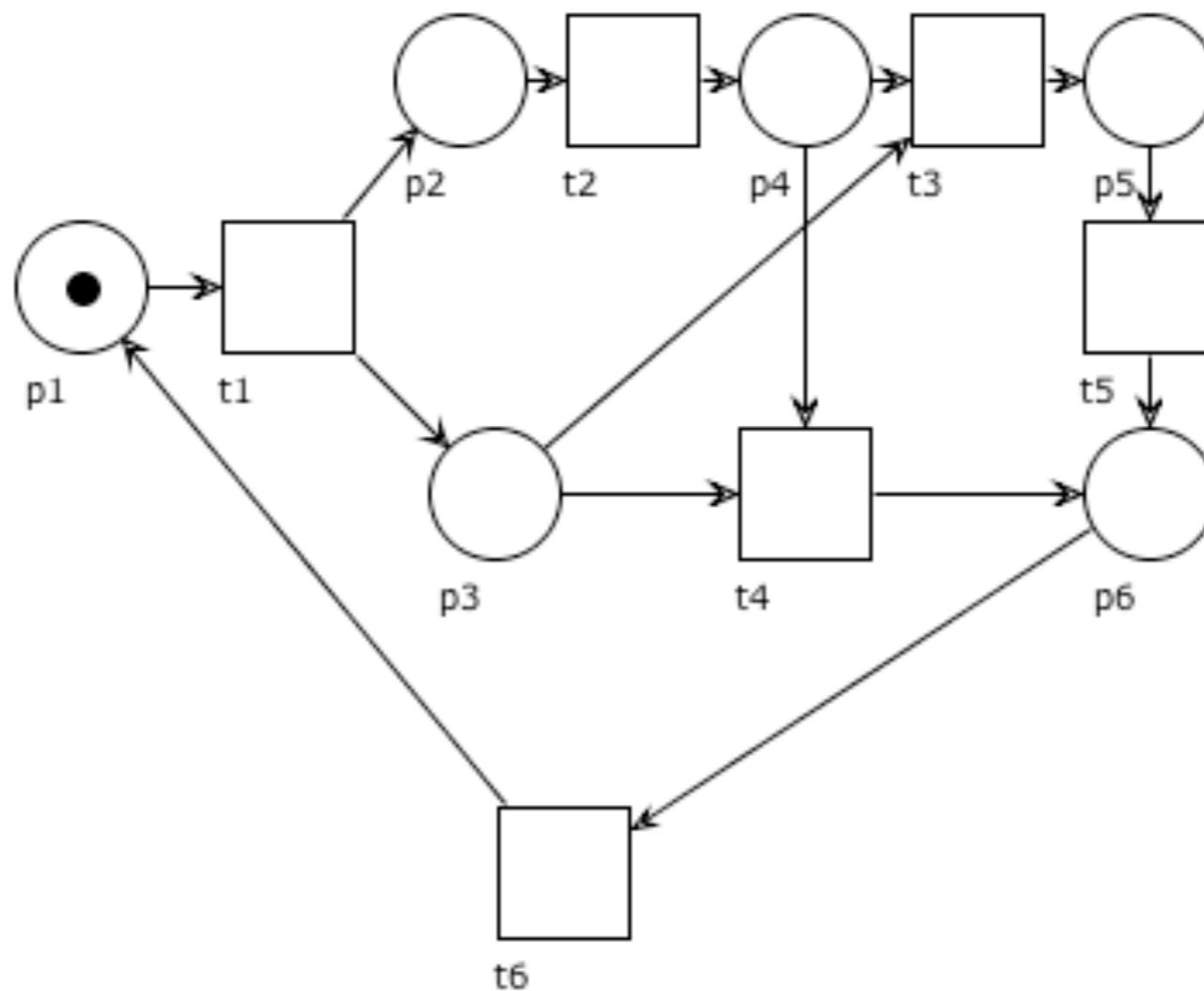
# Note

It is easy to observe that every siphon includes a (possibly empty) unique maximal trap with respect to set inclusion

Moreover, a siphon includes a marked trap iff its maximal trap is marked

# Exercise

Find all proper siphons and traps in the net below  
(at most  $2^6$  sets to consider)



Liveness = Place-liveness  
(in free-choice systems)

# Place liveness (reminder)

**Definition:** Let  $(P, T, F, M_0)$  be a net system.

A place  $p \in P$  is **live** if  $\forall M \in [M_0 \rangle. \exists M' \in [M \rangle. M'(p) > 0$

A place  $p$  is live

if every time it becomes unmarked

there is still the possibility to be marked in the future

(or if it is always marked)

**Definition:**

A net system  $(P, T, F, M_0)$  is **place-live** if every place  $p \in P$  is live

liveness implies place-liveness

# Dead nodes (reminder)

**Definition:** Let  $(P, T, F)$  be a net system.

A transition  $t \in T$  is **dead** at  $M$  if  $\forall M' \in [M \rangle. M' \not\xrightarrow{t}$

A place  $p \in P$  is **dead** at  $M$  if  $\forall M' \in [M \rangle. M'(p) = 0$



# Some obvious facts

If a system is not live,  
it has a transition dead at some reachable marking  $M$

If a system is not place-live,  
it has a place dead at some reachable marking  $M$

If a place / transition is dead at  $M$ , then it remains dead  
at any marking  $M'$  reachable from  $M$   
(the set of dead nodes can only increase during a run)

Every transition in the pre- or post-set of a dead place  
is also dead

# An obvious facts in free-choice systems

In a free-choice system:

if an output transition  $t$  of a place  $p$  is dead at  $M$

then any output transition  $t'$  of  $p$  is dead at  $M$

(because  $t$  and  $t'$  must have the same pre-set)

# Dead $t$ , dead $p$

**Lemma:** If the transition  $t$  is dead at  $M$  in a free-choice system, then there is a non-live place  $p$  in the pre-set of  $t$

By contraposition, we prove: if all input places of  $t$  are live then  $t$  is not dead

Let  $\bullet t = [t] \cap P = \{p_1, \dots, p_n\}$

Since all places  $p_1, \dots, p_n$  are live at  $M$ , there exists

$M \xrightarrow{\sigma_1} M_1 \xrightarrow{\sigma_2} \dots \xrightarrow{\sigma_n} M_n$

such that  $M_i(p_i) > 0$  for all  $i$

If the sequence contains  $u \in [t]$  then  $t$  is not dead at  $M$

If no transition in  $[t]$  appears in the sequence, then no token in  $\bullet t$  is consumed

Hence  $M_n(p_i) > 0$  for all  $i$ , and  $M_n \xrightarrow{t}$  and  $t$  is not dead at  $M$

# Place-liveness implies liveness in f.c. systems

**Proposition:** If a free-choice system is place-live,  
then it is live

# Place-liveness implies liveness in f.c. systems

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# Place-liveness implies liveness in f.c. systems

**Proposition:** If a free-choice system is place-live,  
then it is live

By contraposition, we prove:  
non-liveness implies non-place-liveness

If a free-choice system is not live then there is a  
transition  $t$  dead at some reachable marking  $M$

But then some input place of  $t$  must be non-live at  $M$ ,  
so the system is not place-live

# Consequence in f.c. nets: place-liveness = liveness

If a free-choice system is place-live, then it is live

In any system, liveness implies place-liveness

## **Corollary:**

A free-choice system is live **iff** it is place-live

# Commoner's theorem

## **Theorem:**

A free-choice system is live  
**iff**

every proper siphon includes an initially marked trap

We show just the “if” direction, which is simpler

We need a technical lemma



# Commoner's theorem: "if" direction

A free-choice system is live  
**if**  
every proper siphon includes an initially marked trap

By contraposition, we prove:  
if a free-choice is non-live, then  
a proper siphon exists whose traps are all unmarked

# Commoner's theorem: "if" direction

**If a free-choice is non-live, then  
a proper siphon exists whose traps are all unmarked**

A non-live free-choice system contains a proper siphon  
R such that  $M(R)=0$  at some reachable M  
(see next lemma)

So every trap included in R is unmarked at M

(since marked traps remain marked)  
every trap included in R must be unmarked initially

# Non-liveness and unmarked siphons

**Lemma:** Every non-live free-choice system has a proper siphon  $R$  and a reachable marking  $M$  such that  $M(R)=0$

By non-liveness: the system is not place-live,  
i.e., some  $p$  is dead at some  $L \in [M_0 \rangle$

Take  $M \in [L \rangle$  such that every place not dead at  $M$   
is not dead at any marking in  $[M \rangle$   
i.e. all markings in  $[M \rangle$  have the same set  $R$  of dead places  
(dead places remain dead)

Next we prove that  $R$  is a proper siphon and  $M(R) = 0$

# Non-liveness and unmarked siphons

**Lemma:** Every non-live free-choice system has a proper siphon  $R$  and a reachable marking  $M$  such that  $M(R)=0$

1.  $R$  is a siphon  $\bullet R \subseteq R \bullet$ 
  - any  $t \in \bullet R$  is dead at  $M$
  - every  $t$  dead at  $M$  has an input place in  $R$   
( $t$  has some input place dead at some marking reachable from  $M$ )
2.  $R$  is proper  
 $p$  is dead at  $L$ , hence it is dead at  $M$ , hence  $p \in R$ , hence  $R \neq \emptyset$
3.  $M(R) = 0$  because it contains dead places



Complexity of the  
non-liveness problem  
in free-choice systems

# A non-deterministic algorithm for non-liveness

1. guess a set of places  $R$   
(polynomial time)
2. check if  $R$  is a siphon ( $\bullet R \subseteq R \bullet$ )  
(polynomial time)
3. if  $R$  is a siphon, compute the maximal trap  $Q \subseteq R$
4. if  $M_0(Q)=0$ , then answer "non-live", otherwise "live"  
(polynomial time)

# A polynomial algorithm for maximal trap in a siphon

$$\bullet R \subseteq R \bullet$$

$$\bullet Q \supseteq Q \bullet$$

3. if  $R$  is a siphon, compute the maximal trap  $Q \subseteq R$

**Input:** A net  $N = (P, T, F)$  and  $R \subseteq P$

**Output:**  $Q \subseteq R$

$Q := R$

**while**  $(\exists p \in Q, \exists t \in p\bullet, t \notin \bullet Q)$

$Q := Q \setminus \{p\}$

**return**  $Q$

# Non-liveness for f.c. nets is in NP

The non-liveness problem for free-choice systems is in NP

Is the same problem in P?

The corresponding deterministic algorithm cannot make  
the guess in step 1

It has to explore all possible subsets of places  
 $2^{|P|}$  cases!



# NP-completeness

We next sketch the proof of the reduction to non-liveness  
in a free-choice net of the CNF-SAT problem

(SATisfiability problem  
for propositional formulas in Conjunctive Normal Form)

CNF-SAT is an NP-complete problem

# CNF-SAT decision problem

Variables:  $x_1, x_2, \dots, x_n$

Literals:  $x_1, \bar{x}_1, x_2, \bar{x}_2, \dots, x_n, \bar{x}_n$

Clause: disjunction of literals

Formula: conjunction of clauses

Example:  $\phi = (x_1 \vee \bar{x}_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3) \wedge (x_2 \vee \bar{x}_3)$

Is there an assignment of boolean values to the variables such that  $\phi = \text{true}$ ?

# The free-choice net of a formula

Given a formula  $\phi$ , the idea is to construct a free-choice system  $(P, T, F, M_0)$  and show that

the formula  $\phi$  is satisfiable  
iff  
 $(P, T, F, M_0)$  is not live

# The free-choice net of a formula

Given a formula  $\phi$ , the idea is to construct a free-choice system  $(P, T, F, M_0)$  and show that

the formula  $\phi$  is not satisfiable  
iff  
 $(P, T, F, M_0)$  is live

# CNF-SAT formulas

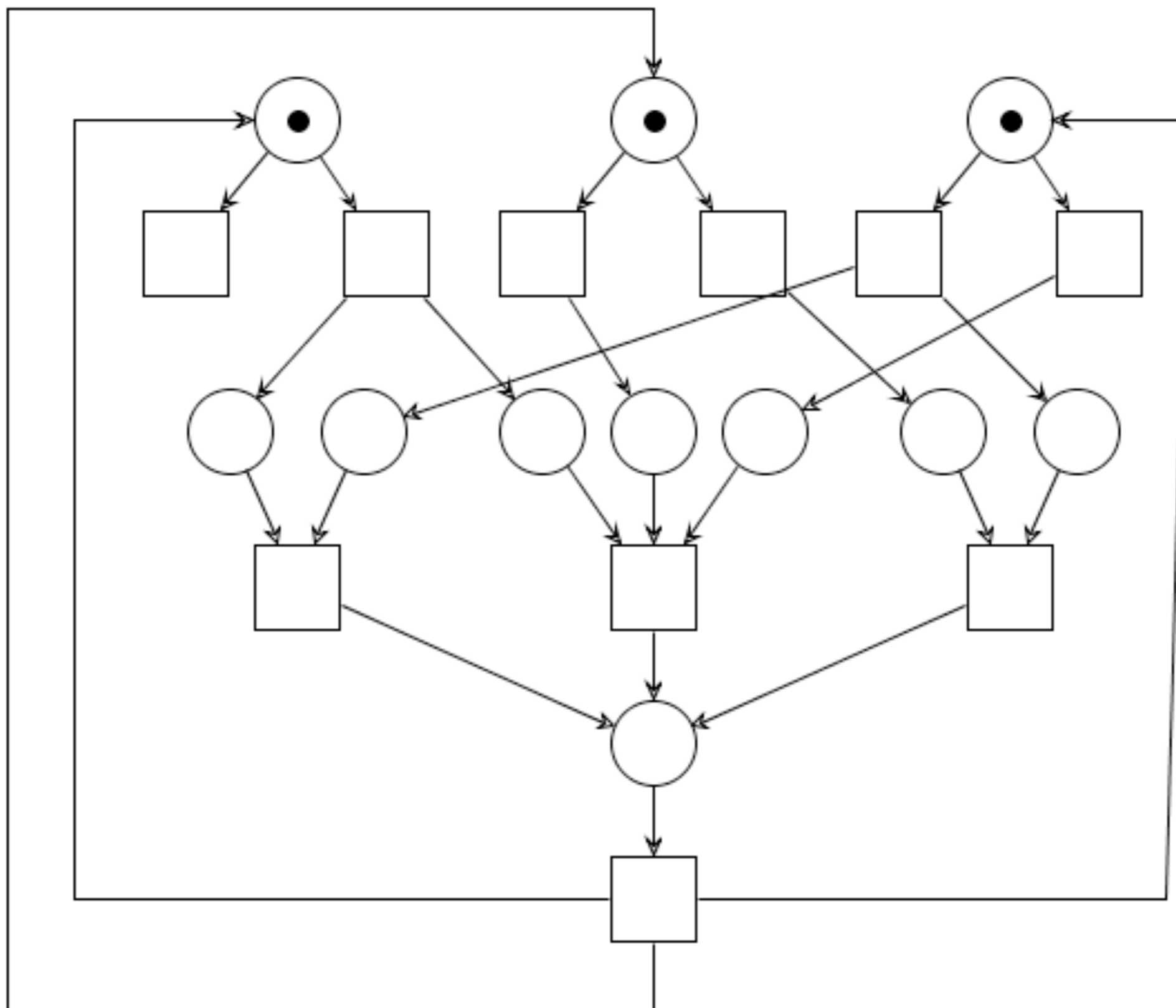
Is there an assignment of boolean values to the variables such that  $\phi = \text{true}$ ?

Is there an assignment of boolean values to the variables such that  $\neg\phi = \text{false}$ ?

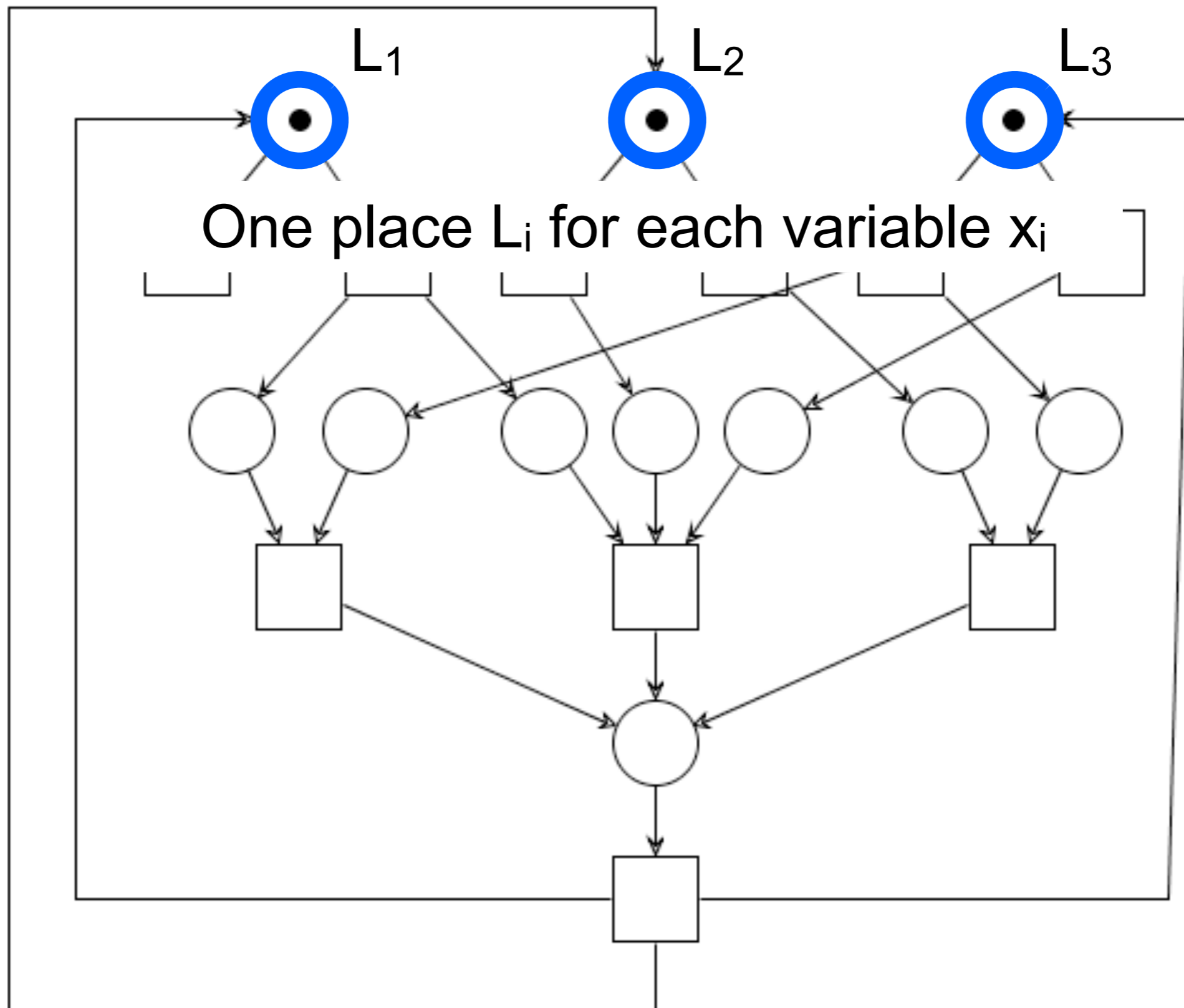
$$\phi = (x_1 \vee \bar{x}_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3) \wedge (x_2 \vee \bar{x}_3)$$

$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$

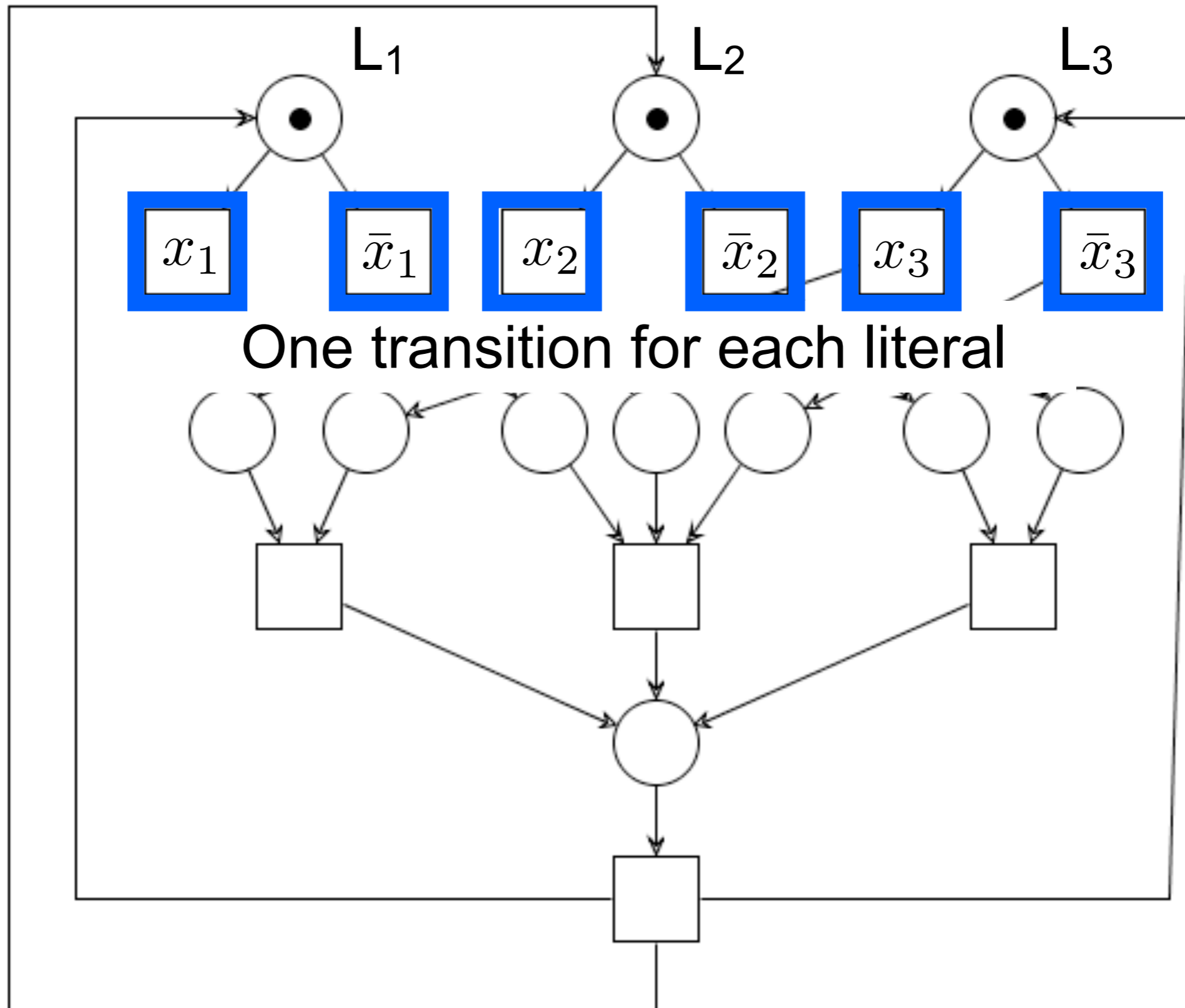
$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$



$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$

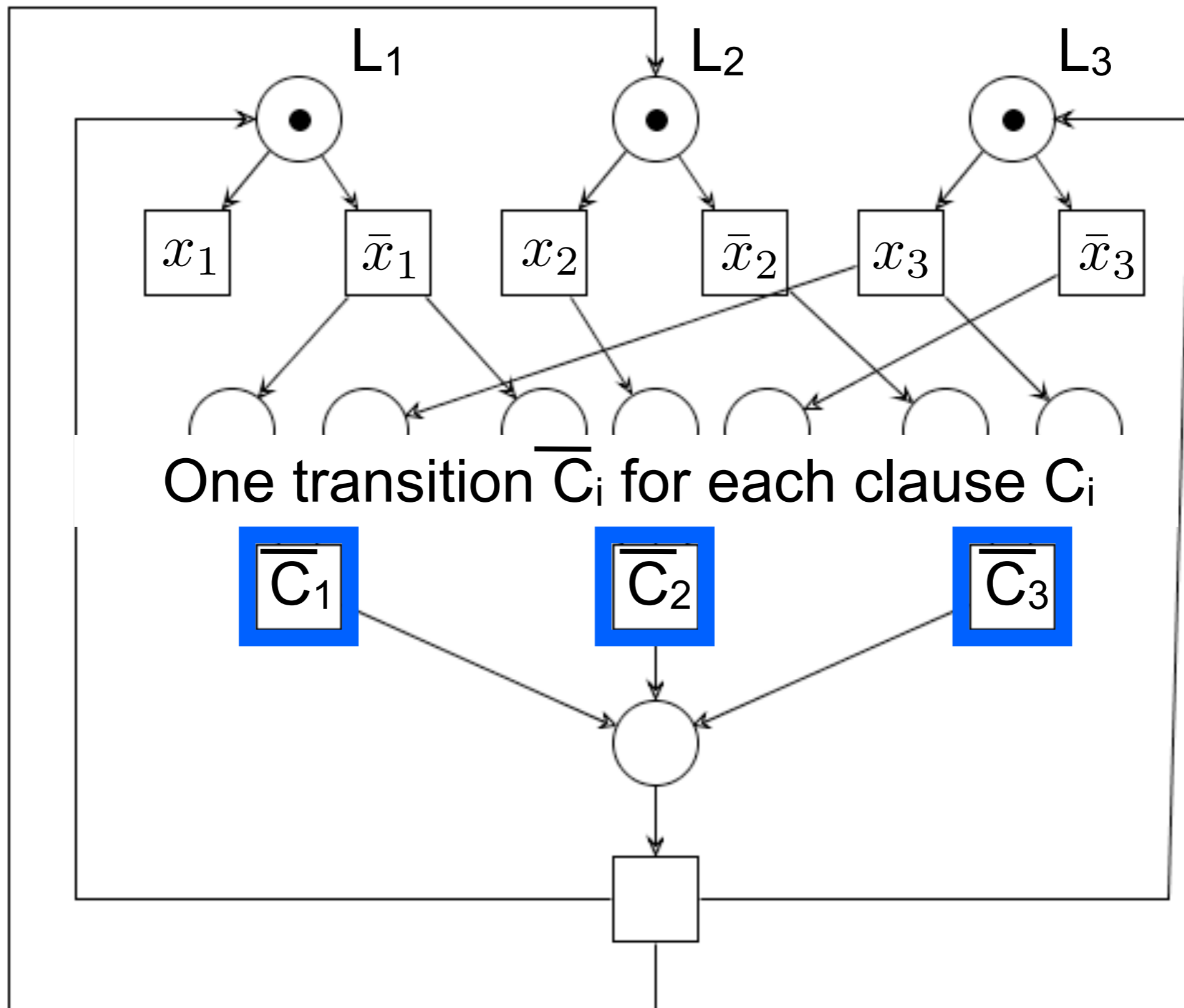


$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$

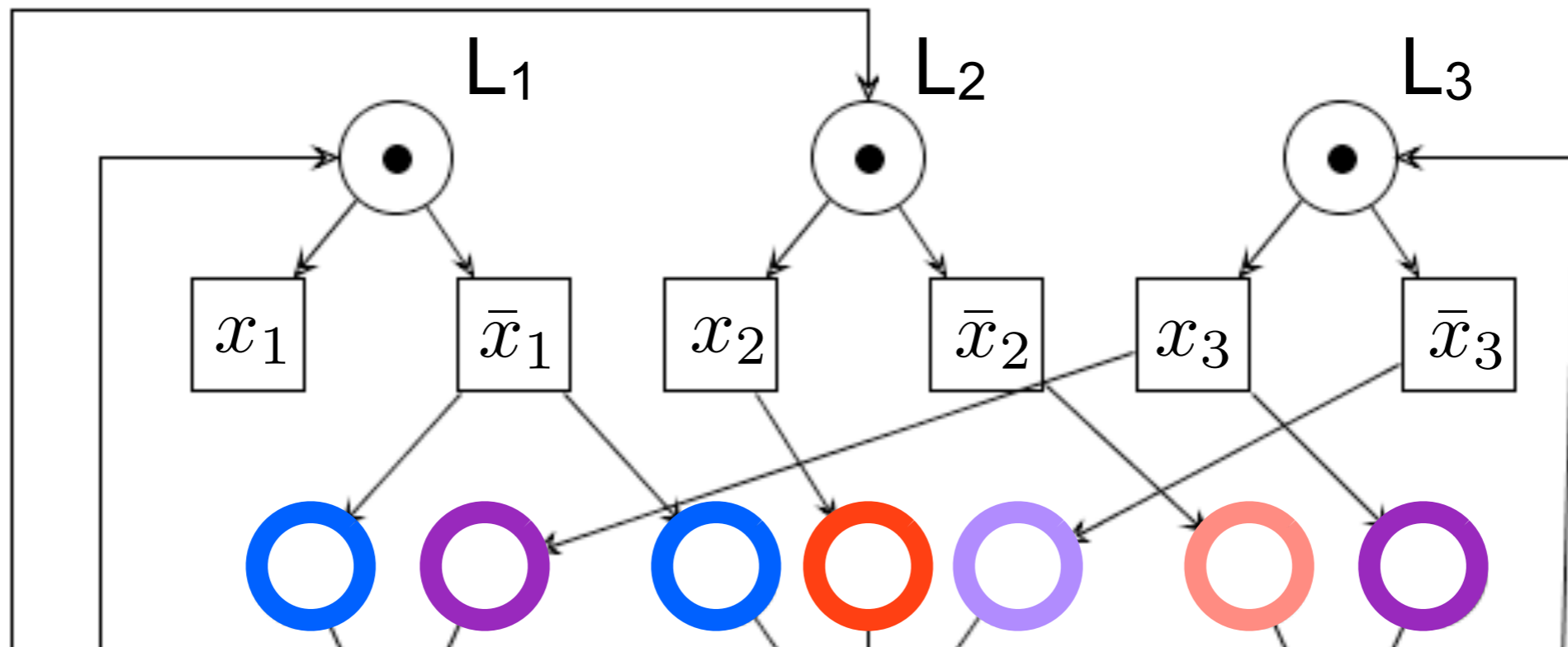




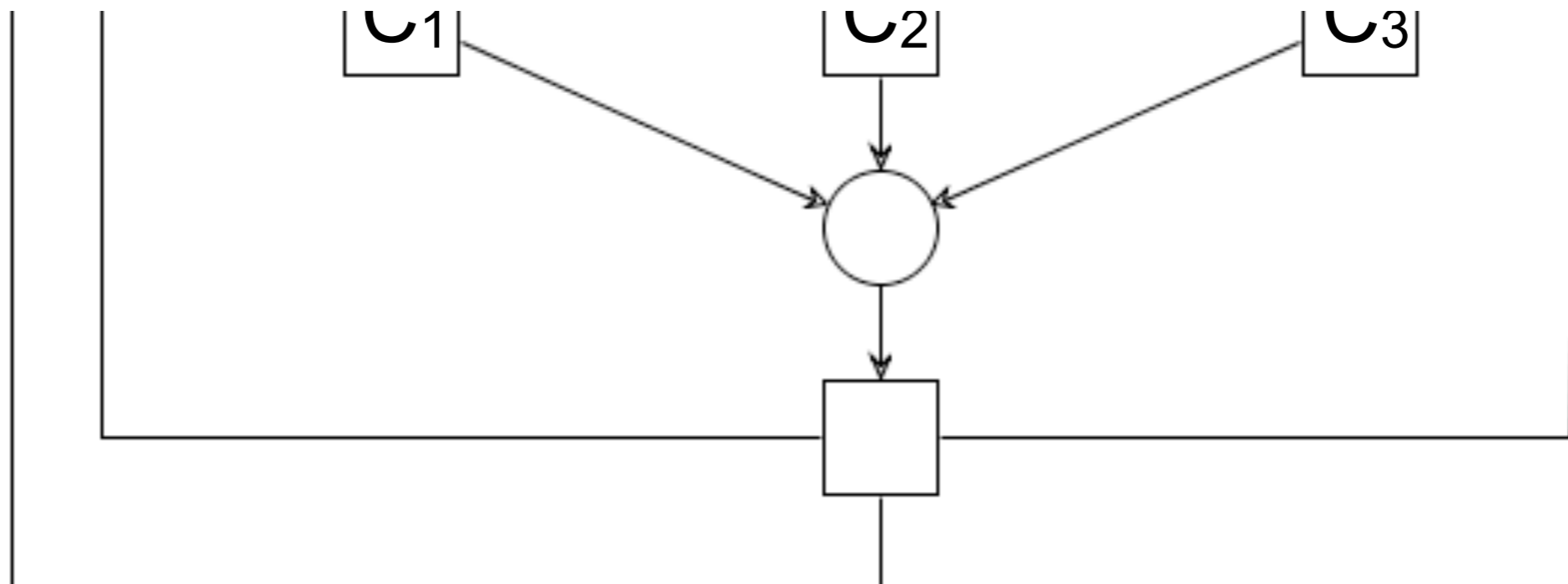
$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$



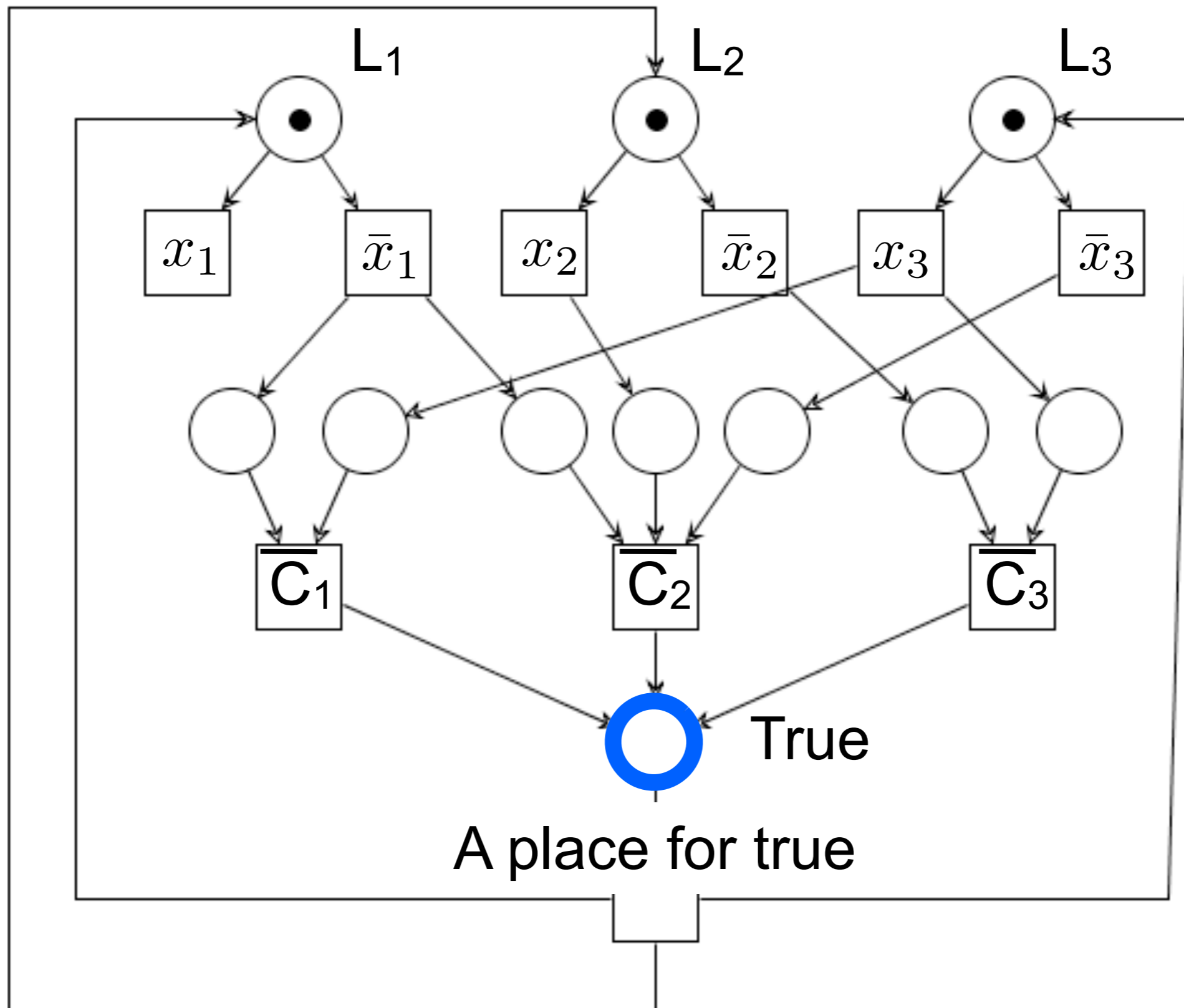
$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$



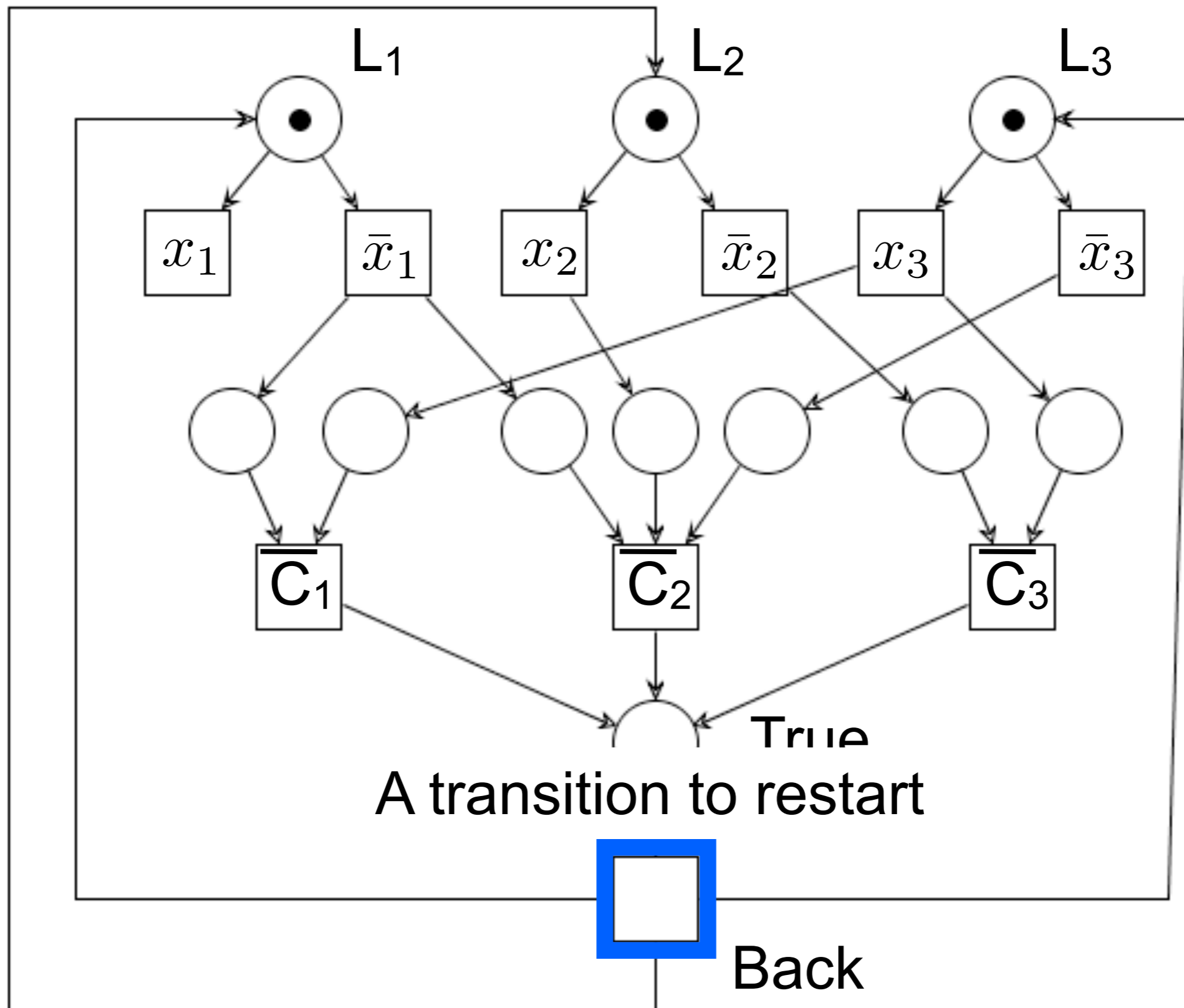
A place for each occurrence of a literal



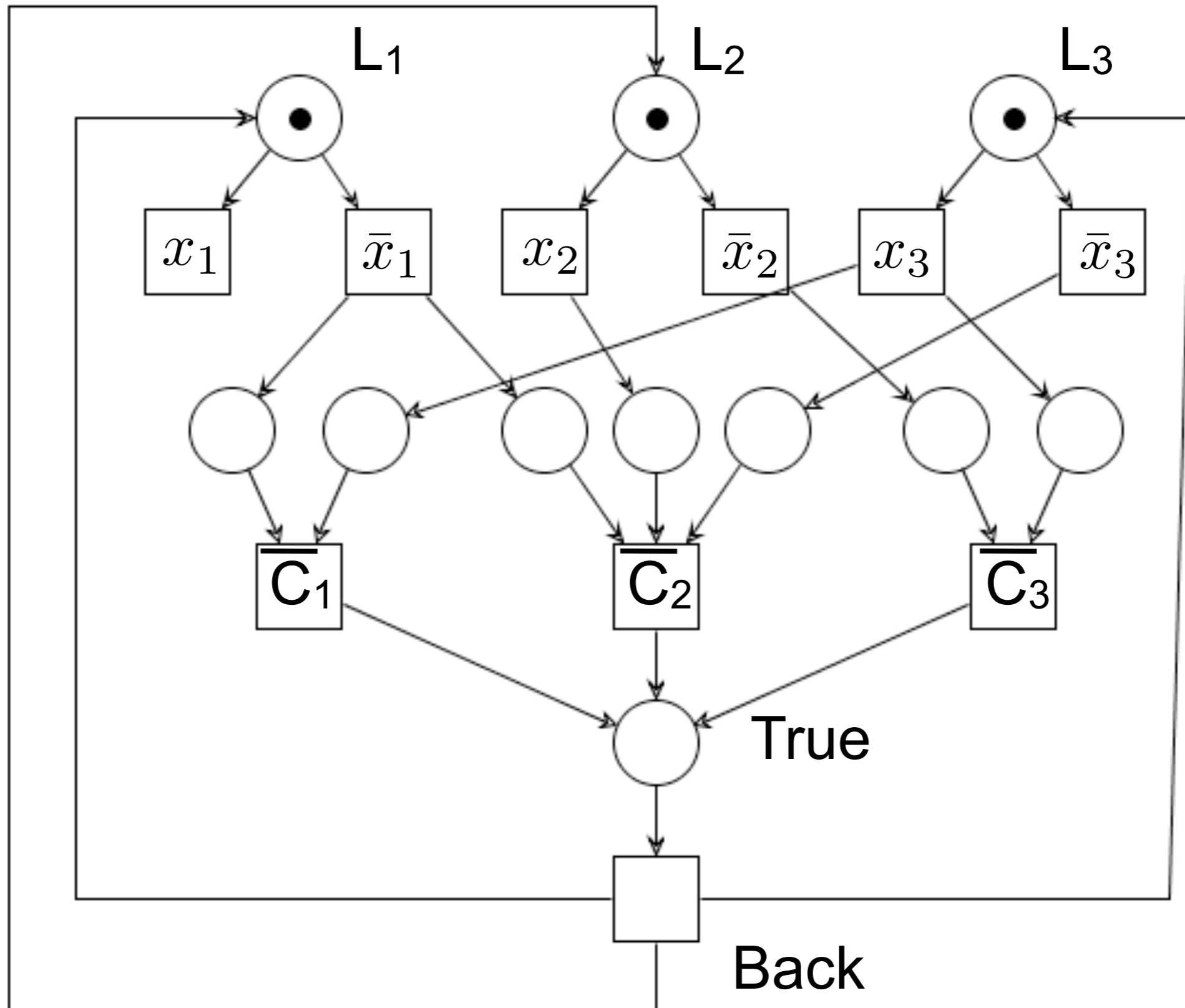
$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$



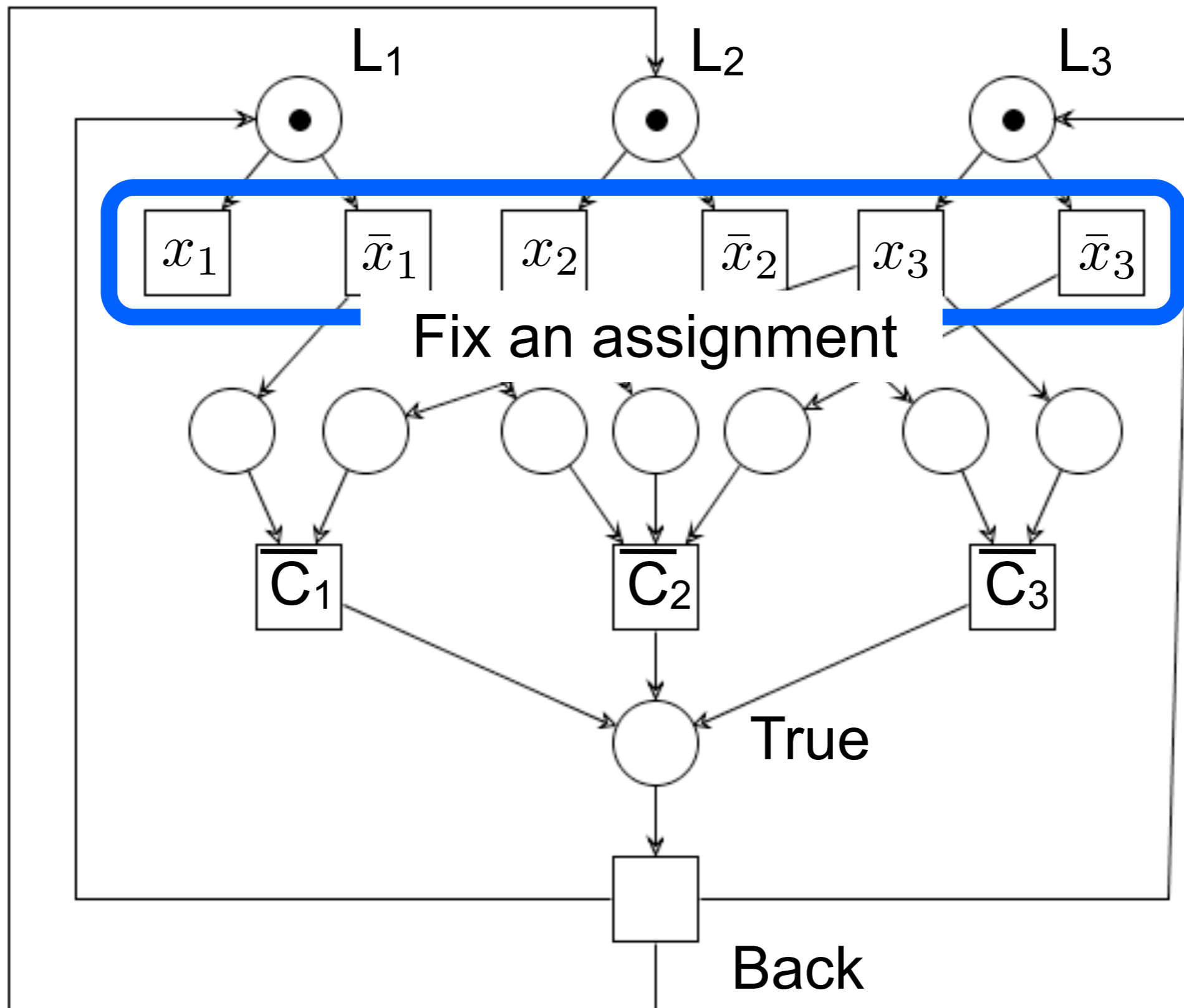
$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$



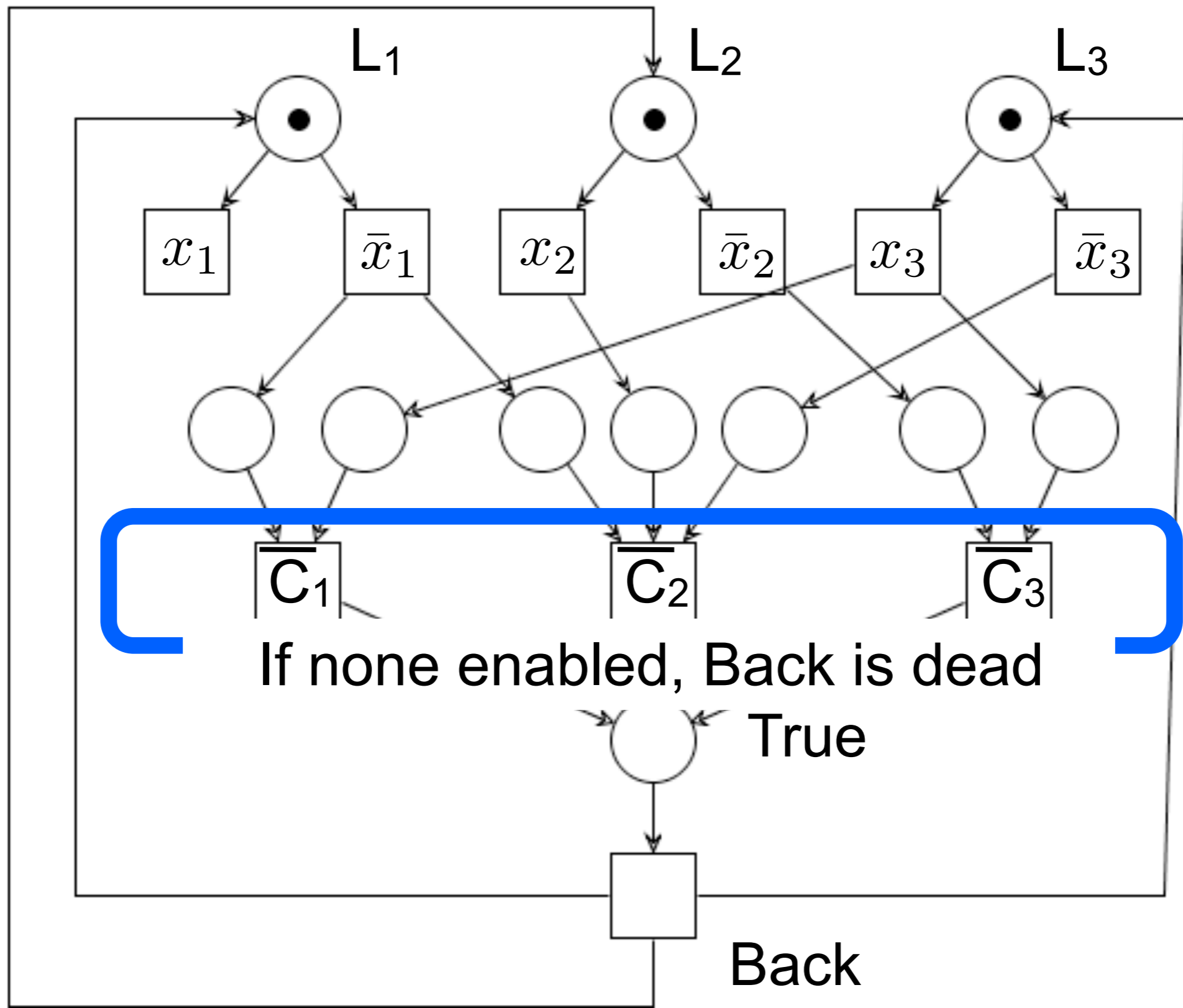
$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$



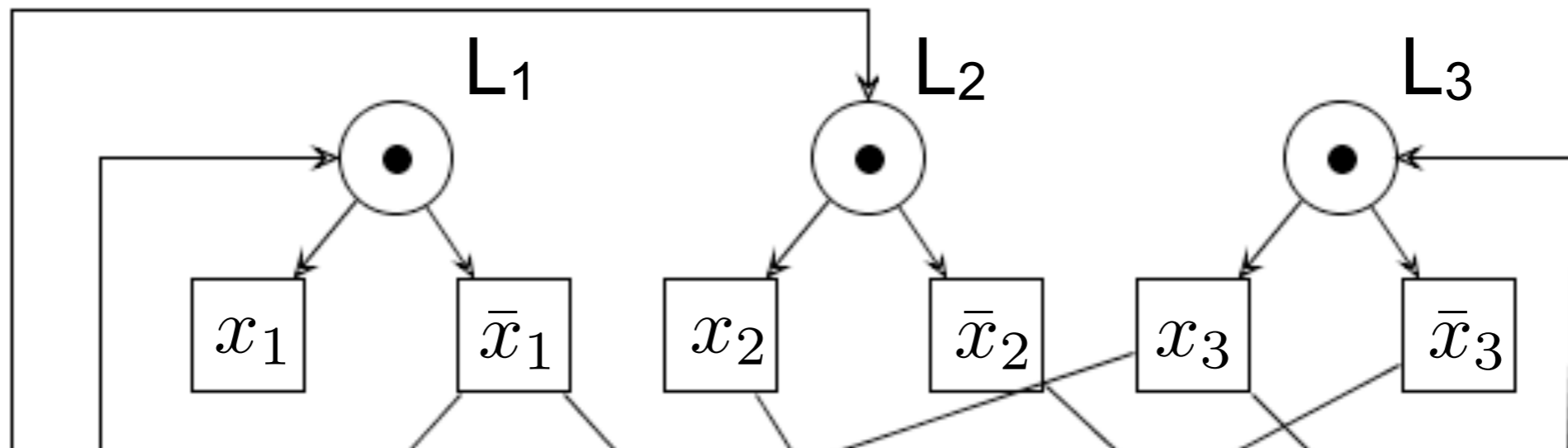
$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$



$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$

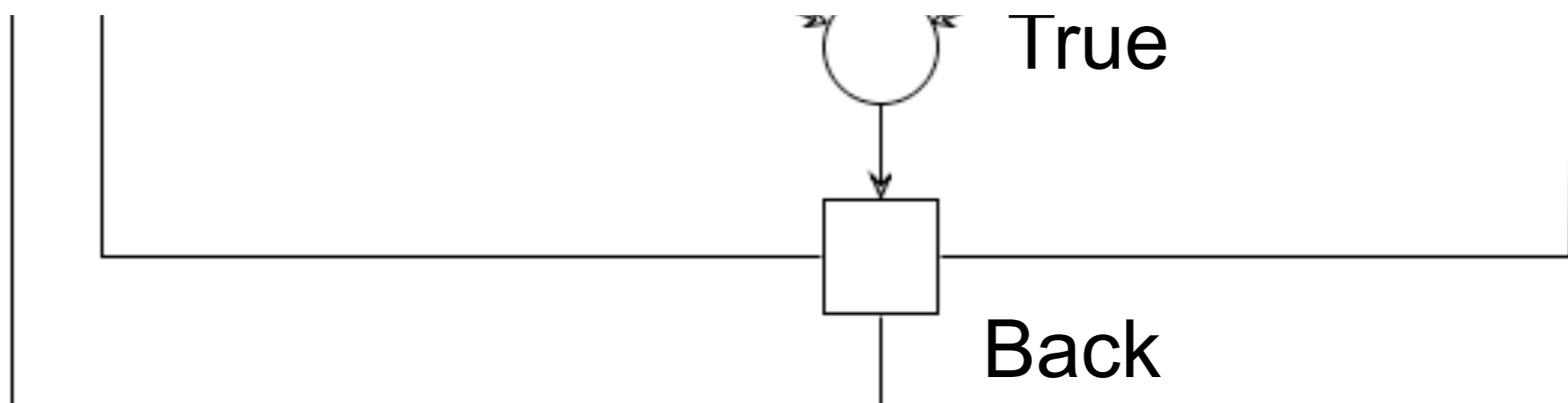


$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$



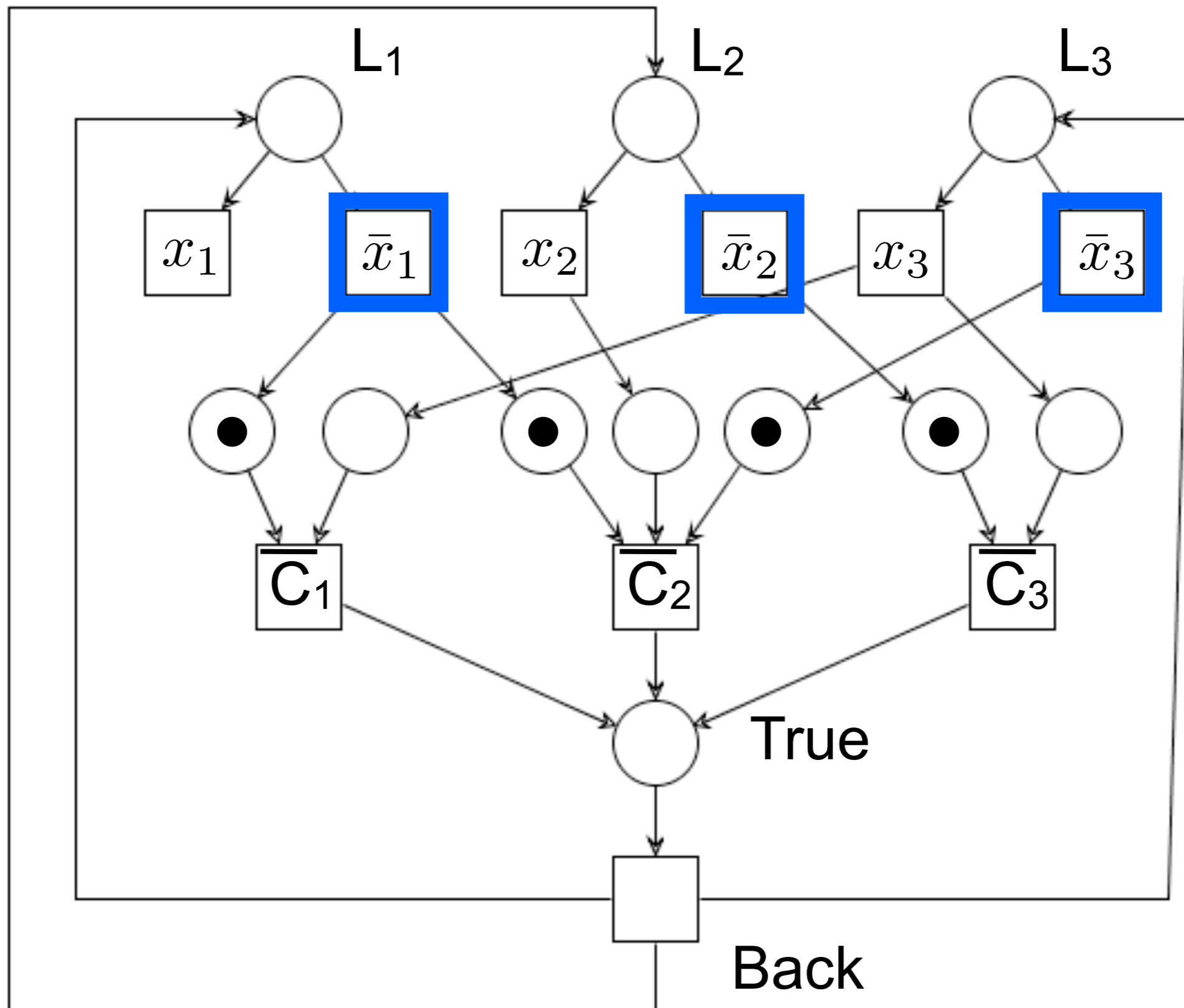
If  $\phi$  is satisfiable, then the net is not live

If the net is not live, then  $\phi$  is satisfiable





$$\neg\phi = (\bar{x}_1 \wedge x_3) \vee (\bar{x}_1 \wedge x_2 \wedge \bar{x}_3) \vee (\bar{x}_2 \wedge x_3)$$



# Main consequence



**No polynomial algorithm to decide liveness of a free-choice system is available**

(unless  $P=NP$ )

# Exercise

Draw the net corresponding to the formula

$$x_2 \wedge (x_1 \vee \bar{x}_3 \vee \bar{x}_4) \wedge (x_1 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_4) \wedge (\bar{x}_2 \vee \bar{x}_4)$$

Is it satisfiable?

Live and bounded  
free-choice nets

# Rank Theorem

(main result, proof omitted)

## Theorem:

A free-choice system  $(P, T, F, M_0)$  is live and bounded  
**iff**

1. it has at least one place and one transition polynomial
2. it is connected polynomial
3.  $M_0$  marks every proper siphon
4. it has a positive S-invariant polynomial
5. it has a positive T-invariant polynomial
6.  $\text{rank}(N) = |C_N| - 1$  polynomial

(where  $C_N$  is the set of clusters)

# A polynomial algorithm for maximal siphon in $R$

**Input:** A net  $N = (P, T, F, M_0)$ ,  $R \subseteq P$

**Output:**  $Q \subseteq R$  maximal siphon in  $R$

$Q := R$

**while**  $(\exists p \in Q, \exists t \in \bullet p, t \notin Q \bullet)$

$Q := Q \setminus \{p\}$

**return**  $Q$

# A polynomial algorithm for maximal unmarked siphon

3.  $M_0$  marks every proper siphon **polynomial**

**Input:** A net  $N = (P, T, F, M_0)$ ,  $R = \{p \mid M_0(p) = 0\}$

**Output:**  $Q \subseteq R$  maximal unmarked siphon

$Q := R$

**while**  $(\exists p \in Q, \exists t \in \bullet p, t \notin Q \bullet)$

$Q := Q \setminus \{p\}$

**return**  $Q$

If  $Q$  is empty then  $M_0$  marks every proper siphon

# Main consequence

**The problem to decide  
if a free-choice system is live and bounded  
can be solved in polynomial time  
(using the Rank Theorem)**





# Coverability

# Rank Theorem

(main result, proof omitted)

## Theorem:

A free-choice system  $(P, T, F, M_0)$  is live and bounded  
**iff**

1. it has at least one place and one transition
2. it is connected
3.  $M_0$  marks every proper siphon
- 4. it has a positive S-invariant**
5. it has a positive T-invariant
6.  $\text{rank}(N) = |C_N| - 1$

(where  $C_N$  is the set of clusters)

# A technique to find a positive $S$ -invariant

Decompose the free-choice net  $N$  in suitable  $S$ -nets so  
that any place of  $N$  belongs to an  $S$ -net  
(the same place can appear in more  $S$ -nets)

Each  $S$ -net induces a uniform  $S$ -invariant

A positive  $S$ -invariant is obtained  
as the sum of the  $S$ -invariants of each subnet

# S-Coverability analysis

A case is often composed by parallel threads of control  
(each thread imposing some order over its tasks)

The notion of S-coverability allows to reveal such threads

# S-component

take a set of nodes

**Definition:** Let  $N = (P, T, F)$  and  $\emptyset \subset X \subseteq P \cup T$

Let  $N' = (P \cap X, T \cap X, F \cap (X \times X))$  be a subnet of  $N$ .

$N'$  is an **S-component** if

forget the arcs to other nodes

1. it is a strongly connected S-net
2. for every place  $p \in X \cap P$ , we have  $\bullet p \cup p \bullet \subseteq X$

if a place is selected

then all the attached transitions must be selected

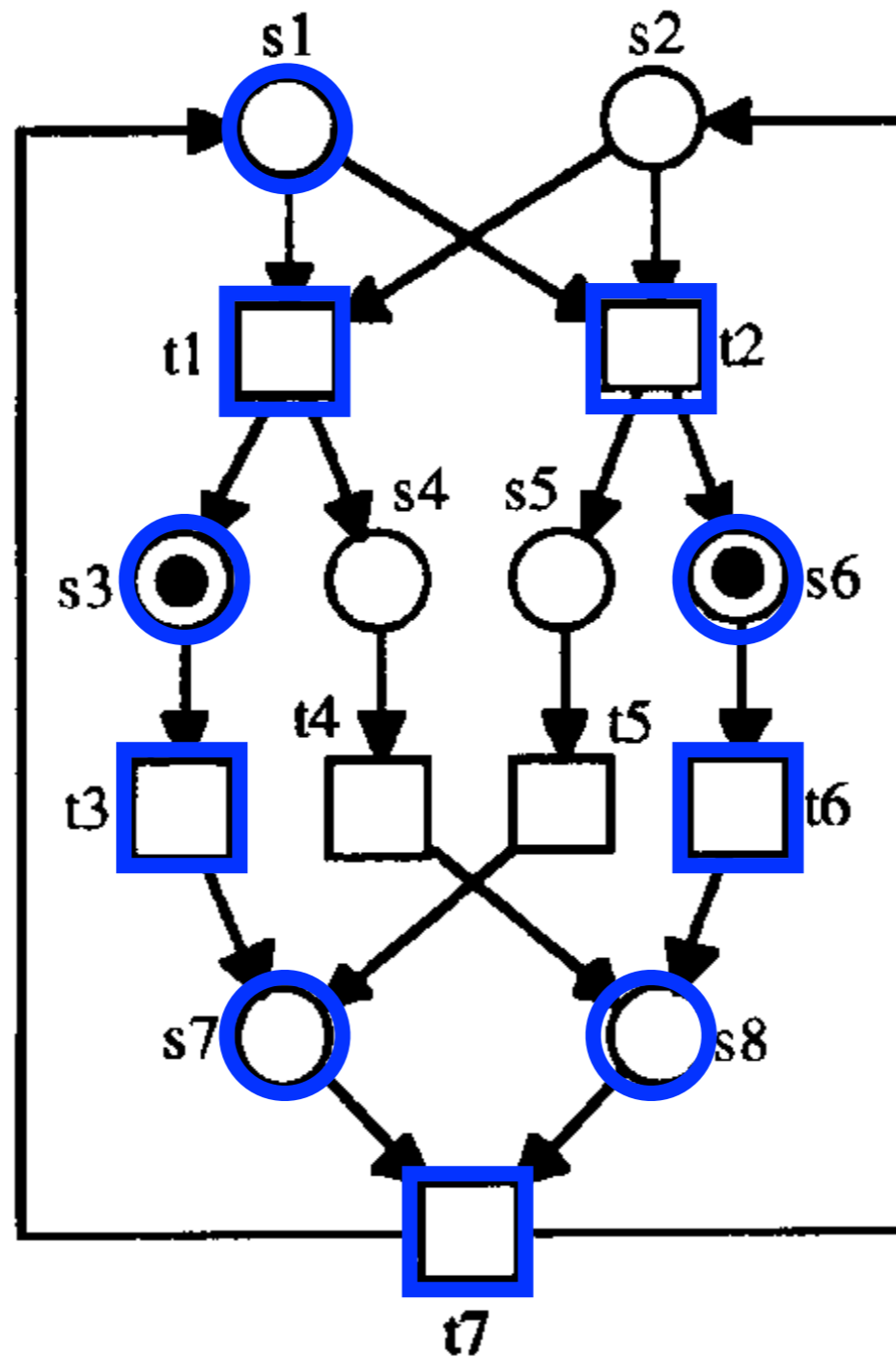
# S-cover

**Definition:** Let **C** be a set of S-components of a net **N**

**C** is an **S-cover** if every place  $p$  of **N** belongs to one or more S-components in **C**

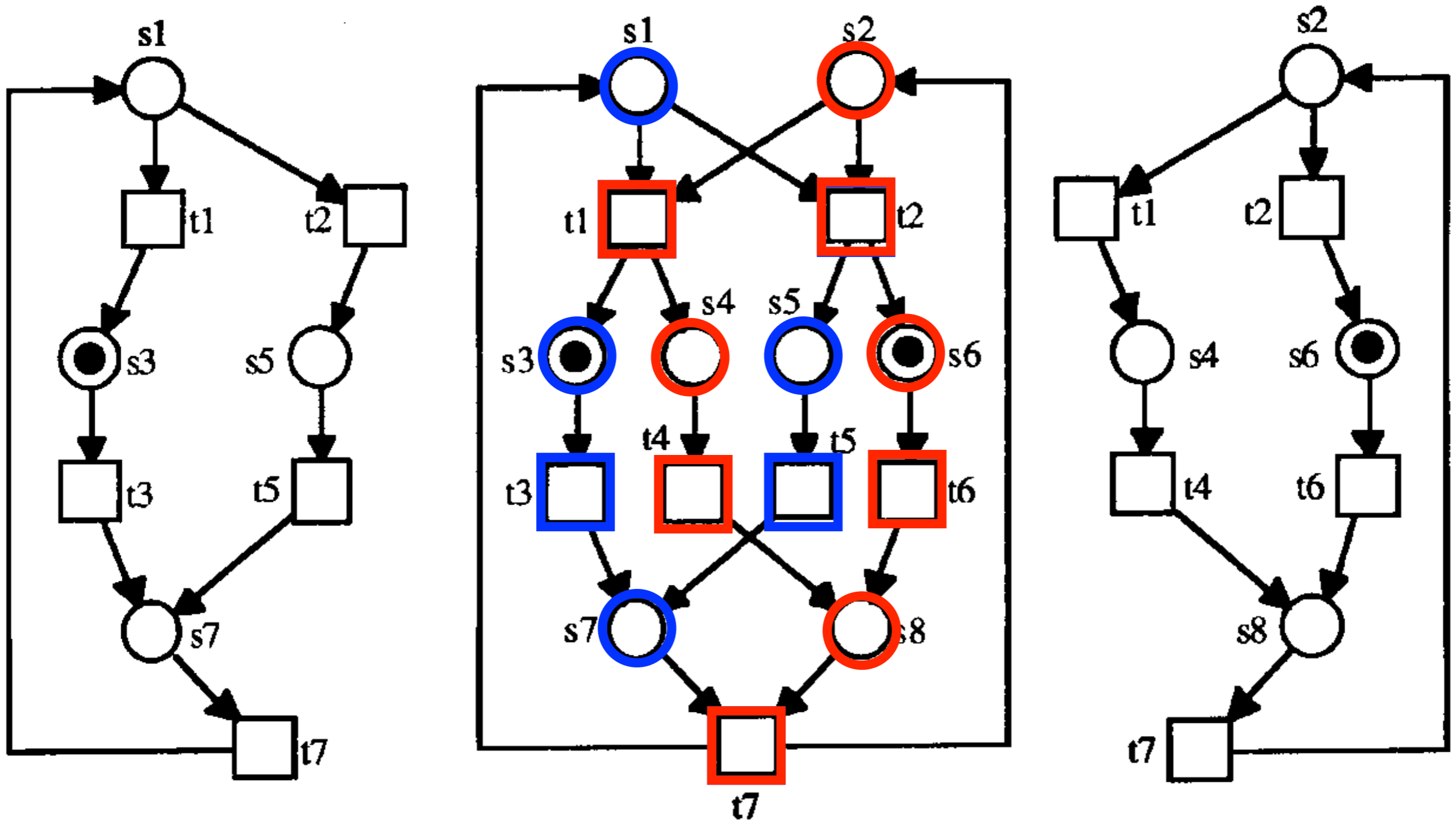
We say that **N** is **covered by S-components** if it has an S-cover

# S-cover: example



not an S-net

# S-cover: example





# S-coverability theorem

**Theorem:** If a free-choice system is live and bounded then it is S-coverable

(proof omitted)

Consequence:

**free-choice + not S-coverable  $\Rightarrow$  not (live and bounded)**

# Rank Theorem

(main result, proof omitted)

## Theorem:

A free-choice system  $(P, T, F, M_0)$  is live and bounded  
**iff**

1. it has at least one place and one transition
2. it is connected
3.  $M_0$  marks every proper siphon
4. it has a positive S-invariant
- 5. it has a positive T-invariant**
6.  $\text{rank}(N) = |C_N| - 1$

(where  $C_N$  is the set of clusters)

# A technique to find a positive T-invariant

Decompose the free-choice net  $N$  in suitable T-nets so  
that any transition of  $N$  belongs to a T-net  
(the same transition can appear in more T-nets)

Each T-net induces a uniform T-invariant

A positive T-invariant is obtained  
as the sum of the T-invariants of each subnet

# T-component

take a set of nodes

**Definition:** Let  $N = (P, T, F)$  and  $\emptyset \subset X \subseteq P \cup T$

Let  $N' = (P \cap X, T \cap X, F \cap (X \times X))$  be a subnet of  $N$ .

$N'$  is a **T-component** if

forget the arcs to other nodes

1. it is a strongly connected T-net

2. for every transition  $t \in X \cap T$ , we have  $\bullet t \cup t \bullet \subseteq X$

if a transition is selected  
then all the attached places must be selected

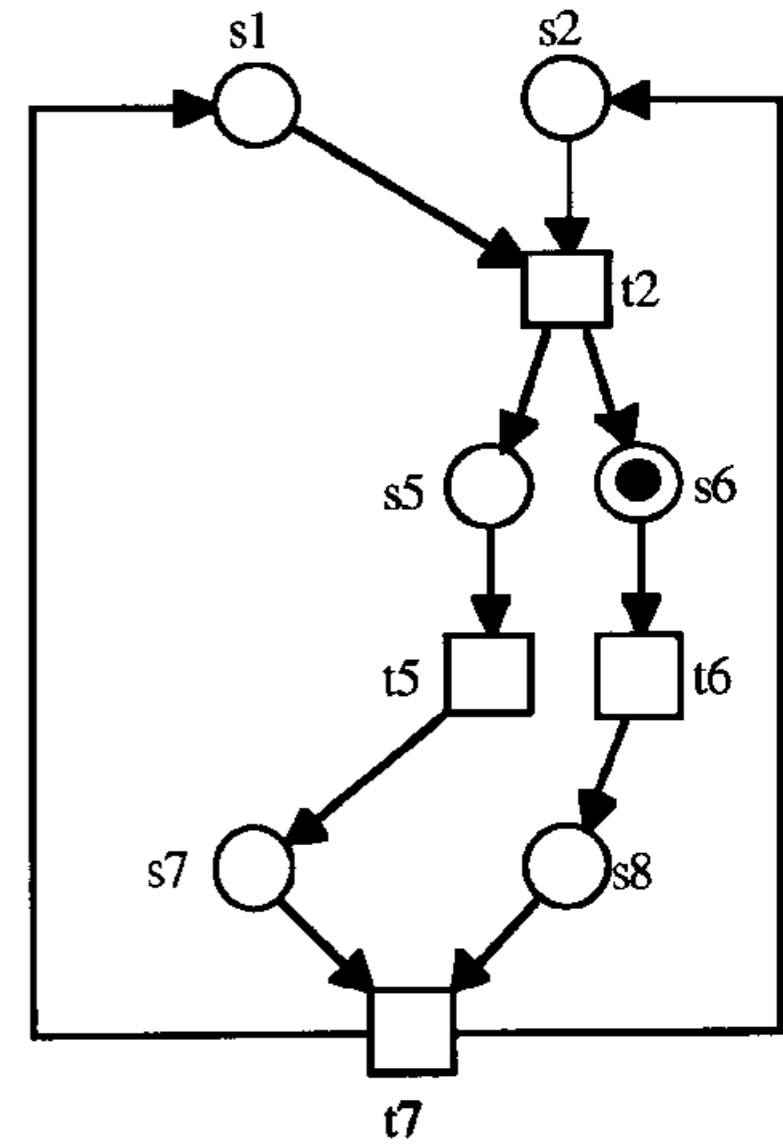
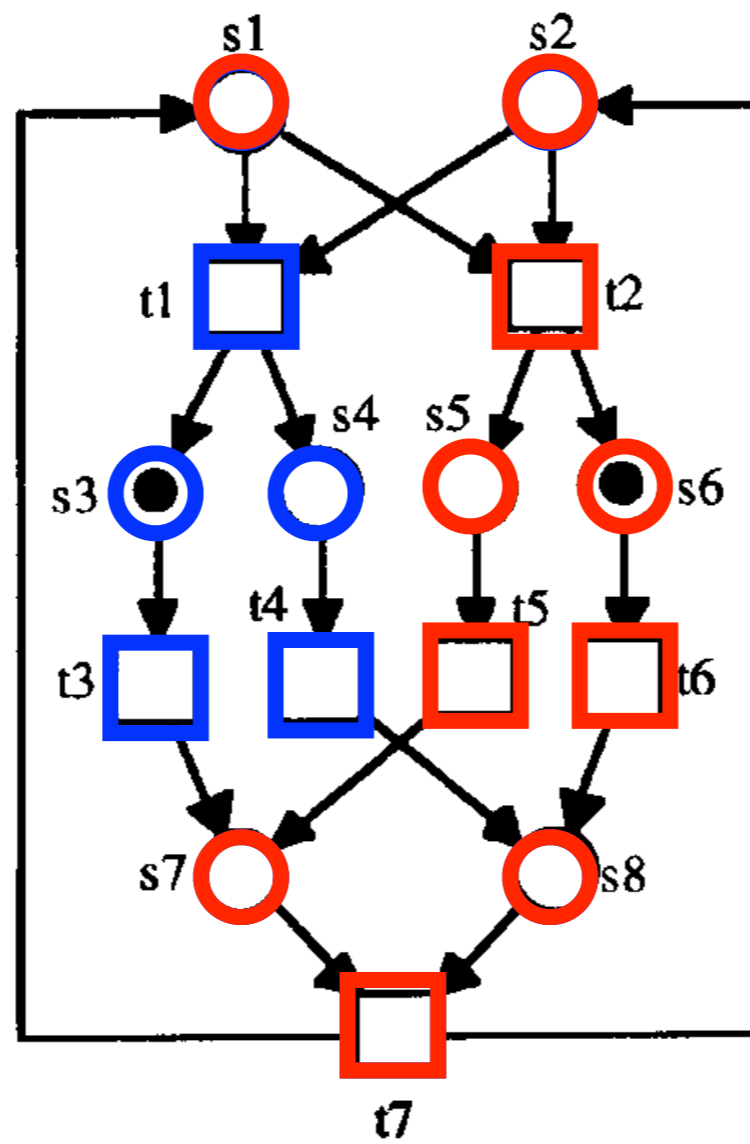
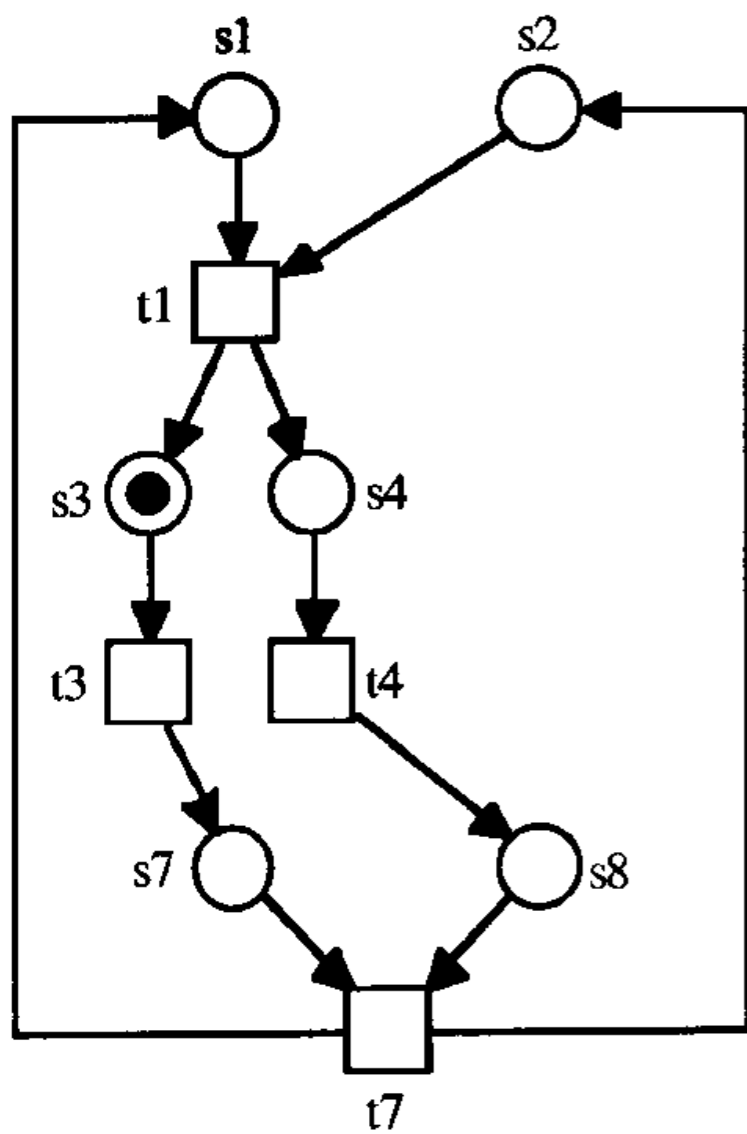
# T-cover

**Definition:** Let  $\mathbf{C}$  be a set of T-components of a net  $N$

$\mathbf{C}$  is a **T-cover** if every transition  $t$  of  $N$  belongs to one or more T-components in  $\mathbf{C}$

We say that  $N$  is **covered by T-components** if it has a T-cover

# T-cover: example



# T-coverability theorem

**Theorem:** If a free-choice system is live and bounded then it is T-coverable

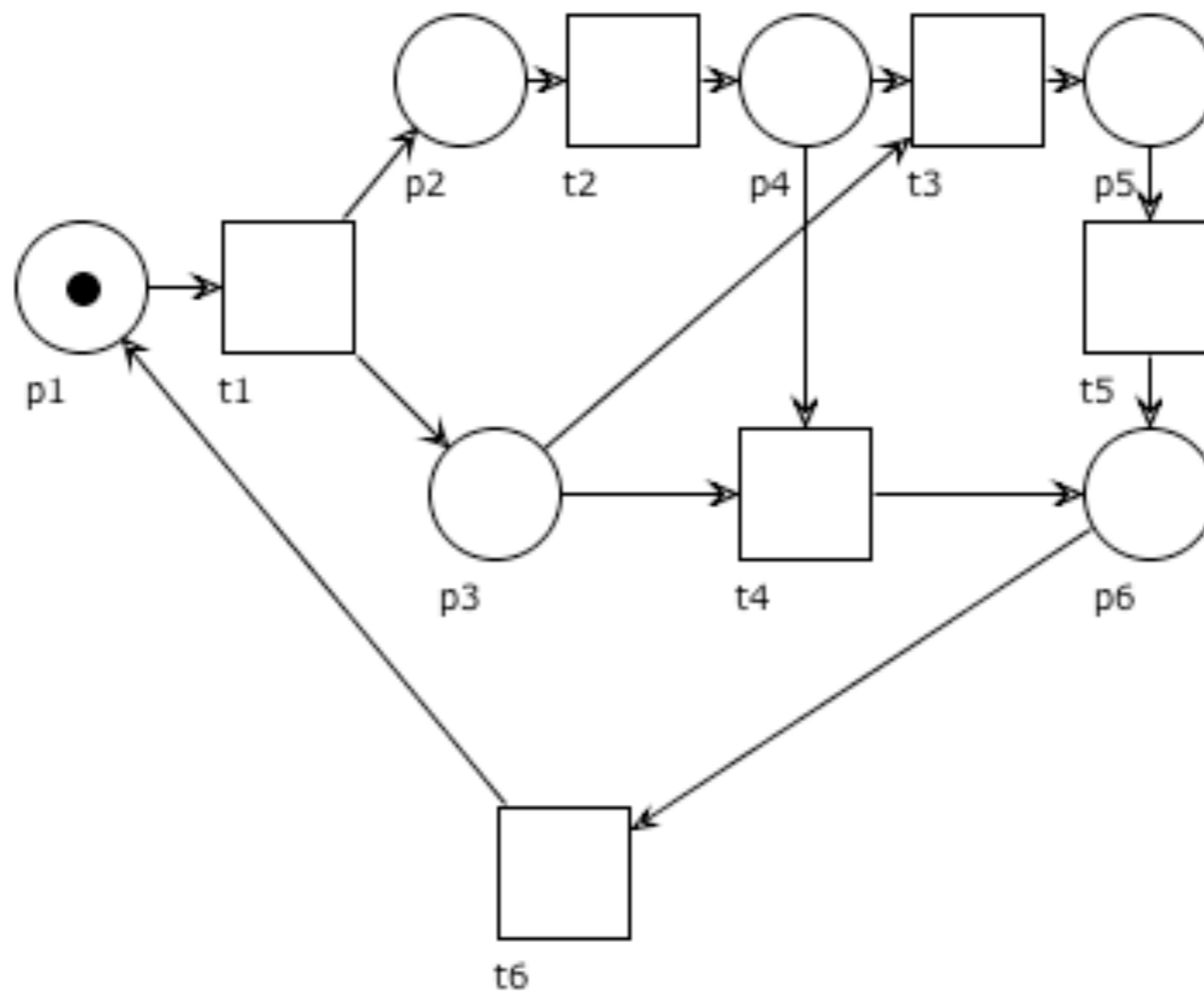
(proof omitted)

Consequence:

**free-choice + not T-coverable  $\Rightarrow$  not (live and bounded)**

# Exercise

Find an S-cover and a T-cover for the net below and derive suitable S- and T-invariants





# Compositionality

# Compositionality of sound free-choice nets

## **Lemma:**

If a free-choice workflow net  $N$  is sound  
then it is safe

(because  $N^*$  is  $S$ -coverable and  $M_0=i$  has just one token)

## **Proposition:**

If  $N$  and  $N'$  are sound free-choice workflow nets  
then  $N[N'/t]$  is a sound free-choice workflow net

( $N, N'$  are safe; we just need to show that  $N[N'/t]$  is free-choice)