

# Deep of Enumeration Algorithms

## 1. Fundamentals

- Motivation – advantage and disadvantage
- Difficulty
- Basic enumeration scheme

# 1-1 Essence of Enumeration

- when it works -

# Definition

## Enumeration

output all the solution to the given problem (exactly one for each)

## Ex.)

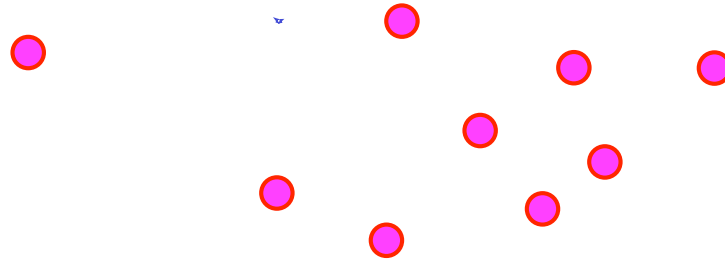
- + Enumerate all paths from vertex **s** to vertex **t** in a graph
- + enumerate all feasible solutions to a knapsack problem

An algorithm for solving an enumeration problem

- enumeration algorithm

# Why Enumerate?

- Optimization finds only one best solution (find an extreme case)
  - Enumeration finds all parts of the problem



However,

- + if data is incomplete, or the objective is not clear, the best solution would not be the best (sometimes, bad)
- + in sampling, or search, solutions should be many; we should completely find all solutions
- + if we want to capture not global, but local structures of the data, we should enumerate all remarkable local structures
- + on the other hand, not good if a solution is output many times

**Often, enumeration is important**

# Typical Cases in Practice

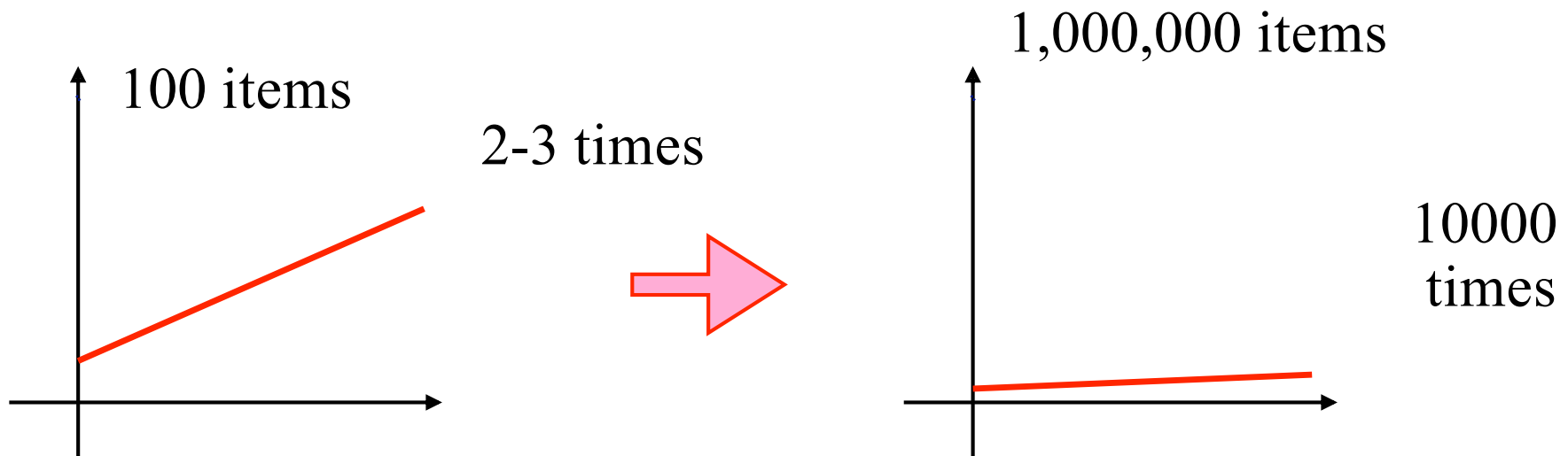
- Such motivations often arise in data analysis
  - + cluster mining (find (all) densely connected/related structures)
  - + similarity analysis (where is similar, and where is not)
  - + enumerate explanations / rules (how to explain the data)
  - + pattern mining (local features are important)
  - + substructure mining (component structures of the data)
- Enumeration is efficient for these tasks
- They have many solutions, we have to have efficient algorithms

# When We Can Use

- Enumeration finds all solutions, therefore,
  - + Bad for those having huge solutions ( $\neq$  large scale data)  
(implies badly modeled) having relatively few solutions is fine
  - + small problem, few variables  $\square$  few solutions
  - + good if we can control #solutions by parameters  
(such as, solution size, frequency, weights)
  - + unifying similar solutions into one is also good
- Simple structures are easy to enumerate
- Even difficult problems, brute force works for small problems
- Tools with simple implementations would help research/business

# Advantage of Algorithm Theory

- Approach from algorithm theory is efficient for large scale data
  - theoretically supported speed up bounds the increase of computation time against the increase of the problem size
  - The results of the computation are the same



Acceleration increase as the increase of problem size

# Theoretical Aspect of Enumeration

- Problems of fundamental structures are almost solved
  - path, subtree, subgraph, connected component, clique,...
- Classes of poly-time isomorphism check are often solved
  - sequence, necklace, tree, maximal planer graph,...
- But, problems having tastes of applications are usually not solved
  - patterns included in positive data but not in negative data
  - reduction of complexity / practical computational costs
- Problems of slight complicated structures are also not solved
  - graph classes such as chordal graphs / interval graphs
  - ambiguous constraints
  - heterogeneous constraints (class + weights + constraints)



# Advantages for Application

- Enumeration introduces completeness of solutions
- Ranking/comparison can evaluate the quality of methods exactly
  - We can evaluate the solution of small problems by a method
- Various structures / solutions are obtained in short time
- Enumeration + candidate squeeze is a robust method against the changes of models / constraints
  - Good tools can be re-used in many applications
  - Trial of an analysis, and extension of research become easy
- Fast computation can handle huge data

# The Coming Research on Theory

- Basic schemes for enumeration are of high-quality
- Producing a totally novel algorithm would be very difficult
  - In some sense, approach is fixed (always have to “search”)
- The next level of the research for search route, duplication, canonical form,... are important topics
  - maximal/minimal, geometric patterns, hypothesis, ...
- Applications to exact algorithm, random sampling, counting are also interesting
  - Enumeration may become the core of research
  - Apply techniques of enumeration to usual algorithms

# 1-2 Difficulty of Enumeration

- how to brute force -

# Can We Enumerate?

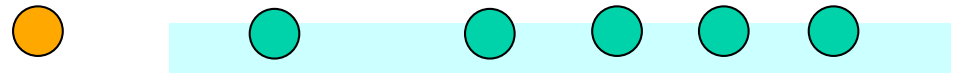
- Once we meet a problem, we have to outlook for the solvability of the problem
- ... and, how much will be the cost (time, and workload)
- For the purpose, we have to know
  - ”what are the difficulties of the enumeration”,
  - “what kind of techniques and methods we can use”
  - “how to enumerate in simple and straightforward ways”

# Difficulty of Enumeration

- Designing enumeration algorithms involves some difficulty
  - + how to avoid duplication
  - + how to find all
  - + how to identify isomorphism
  - + how to compute quickly
- ...

# Difficulty on Duplication

- Assume that we can find all
- Even though, it is not easy to avoid duplication, (not to perform the search on the same solution)
- Simply, we can store all solutions in memory, to check solutions found are already output or not
  - memory inefficient...
  - dynamic memory allocation & efficient search (hash)

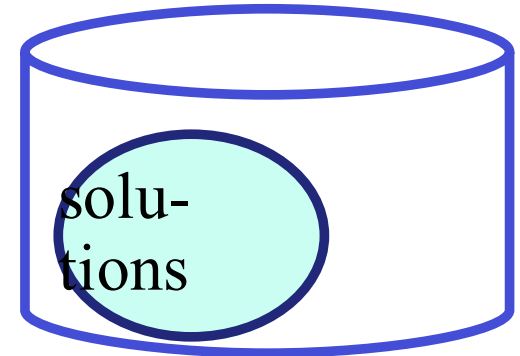


- Output/ not output: deciding without past solutions is better

Its generalization yields “reverse search”

# For Real-World Problems

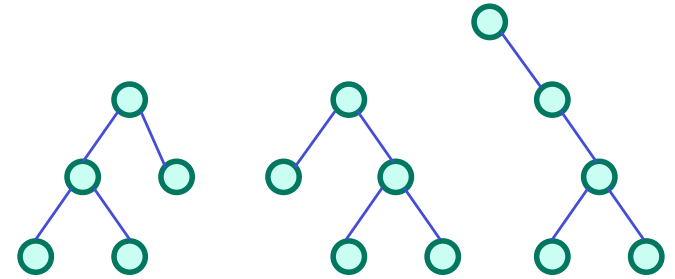
- ...Memory inefficient for huge solutions
- If this is not a problem, i.e.,
  - Non-huge solutions, or we have sufficiently much memory
  - We have hash/binary trees that are easy to use
- Brute-force is simple  Good in term of engineering
- No problem arises in the sense of complexity
  - theoretically, OK



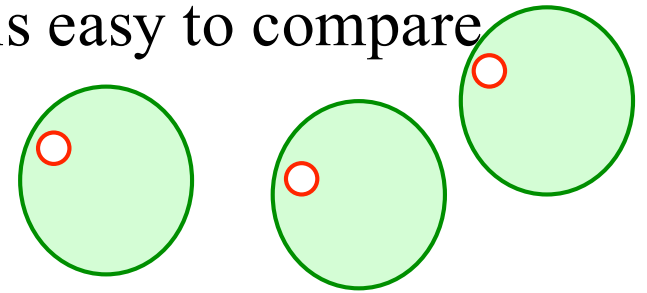
In the application (we want to obtain solutions), brute force is a best way if it doesn't lose efficiency

# Difficulty on Isomorphism

- Non-linear structures, such as graphs, are hard to identify the isomorphism



- An idea is to define “canonical form”, that is easy to compare
  - has to be one-to-one mapping
  - no drastic increase of size



- bit-encoding ordered tree □ un-ordered tree □ transforming series-parallel graphs and co-graphs to trees

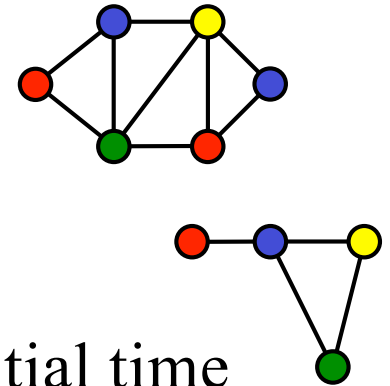
Enumeration of canonical form corresponding to enumeration of the original structures



# When Isomorphism is Hard

- How to define canonical form if isomorphism is hard?

- graph, sequence data, matrix, geometric data...



- Even though, isomorphism can be checked in exponential time (so, we can define canonical form, which takes exp. time to comp.)

- If solutions are few, (ex. graph mining), brute-force works

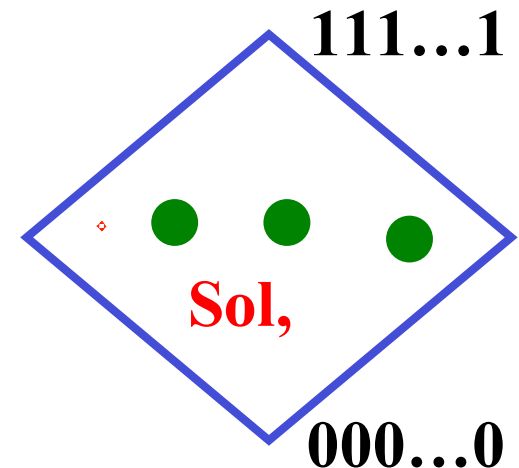
- usually not exponential time

- embedding is, basically, the bottle-neck computation

- From complexity theory, algorithms taking exponential time only few iterations are really interesting, (but still open).

# Difficulty on Search

- Cliques and paths are easy to enumerate
  - Cliques can be obtained by iteratively adding vertices
  - Path sets can be partitioned clearly
- However, not all structures are so
  - maximal XXX, minimal OOO
  - XXX with constraints (a), (b), (c), and...
  - Solutions are not neighboring each other
- Roughly, there are two difficult cases
  - Easy to find a solution, but ... (maximal clique)
  - Even finding a solution is hard (SAT, Hamilton cycle...)

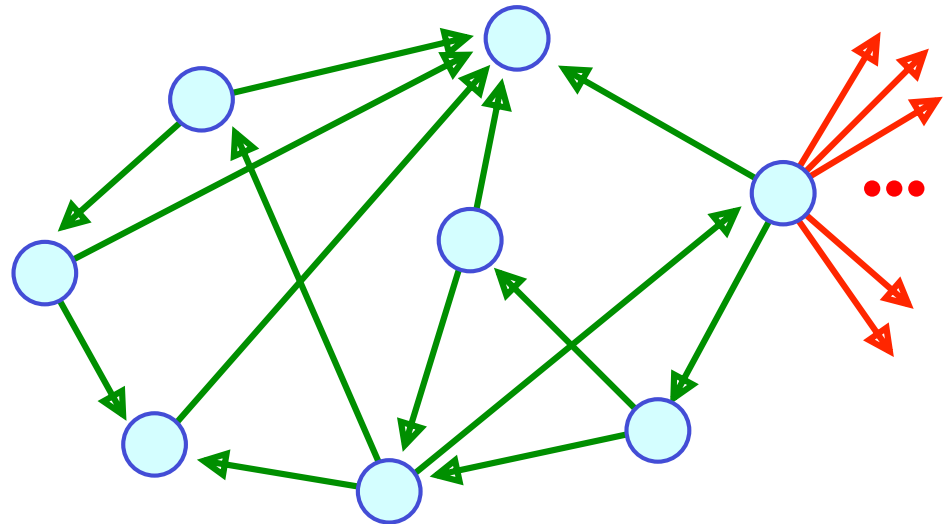


# “Finding One Solution” is Hard

- For hard problems such as NP-complete problems, even finding one solution is hard
  - (maximum clique, SAT, Hamilton cycle, ...)
- Even though we want to find all, thus hopeless
  - Each iteration would involve NP-complete problems
  - We should give up usual complexity results
- However, similar to the isomorphism, if one of
  - + “usually easy” such as SAT
  - + “non-huge solutions” maximal/minimal
  - + “bounded solution space” size is bounded,is satisfied, we can solve the problem in a straightforward way

# “Finding One Solution” is Easy

- Even if any solution can be found by a (poly-time) algorithm, we have to consider how to make other solutions from a solution
  - + if succeeded, we can visit all solutions by moving iteratively
  - + if the move spans all the solutions, enumerable
- But, if “making other solutions” takes exponential time, or exponentially many solutions are made, time inefficient



# Move Efficiently

- For maximal solutions,
  - + remove some elements and add others to be maximal
    - can move iteratively to any solution
      - + but, #neighboring solutions is exponential, enumeration would take exponential time for each
- Restrict the move to reduce the neighbors
  - + add a key element and remove unnecessary elements
    - exponential choices for removal results exponential time
- For maximal solutions, pruning works well
  - no other solution above a maximal solution
    - & easy to check the existence of maximal solution

# Fast Computation

- Standard techniques for speeding up are also applicable
- Fasten the computation of each iteration
  - + data structures such as binary trees and hashes
  - + use sparseness by using array lists of adjacency matrix
  - + avoid redundant computation
  - + fitting to cache, polish up codes
- In enumeration, we usually change the current solution, dynamic data structures are often efficient
  - input graph, maintain vertex degree, weight sum, frequency...
- Using “bottom-wideness” of recursion is especially efficient

# Brute-force Algorithm

- Brute force is acceptable if the problem is easy (small)
  - how do we do “brute force”?
    - + enumerate all candidates and output the solutions among them
    - + enlarge the solutions one by one and remove isomorphic ones
    - + scan the candidates from the smaller ones
- We should have “simple algorithms” for solving easy problems
- ... and also “simple implementing ways”, such as, only making a subroutine (oracle) for computing a function is necessary

# Divide and Conquer

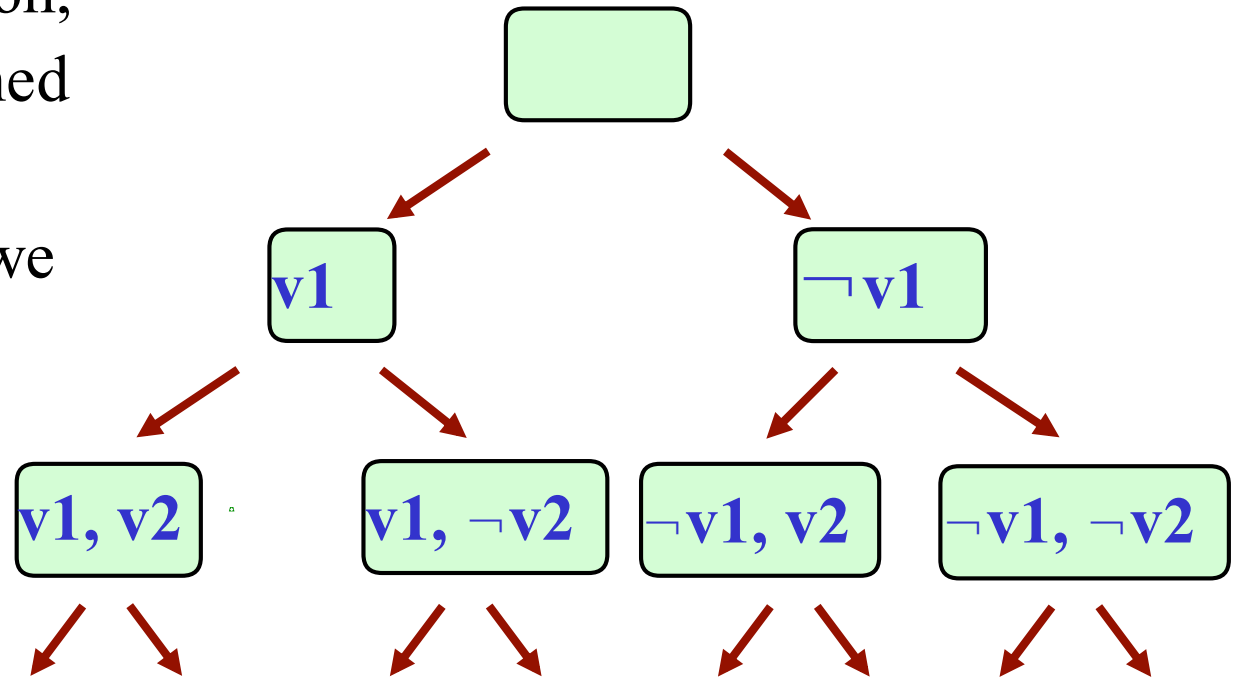
- Determine the value of variables one by one
- Recursive call for each value

• At the bottom of recursion, an assignment is determined

• Prune the brunch when we confirm non-existence of solution in descendants

□ accuracy and speed are the key.

Exact  $\cap$  polytime,  
then polytime delay





# (1) Enumerate Combinations

- Enum. all combinations  $\square$  determine variable values recursively

**Enum1** ( $X$  :set of all determined values,  $i$ : index)

- 1.** if no solution includes  $X$  then return
- 2.** if  $i >$  maximum index then
- 3.** if  $X$  is a solution then output  $X$
- 4.** else
- 5.** for each  $e$  in values which  $x_i$  can take
- 6.** call **Enum1** ( $X \cup (x_i = e)$ ,  $i+1$ )
- 7.** end for

- Only “3. check of being a solution” is needed. 1. is not necessary
- Fast if check in 1 is of high accuracy

## (2): Enumerate Patterns

- To avoid isomorphic solutions, incremental generation  
(for graphs, matrix, sequences )

**Global variable:** database  $\mathbf{D} := \phi$

**Enum2** ( $\mathbf{X}$ : pattern)

1. insert  $\mathbf{X}$  to  $\mathbf{D}$
2. if no solution includes  $\mathbf{X}$  then return
3. if  $\mathbf{X}$  is a solution then output  $\mathbf{X}$
4. for each  $\mathbf{X}'$  obtained by adding an element to  $\mathbf{X}$
5. if none of  $\mathbf{D}$  is isomorphic to  $\mathbf{X}'$  then call **Enum2** ( $\mathbf{X}'$ )

- Only designs of 3. and 4. are necessary
- Efficient if check in 2. is fast and of high accuracy

# 1-3 Basic Algorithms

# Evaluate the Complexity

- Enumeration has exponentially many solutions, thus is natural to take exponential time
- Indeed, desired to terminate shortly if few solutions
- Actually, usual complexity does not work for enumeration
- + An enumeration algorithm may output  $2^n$  solutions
- + An algorithm testing all  $2^n$  combinations is optimal!
- Essentially, this is impossible to improve the algorithm in the sense of usual time complexity, in this case

# Complexity on Enumeration

- Enum. algorithm is desired to terminate shortly if few solutions
- For given an instance, #solutions  $N$  is determined (so, is an invariant), low degree order in  $N$  is good

An algorithm is **output polynomial time** if it terminates in time polynomial to input size  $n$  and the number of solutions  $N$

An algorithm is **polynomial (time) delay** if the maximum time interval between two solutions is polynomial to input size  $n$

# Basic Enumeration Algorithms

- Since fundamental, construction scheme is also simple
- On the other hand, not so many variations
- + **Backtracking**  
depth-first search with lexicographic ordering
- + **binary partition**  
branch & bound like recursive partition algorithm
- + **reverse search**  
search on traversal tree defined by parent-child relation

# Backtracking

- Mainly used for independent (monotone) sets (maximals)

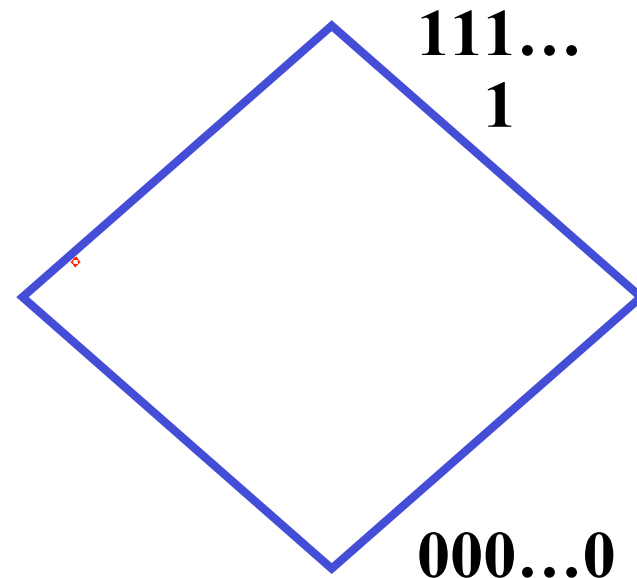
**Independent set system  $\mathbf{F}$**  :  $X \in \mathbf{F} \iff$  for any  $X' \subseteq X$ ,  $X' \in \mathbf{F}$   
(  $X \in \mathbf{F} \iff$  any subset of  $X$  is a member of  $\mathbf{F}$  )

**Ex)**

- + cliques of a graph, matchings, combinations of numbers whose sum is less than  $\mathbf{b}$ , frequent itemsets...

**× Not**

- + trees of a graph, paths, cycles, ...

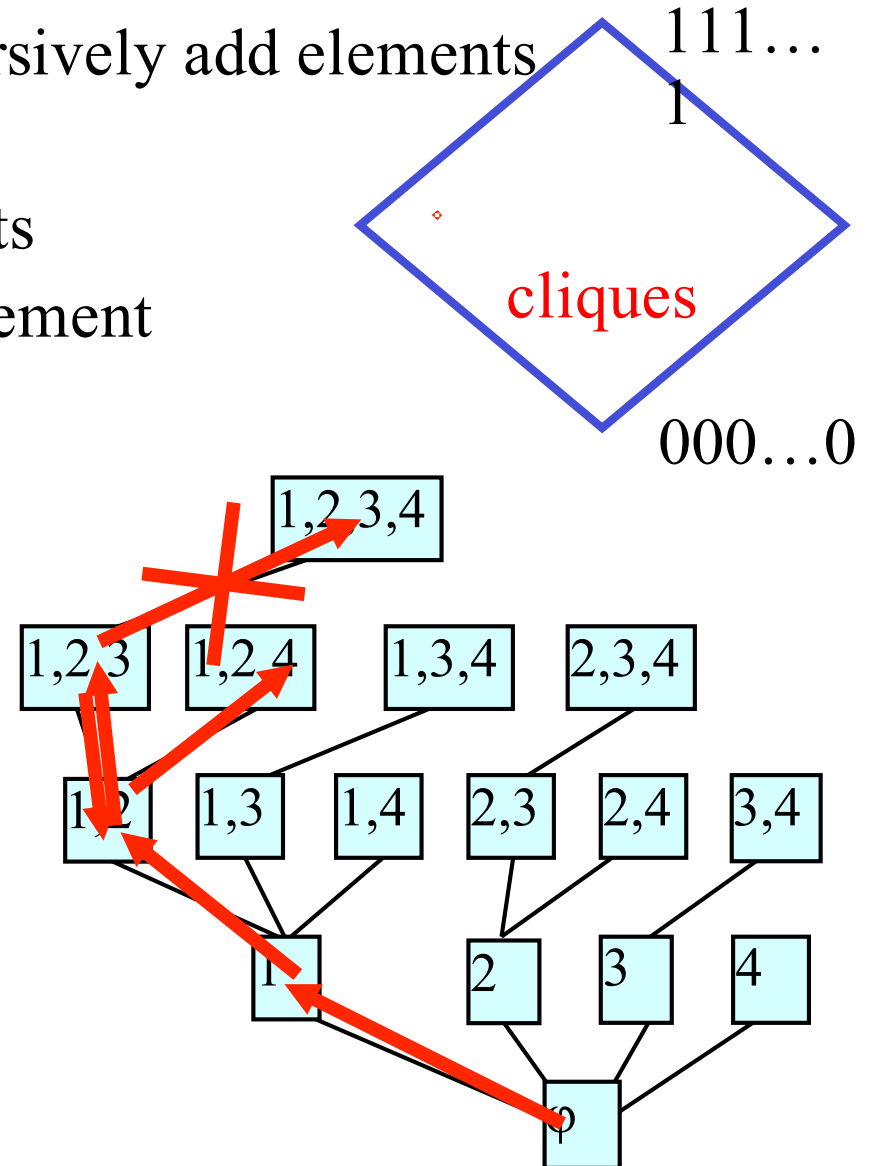


# Framework of Backtracking

- Start from the empty set, and recursively add elements
- In each iteration, add only elements larger than the current maximum element

*(an iteration does not include those in its recursive calls)*

- Recursive call with the result of addition, if it is a solution
- Go back after all examinations



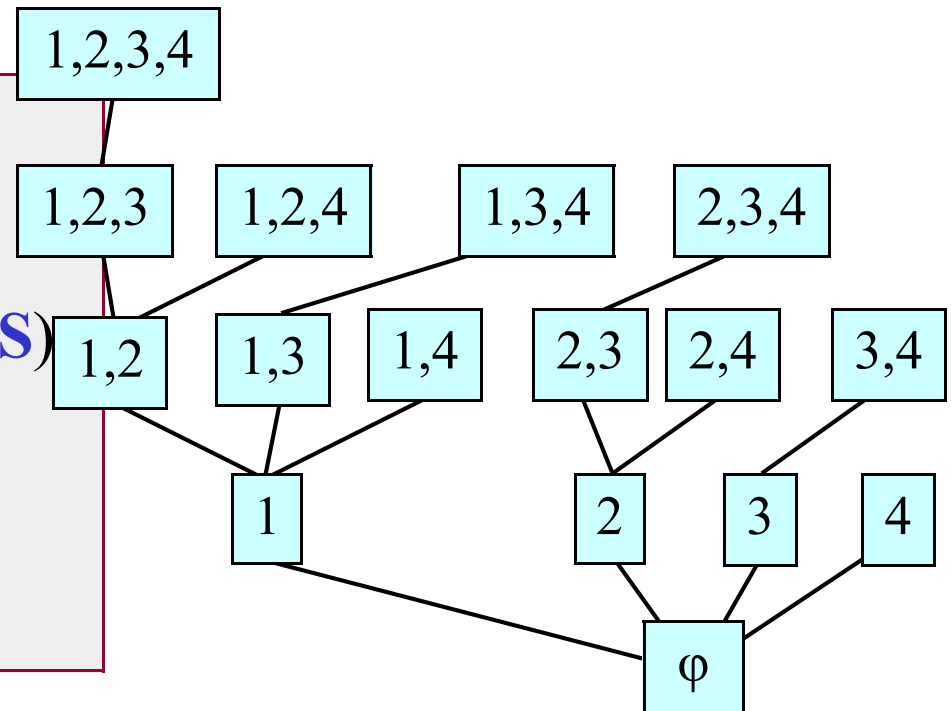


# Pseudo Code for Backtracking

- Start from the empty set, and recursively add elements; add only elements larger than the current maximum element

## Backtrack (S)

1. output S
2. for each  $e >$  tail of S  
(the max. element in S)
3. if  $S \cup \{e\}$  is a solution then  
call Backtrack ( $S \cup \{e\}$ )
4. end for



- simple, and polynomial space
- polynomial delay (output polynomial time)

# Feasible Solutions to Knapsack Problem

**Problem:** enumerate all subsets of  $a_1, \dots, a_n$  whose sum is less than  $b$

**Backtrack** ( $S$ )

1. output  $S$
2. for each  $i >$  tail of  $S$  (maximum element in  $S$ )
3. if  $\sum S + a_i < b$  then call **Backtrack** ( $S \cup \{a_i\}$ )
4. end for

**Computation time:**

each iteration output a solution, and take  $O(n)$  time

□ time per solution is  $O(n)$

- Sort  $a_1, \dots, a_n$ , then each recursive call can be generate in  $O(1)$  time

# Code for Knapsack

- Print all combinations of  $a[0], \dots, a[n]$  with summation less than

```
int a[n], flag[n];

sub (int i, int s){
    int j;
    for (j=0 ; j<n ; j++)
        if (flag[j] == 1) printf ("%d\n", a[j]); // print a solution
    for (j=i+1 ; j<n ; j++)
        if (s+a[j] <= b){ // check the feasibility
            flag[j] = 1;
            sub (i, s+a[j]);
            flag[j] = 0;
        }
    }
}
```

# Maximal Solutions

- #solutions increases exponentially when  $n$  or the sizes of solutions are large
- If #solutions is large, post-process is also hard

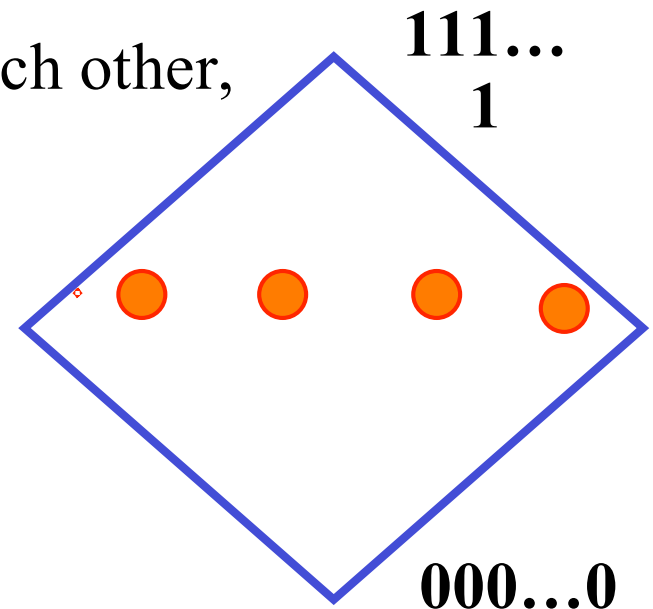
□ enumerate maximal so that the solution set is irredundant

$X \in F$  is maximal in  $F$  □ for any  $X \subset X'$ ,  $X' \in F$  does not hold

- Maximal solutions are not neighboring to each other, search is difficult

(exception; spanning trees, matroid bases)

- If there is a good pruning method, it's OK



# Enumerating Maximals

**Problem:** enumerate all maximal subsets of  $a_1, \dots, a_n$  whose sum is no greater than  $b$

- Put indices to  $a_1, \dots, a_n$  in decreasing order

**Backtrack** ( $S$ )

1. output  $S$

2. for each  $i >$  tail of  $S$

and  $\sum S + a_i + \dots + a_n > b - a_{i-1}$

3. if  $\sum S + a_i \leq b$  then call **Backtrack** ( $S \cup \{a_i\}$ )

4. end for

Pruning that with only non-maximal solutions

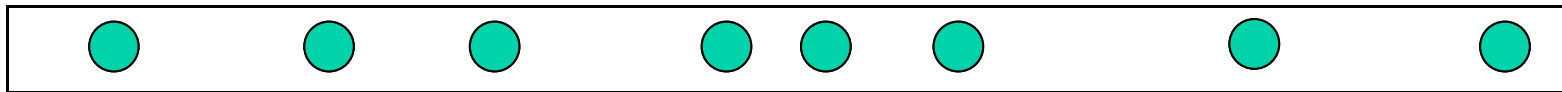
**Computation time:**

An iteration takes  $O(n)$   $\square$   $O(n)$  time per solution

# Maximal: Shift a Solution to the End

- Maximal enumeration admits a simple pruning algorithm
  - (1) prune if meets a non-member
  - (2) no brunch needed if addition of all remaining members is a member
- Even if (1) is complete, exhaust search for all members is inefficient
- Find a maximal solution, shift all its element to the bottom, then no need of recursive calls for the shifted elements
  - because (2) works for the elements!

element ordering



For small maximal solution sizes (up to 30), practically efficient

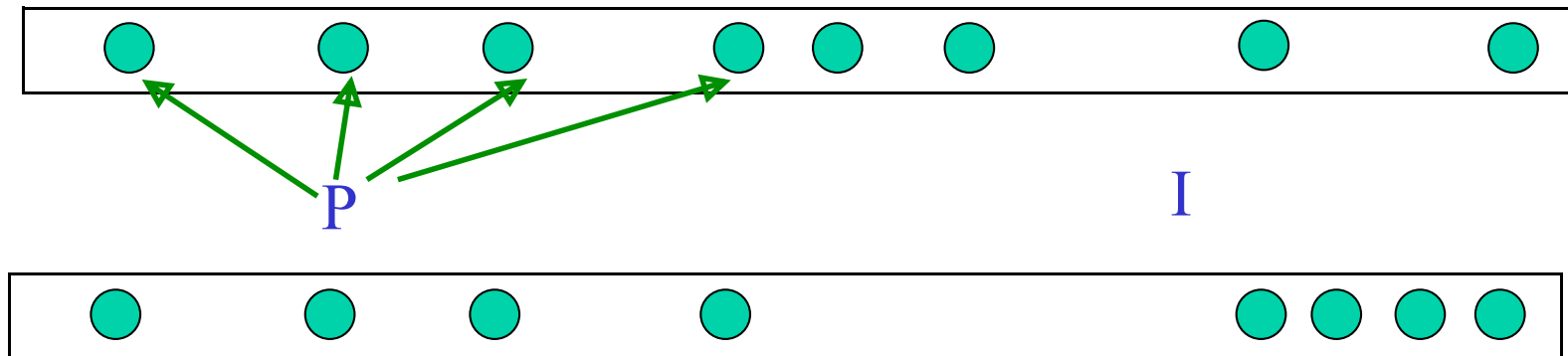
# Pseudo Code

- Describe the algorithm by a pseudo code

**EnumMax** (**P**:current solution, **I**: undetermined elements)

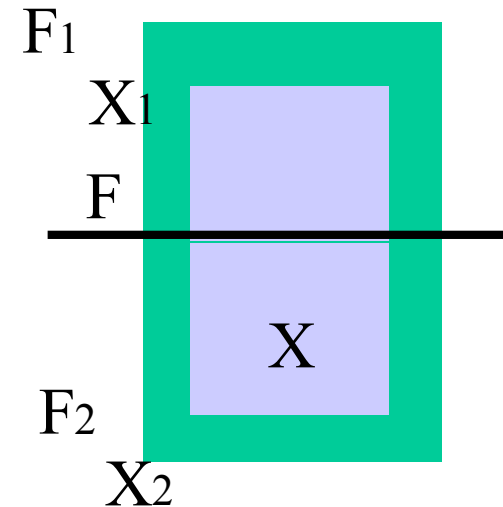
1. find maximal set **S** among those including **P** and included in **P∪I**
2. if **S** is a maximal solution of the problem then output **S**
3. for each  $e \in I \setminus S$   
     $I := I \setminus \{e\}$ ; call **EnumMax** (**P**∪**e**, **I**)

element ordering



# Binary Partition

- $X$  is a set of solutions, that is a subset (subsequence, etc.) of  $F$  satisfying a property  $P$
- Binary partition outputs the solution if solution in  $X$  is unique
- Otherwise, it partitions  $F$  into two (or several) sets so that  $X$  is partitioned into non-empty sets
- Do this recursively, until the solution is unique



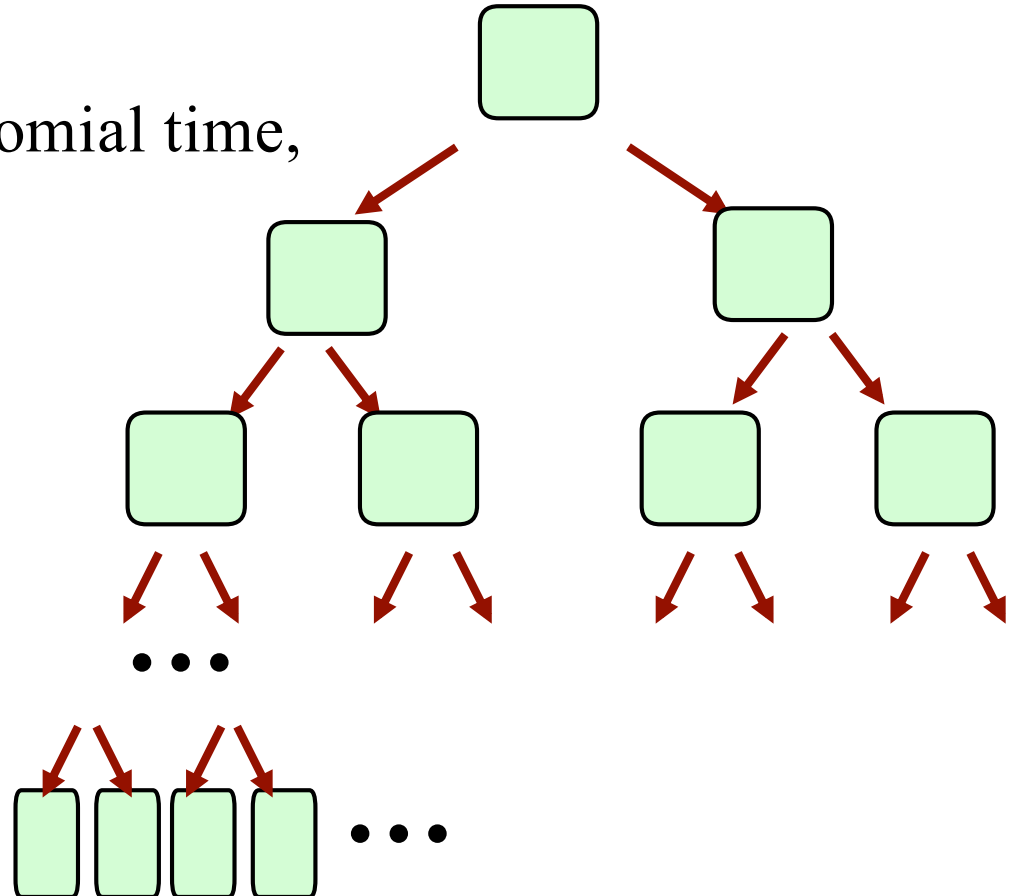
## Ex.)

- + paths of a graph connecting vertex  $s$  and vertex  $t$  (st-paths)
- + perfect matchings of a bipartite graph
- + spanning trees of a graph
- + connected components of a graph



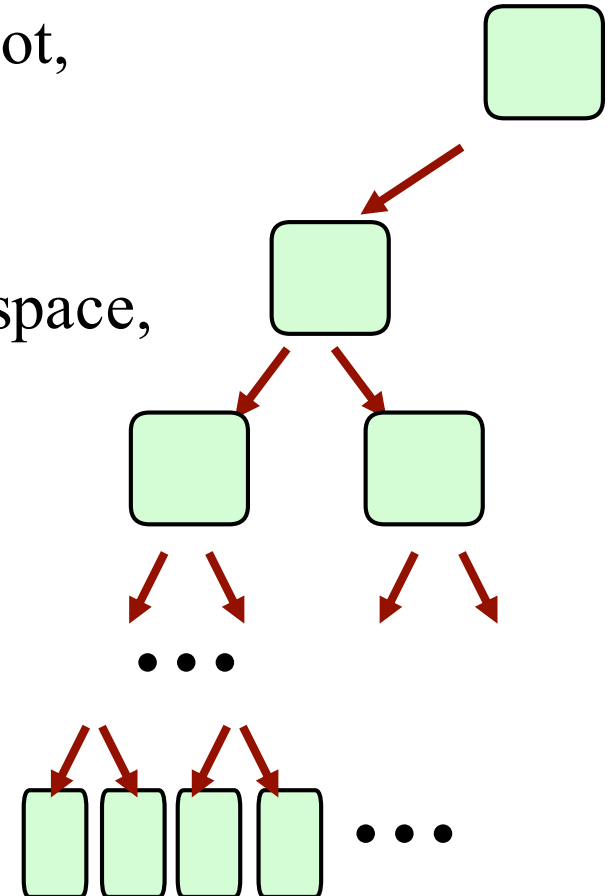
# Time Complexity

- Binary partition always partitions a problem or outputs a solution
  - #iteration is bounded by  $2N$
- The partition process is polynomial time,  
(determine how to partition,  
and check empty or not)
  - the algorithm is  
output polynomial time



# Time Complexity

- If the height of the tree is polynomial in  $n$ , it is polynomial delay  
(to go up (go back) from the leaf to the root,  $O(\text{height})$  time is needed)
- If the partition process needs polynomial space, the algorithm is polynomial space



# Binary Partition of st-paths

**Problem:** enumerate all **st**-paths in  $G=(V,E)$

**Partition:** choose an edge **e** incident to **s**, and partition into

- + enumeration of **st**-paths including **e**
- + enumeration of **st**-paths not including **e**

so that both problems are non-empty

**Child Problems:**

**st**-paths including **e**: remove all edges incident to **s** except **e**

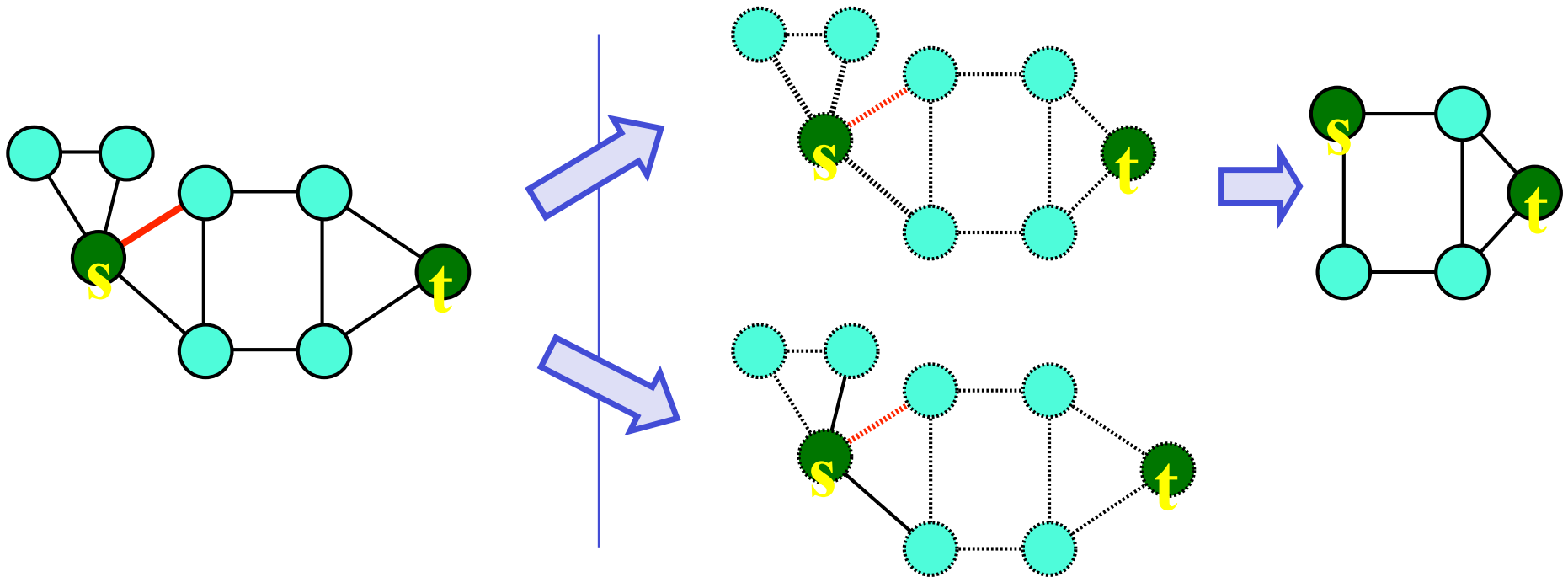
**st**-paths not including **e**: remove **e**

# Child Problems on st-paths

## Child Problems:

**st**-paths including **e**: remove all edges incident to **s**  
(and move **s** to the next vertex)    □ denote **G-s**

**st**-paths not including **e**: remove **e**    □ denote **G-e**



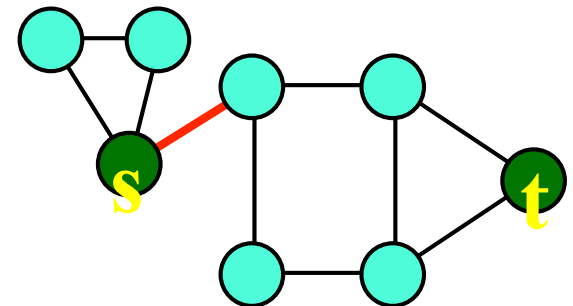
## Computation time:

one iteration =  $O(|E|)$

# Choosing Valid Edge

- If we choose a bad edge, the subproblems will be empty;
  - + “including  $e$ ” is empty, if  $t$  is not reachable via  $e$ 
    - remove the component including  $e$
  - + “not including  $e$ ” is empty, if  $e$  is the only edge reachable to  $t$ 
    - move  $s$  to the next vertex , and remove  $e$

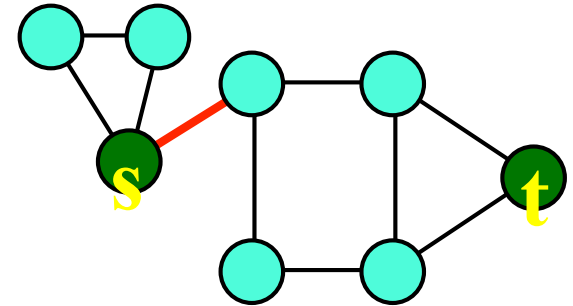
- After at most  $|E|$  repetitions, we can always find a valid edge



# Time Complexity

- Test of the validity of the edge takes  $O(|V|)$  time at most  $O(|E|)$  repetitions

- An iteration takes  $O(|E||E|)$  time

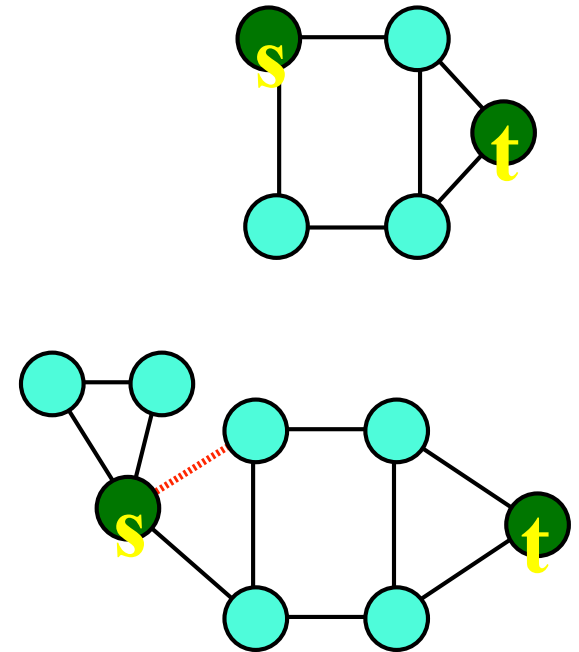


- Since #iterations  $< 2N$ , time per solution is  $O(|E||E|)$
- Since the height of the recursion tree is  $O(|V|)$ , the delay  $O(|V||E|^2)$

# Pseudo Code for st-paths

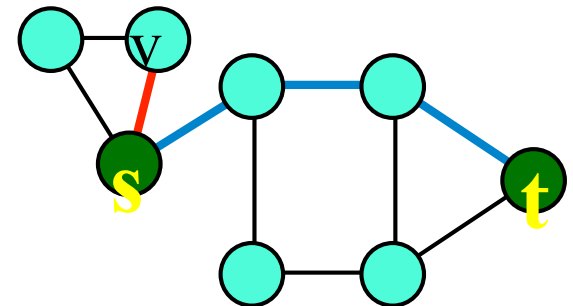
**Enum\_st-path** ( $G, s, t, S$ )

- 1.** if  $s = t$  then output  $S$ , return
- 2.** choose an edge  $e=(s,v)$
- 3.** if no  $vt$ -path in  $G-s$  then  
remove  $e$ , go to **1.**
- 4.** if no  $st$ -path in  $G-e$  then  
remove  $e$ ,  $S := S+s$ ,  $s := v$ , go to **1.**
- 5.** call **Enum\_st-path** ( $G-s, v, t, S$ )
- 6.** call **Enum\_st-path** ( $G-e, s, t, S$ )



# Better Algorithm

- How long does it take (graph reform) to find a valid edge?
- Find a path  $\mathbf{P}$  / from  $\mathbf{s}$  to  $\mathbf{t}$
- Choose an edge  $\mathbf{e} = (\mathbf{s}, \mathbf{v})$  / incident to  $\mathbf{s}$  and not in  $\mathbf{P}$ 
  - +  $\mathbf{t}$  is not reachable via  $\mathbf{e}$   delete the visited edges
    - $\mathbf{O}(\#\text{delete edges})$
  - + only one edge (in  $\mathbf{P}$ ) is incident to  $\mathbf{s}$   move  $\mathbf{s}$  to  $\mathbf{v}$ , and remove  $\mathbf{e}$ 
    - $\mathbf{O}(1)$
- Computation time is  $\mathbf{O}(\#\text{delete edges})$ , until we find a valid edge, i.e.,  $\mathbf{O}(|\mathbf{E}|)$





# Pseudo Program Code

- **flag[] := 0** in initialization, **path** is the current solution

```
int mark[m], path[n];
```

```
enum_path (int s, int i) {  
  if (s = t) { output path[0],...,path[i]; return }  
  • find an st-path, f (=(s,v)) := the edges in the path incident to s  
  • mark[f] := 1 (put mark)
```

```
  while (1) {
```

- choose an edge **e=(s, v)** s.t. **mark**[**e**] = **0**

- **mark**[**e**] := **1**

- if (no such edge **e** exist) {

```
    path[i] := v; i++; s := v
```

```
    if (s = t) { output path[0],...,path[i]; return }  
  } else if ( t is reachable from v via only unmarked edges and not through s ) {
```

```
  break }  
}
```

```
call enum_path (s, i);
```

```
path[i] := v; call enum_path (v, i+1);
```

- set **mark**[**e**] := **0** for edges **e** marked in this iteration

```
}
```

# 1-4. Reverse Search and Maximal Clique Enumeration

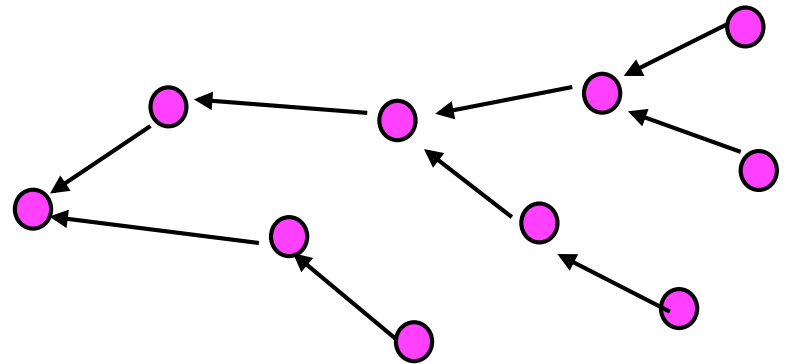


# Realization

- Depth-first search on induced tree (called, family tree)
  - no need to store the tree in the memory (or disk)
- Algorithm for finding all children of a parent is sufficient
- Particularly, it is better to have an algorithm that finds the **(i+1)**-th child by giving **i**-th child

## Reverse\_Search (S)

1. output S
2. for each child S' of S
3.     call Reverse\_Search (S')
4. end for





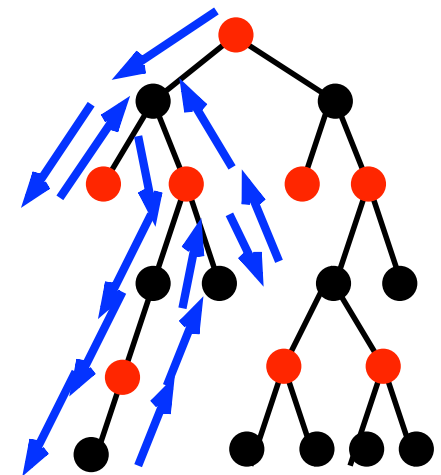
# Alternative Output

- Alternative output is a technique for reducing the delay (avoid long path (going up) with no output)
- Suppose that an enumeration algorithm takes  $O(X)$  time in each iteration, and always outputs a solution

## AlternativeOutput (S)

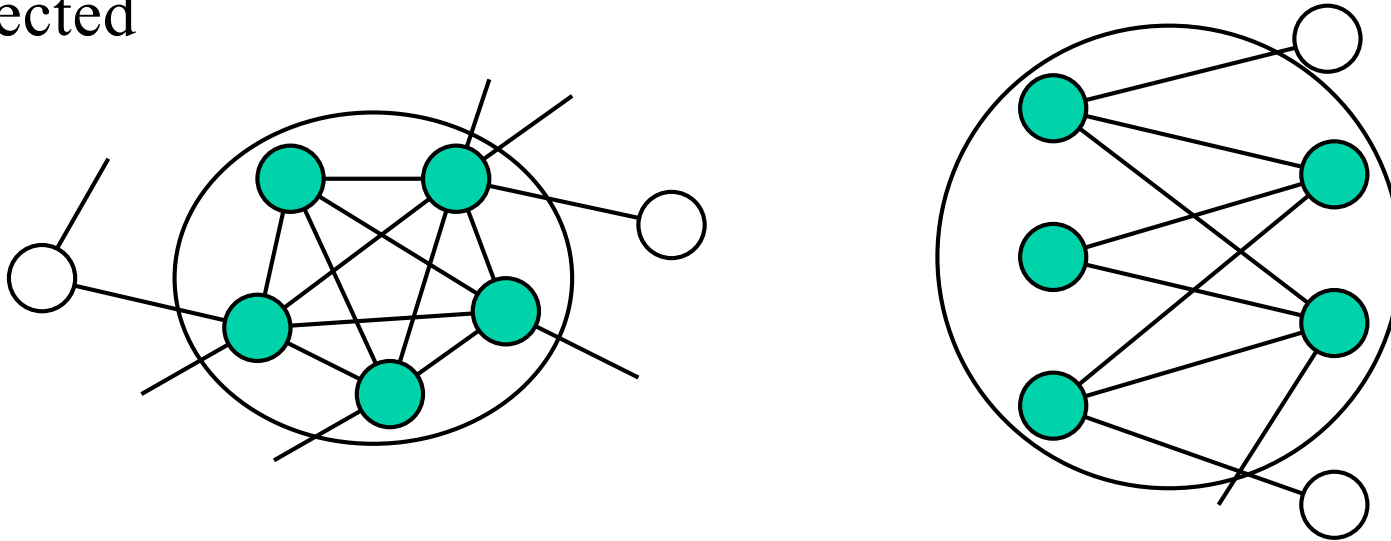
1. if depth is even output S
2. for each child S' of S  
    call AlternativeOutput (S')
3. if depth is odd output S

□ Delay is  $O(X)$



# Clique Enumeration

**Clique:** a subgraph that is a complete graph (any two vertices are connected)



- Finding a maximum size is NP-complete
- Bipartite clique enumeration is converted to clique enumeration
- Finding a maximal clique is easy (  $O(|E|)$  time )
- Many researches and many applications, with many models

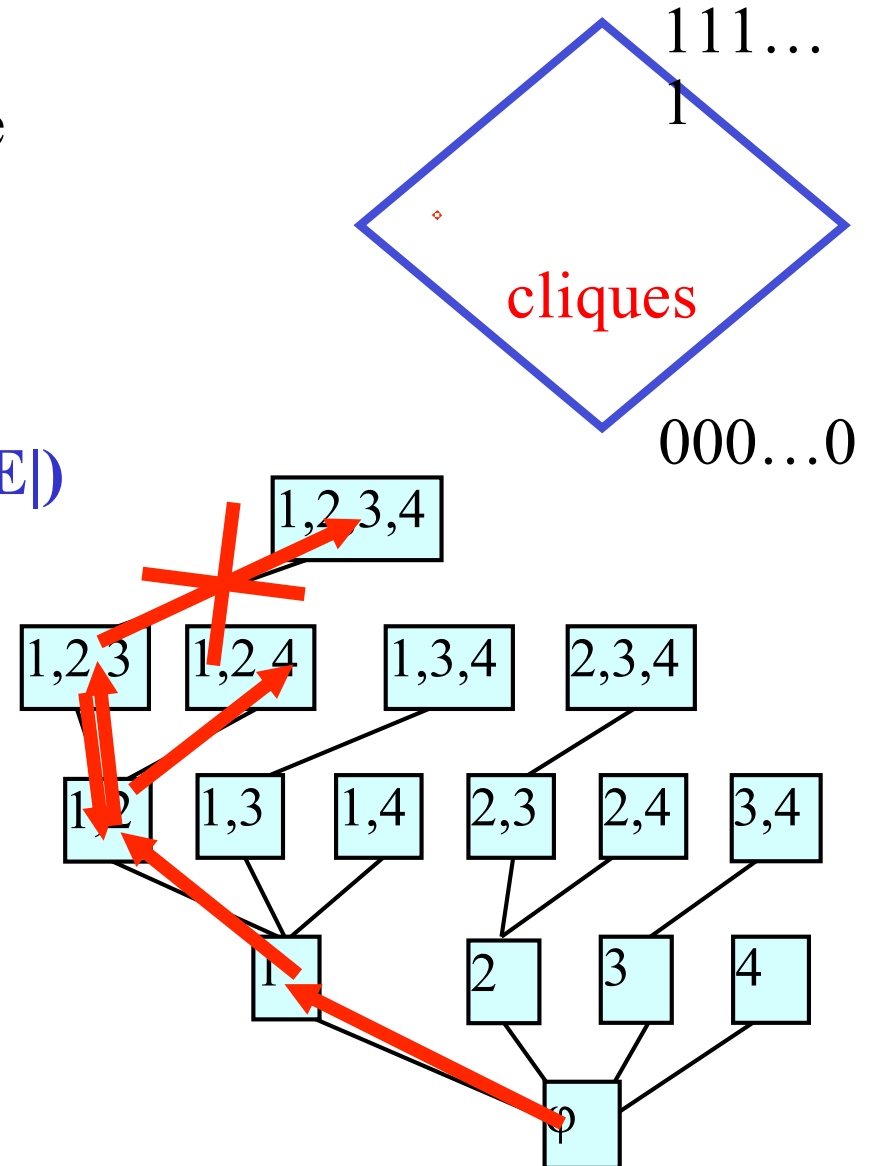
# Monotone

- Set of cliques is monotone, since any subset of a clique is also a clique

□ Backtracking works

- The check being a clique takes  $O(|E|)$  time, and at most  $|V|$  recursive calls

□  $O(|V| |E|)$  per clique



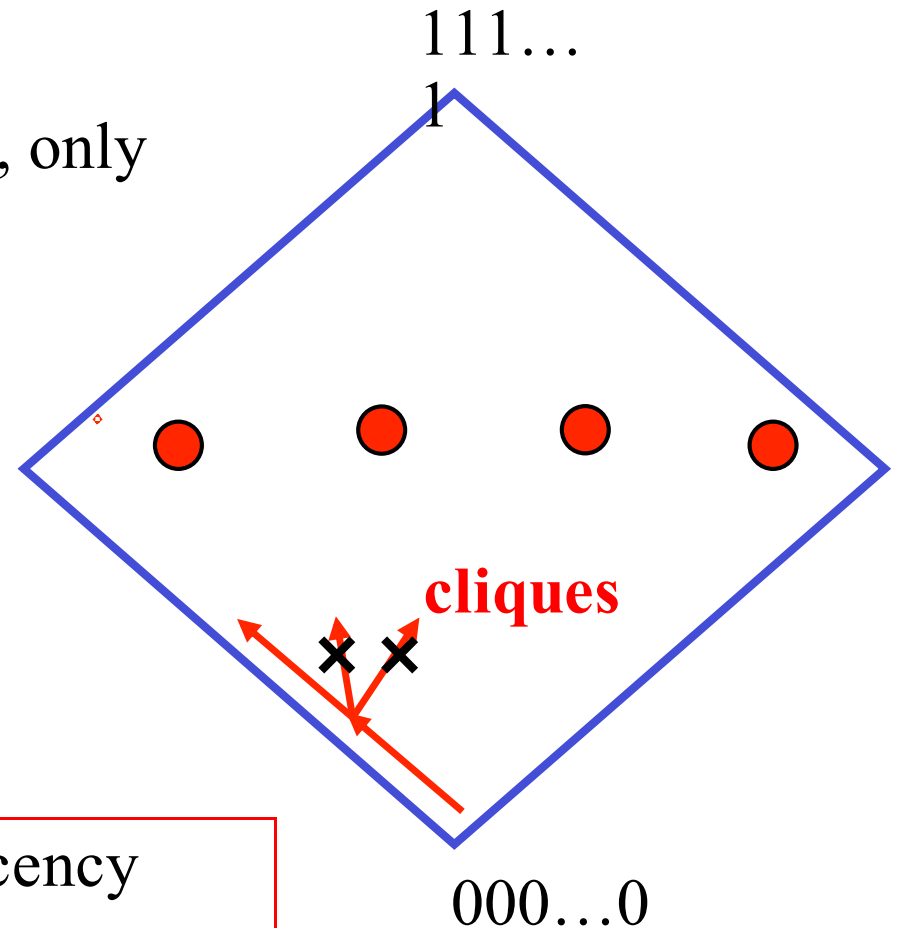


# Motivations

- Real-world graphs are usually sparse, thus clique sizes are small
- On the other hand, large cliques also exist
  - #cliques explodes
- Enumeration of maximal ones looks better Clique
  - + the number reduces to  $1/10 \sim 1/1000$
  - + no information loss (any clique is included in some maximal)
  - + maximal cliques are complete in some sense, and non-maximals are incomplete, thus good for modeling

# Difficulty on the Search

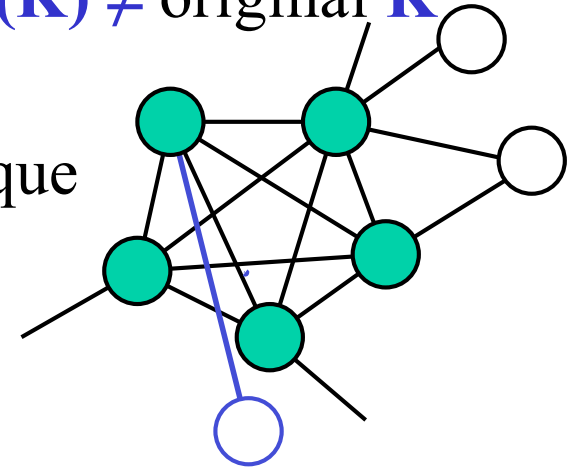
- Maximal cliques are tops of the mountains
- Impossible to move to each other, only with simple operation
- No maximal near by start
- ... Backtrack doesn't work...



Introduce more sophisticated adjacency on maximal cliques

# Adjacency on Maximal Cliques

- $\mathbf{C(K)}$  := lexicographically smallest maximal clique including  $\mathbf{K}$  (greedily add vertices from the smallest index)
- For maximal clique  $\mathbf{K}$ , remove vertices iteratively, from largest index
- At the beginning  $\mathbf{C(K)} = \mathbf{K}$ , but at some point  $\mathbf{C(K)} \neq$  original  $\mathbf{K}$
- Define the parent  $\mathbf{P(K)}$  of  $\mathbf{K}$  by the maximal clique (uniquely defined).
- The lexicographically smallest maximal clique (= root) has no parent
- $\mathbf{P(K)}$  is always lexicographically smaller than  $\mathbf{K}$
- the parent-child relation is acyclic, thereby induces tree



# Finding Children

- $\mathbf{K}[v]$  : The maximal clique obtained by adding vertex  $v$  to  $\mathbf{K}$ , remove vertices not adjacent to  $v$ , and take  $\mathbf{C}()$

$$\square \mathbf{K}[v] := \mathbf{C}(\mathbf{K} \cap \mathbf{N}(v) \cup \{v\})$$

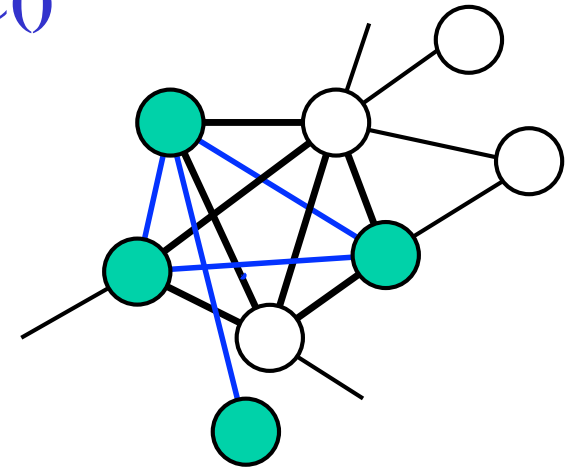
- $\mathbf{K}'$  is a child of  $\mathbf{K}$   $\square \mathbf{K}' = \mathbf{K}[v]$  for some  $v$

$\mathbf{K}[v]$  for all  $v$  are sufficient to check

- For each  $\mathbf{K}[v]$ , we compute  $\mathbf{P}(\mathbf{K}[v])$   
If it is equal to  $\mathbf{K}$  to,  $\mathbf{K}[v]$  is a child of  $\mathbf{K}$

All children of  $\mathbf{K}$  can be found by at most  $|\mathbf{V}|$  checks, thus an iteration takes  $\mathbf{O}(|\mathbf{V}| |\mathbf{E}|)$  time  $\square \mathbf{O}(|\mathbf{V}| |\mathbf{E}|)$  per maximal clique

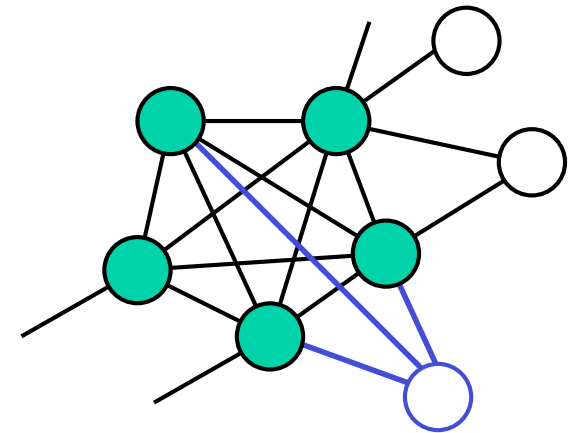
- Note that  $\mathbf{C}(\mathbf{K})$  and  $\mathbf{P}(\mathbf{K})$  can be computed in  $\mathbf{O}(|\mathbf{E}|)$  time



# Pseudo Code for Maximal Clique

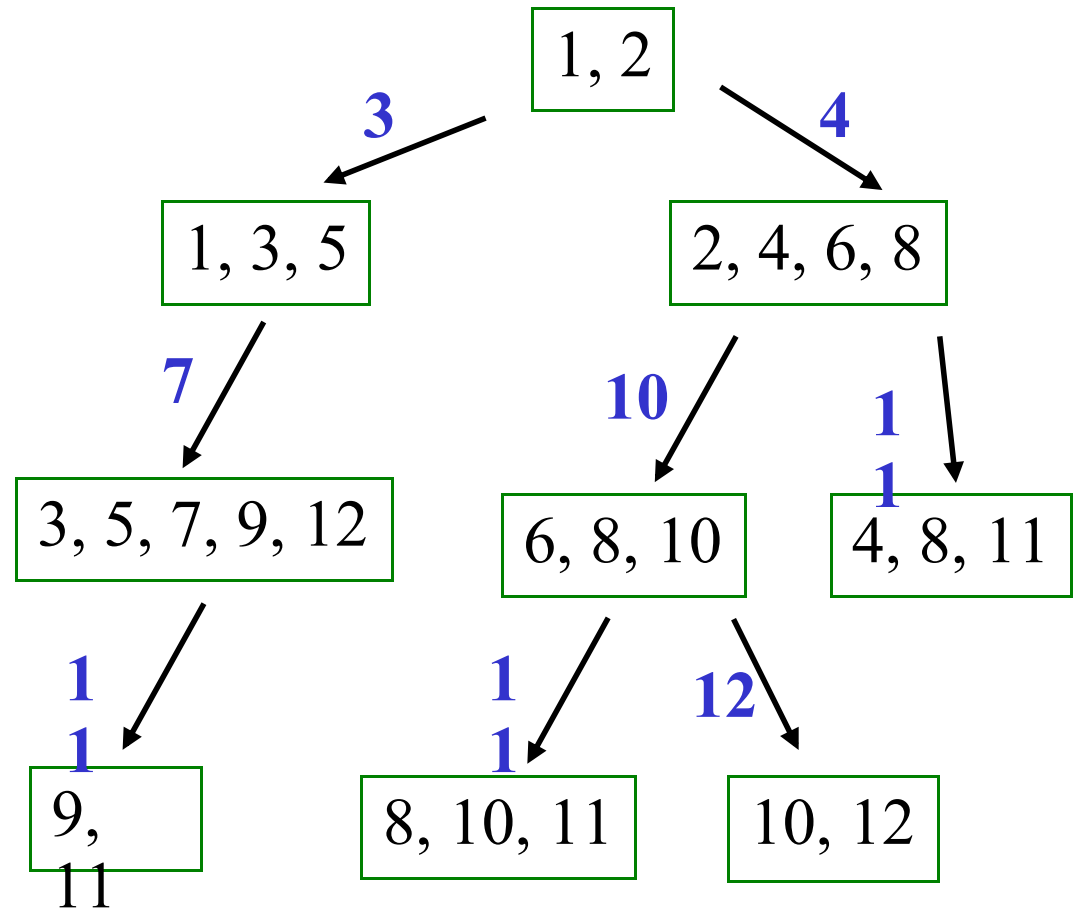
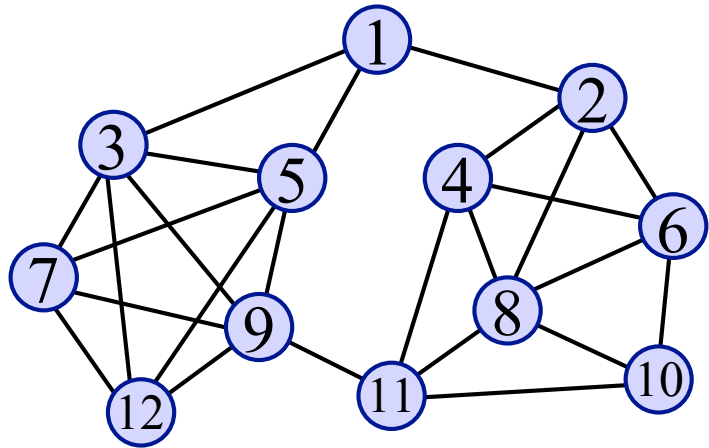
## EnumMaxcliq ( $K$ )

1. output  $K$
2. for each vertex  $v$  not in  $K$
3.  $K' := K[v]$  ( $= C(K \cap N(v) \cup v)$ )
4. if  $P(K') = K$  then call EnumMaxcliq ( $K$ )
5. end for



# Example

- The parent-child relation on the left graph





# 1-5 Reverse Search for Non-Isomorphic Tree Enumeration



# Tree Enumeration

- Previous enumeration problems aim to enumerate “**substructures**” of the given instances (ex. paths in a graph)
- On the other hand, there is a problem of finding “all structures” in the given specified class (ex, matrices)
- For some classes, the problem is trivial
  - + **paths, cycles**: lengths of 1, 2, ...
  - + **cliques**: sizes of 1, 2, ...
  - + **permutations** of size **n**
- For some classes, the problem is non-trivial
  - + **trees, crossing lines** (in plane), **matriods, 01-matrices...**

# Isomorphism

- On non-trivial structures, we have to take care of “**isomorphism**”

**Isomorphism:** a structure is isomorphic to another if there is one-to-one correspondence between the elements with keeping some condition

- + a ring sequence (necklace) is isomorphic to another iff it can be transformed to another by rotation
- + a matrix is isomorphic to another iff it can be transformed to the other by swapping rows, and swapping columns
- + a graph is isomorphic to another iff there is a one to one mapping between vertices preserving the adjacency

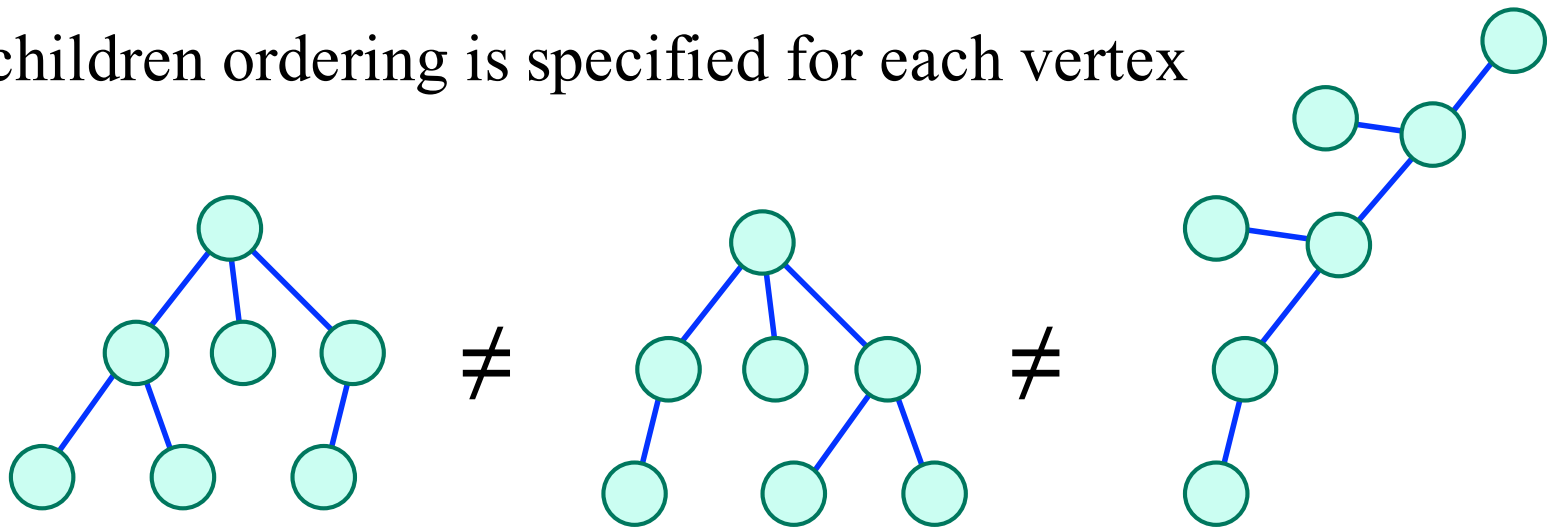
Enumerate all structures so that no two are isomorphic

# Ordered Tree

- Consider enumeration of trees
- Tree has many classes  
among them, we first consider ordered trees

**Ordered tree:** a rooted tree

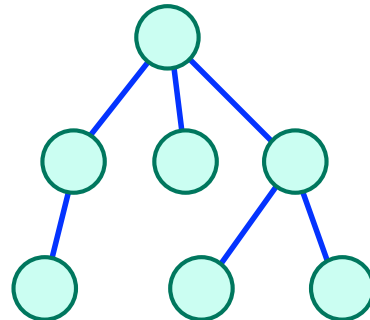
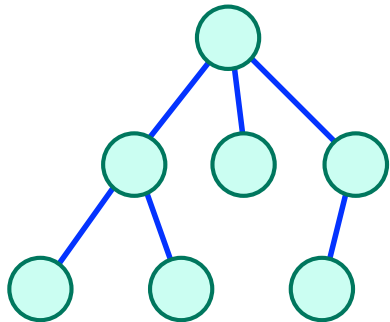
s.t. a children ordering is specified for each vertex



They are isomorphic in the sense of tree (graph),  
but the orders of children, and the roots are different

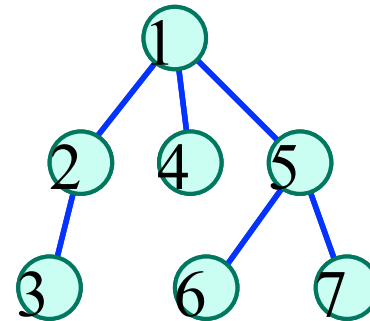
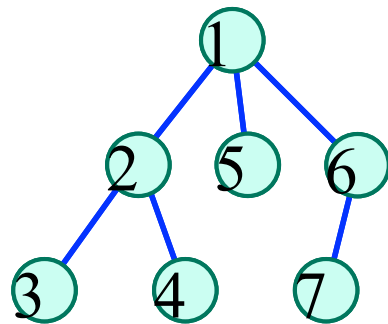
# Ambiguity on Representation

- Trees (graphs) are represented by combination of sets, thus we need to put indices to vertices (in the case of data structure, same)
- It results ambiguity on the representation
  - there are many ways to put indices
- By putting the indices in a unique way, or representing by other objects, we can avoid the ambiguity



# Left-first DFS

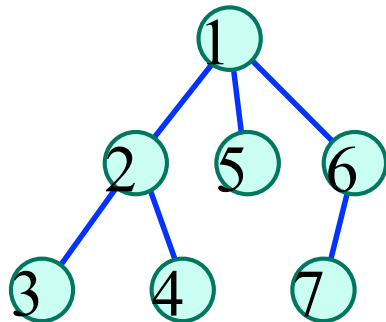
- Put indices to vertices by visiting order of depth-first search that visits the leftmost child first, and the remaining from left to right
  - indices are put uniquely
  - an ordered tree is isomorphic another if any its edge is included in the other (and #edges are equal)



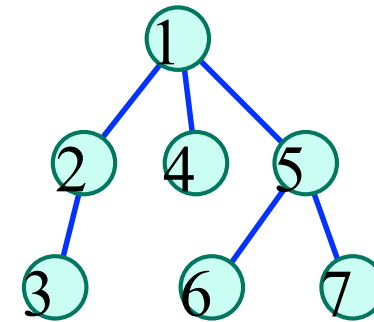
Isomorphism can be checked by comparing edge sets

# Depth Sequence

- The left-first DFS can be used to encode ordered trees
- The movement of the DFS is encoded by the sequence of the depth of the visiting vertices (**depth sequence**)
  - the sequence of depths of the vertices ordered by the indices



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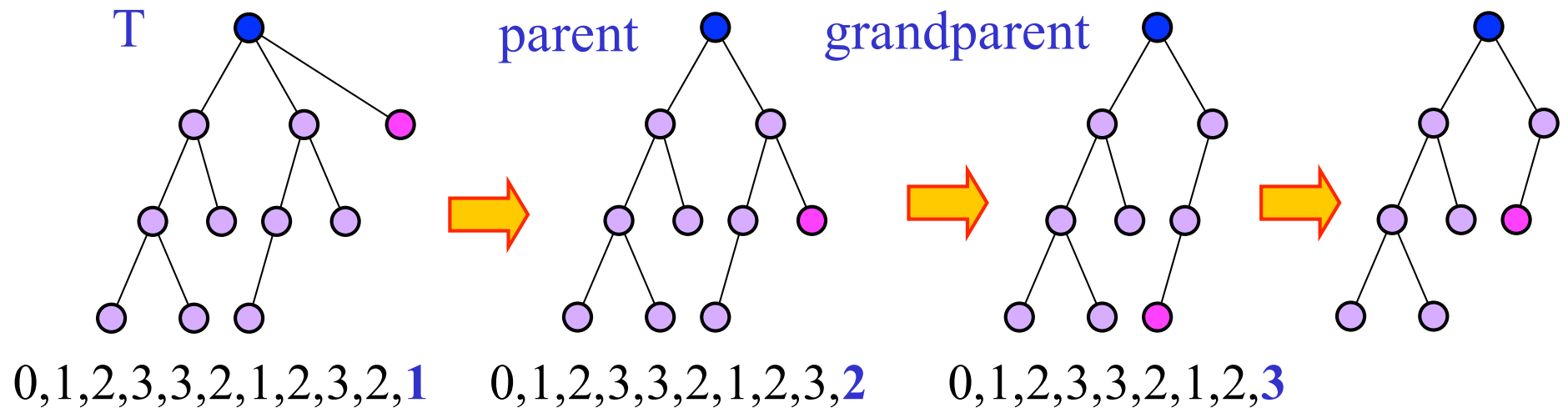


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Isomorphism can be checked by comparing the sequences

# Parent-Child Relation for Ordered Trees

- Based on the idea of these representations, we define the parent of each ordered tree
- The parent of an ordered tree is defined by the tree, obtained by removing the vertex having the largest index

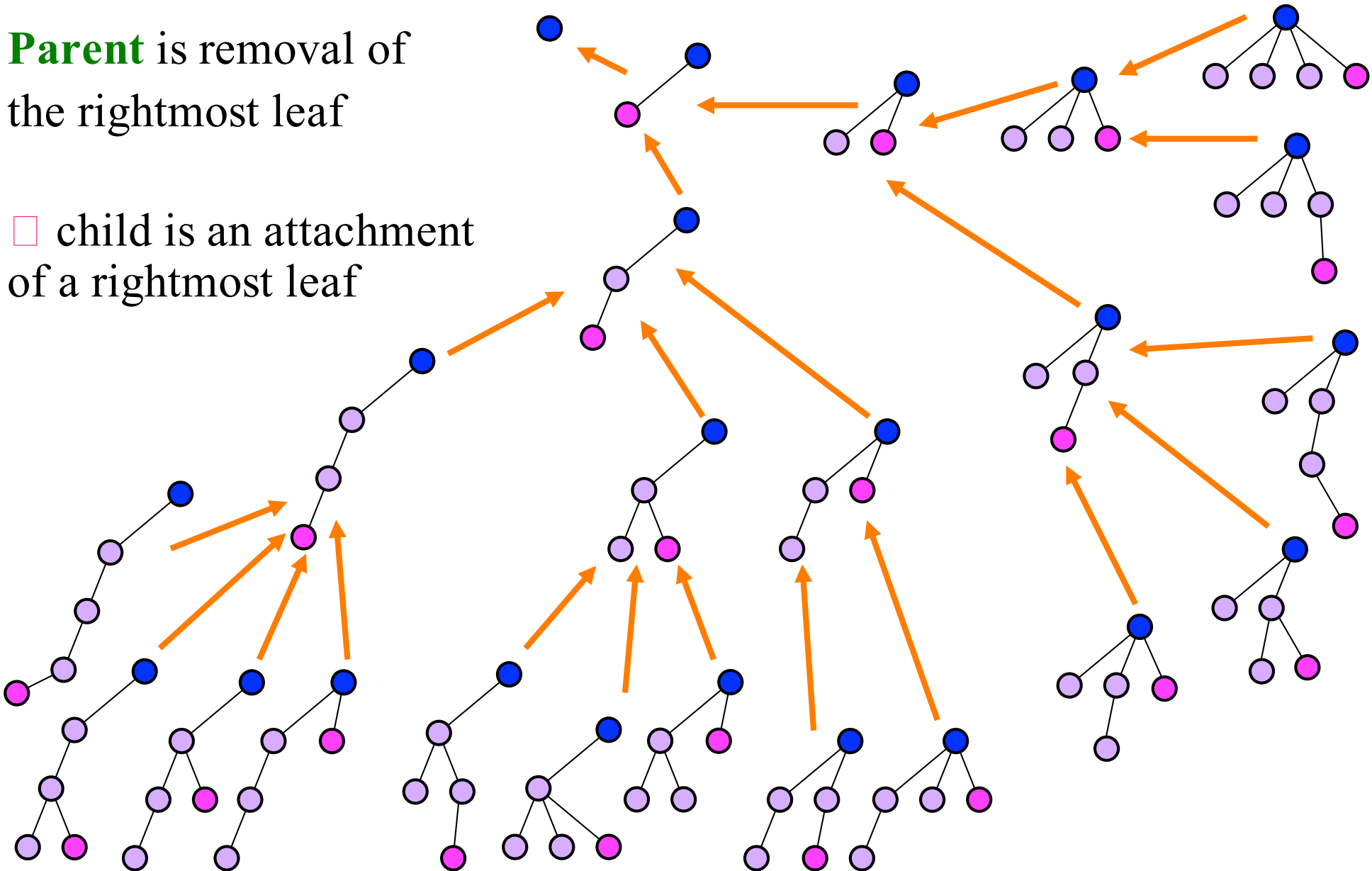


size decreases by going to the parent  
□ acyclic & spans all ordered trees

# Family Tree of Ordered Trees

**Parent** is removal of the rightmost leaf

□ child is an attachment of a rightmost leaf



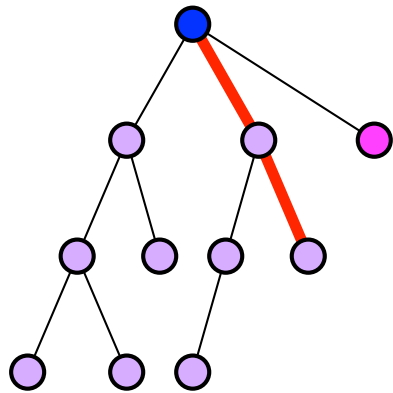
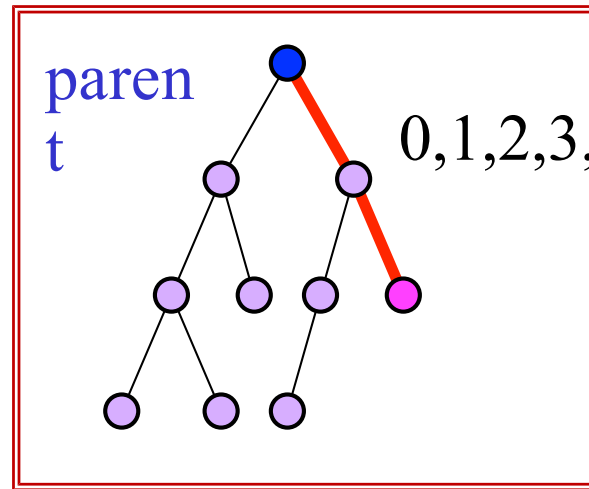


# Finding Children

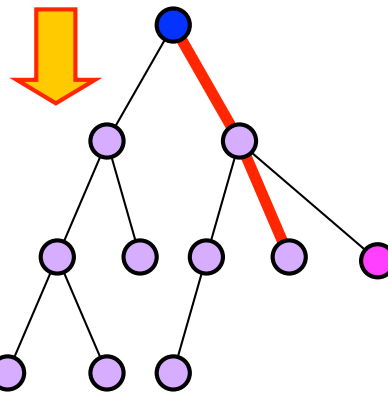
- For an ordered tree  $T$ , we can obtain its children by adding a vertex so that the vertex has the largest index

□ add to right-hand of the rightmost path

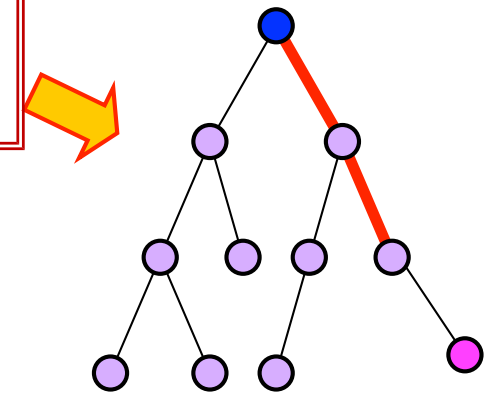
addition always yields a child



0,1,2,3,3,2,1,2,3,2,**1**



0,1,2,3,3,2,1,2,3,2,**2**



0,1,2,3,3,2,1,2,3,2,**3**

# Pseudo Code

- By giving the size limitation, we can enumerate all ordered trees of size less than the specified number **k**

## **EnumOrderedTree (T)**

- 1. output T**
- 2. if size of T = k then return**
- 3. for each vertex v in the right most path**
- 4.    add a rightmost child to v**
- 5.    call EnumOrderedTree (T)**
- 6.    remove the child added in 4**
- 7. end for**

The inside of the for loop takes constant time, thus time complexity is **O(1)** for each (output by difference from the previous)

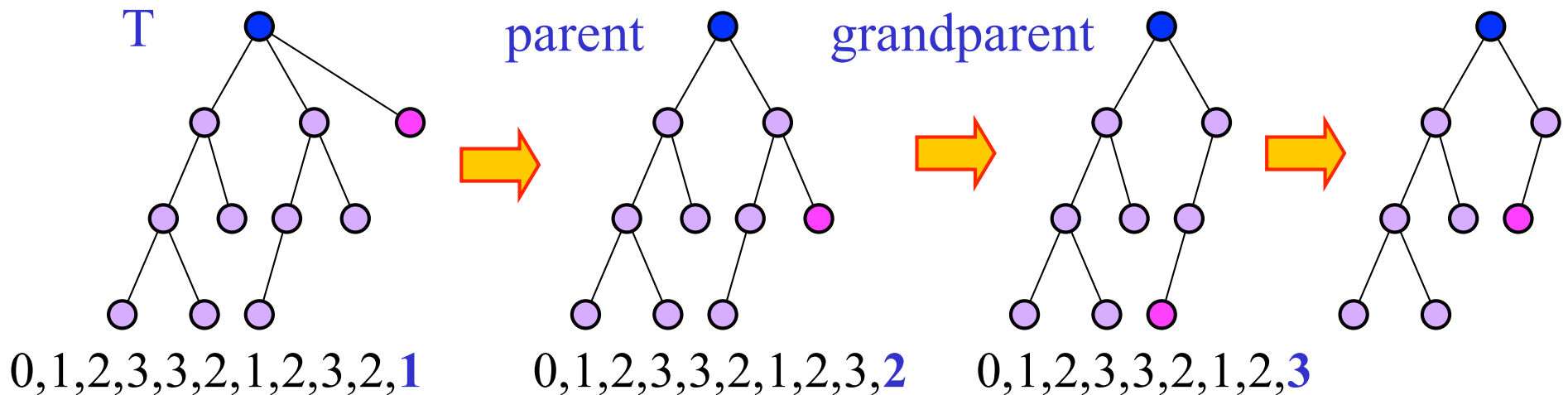




# Parent-Child Relation for Canonical Forms

- The parent of left-heavy embedding **T** is the removal of the rightmost leaf (same as ordered trees)

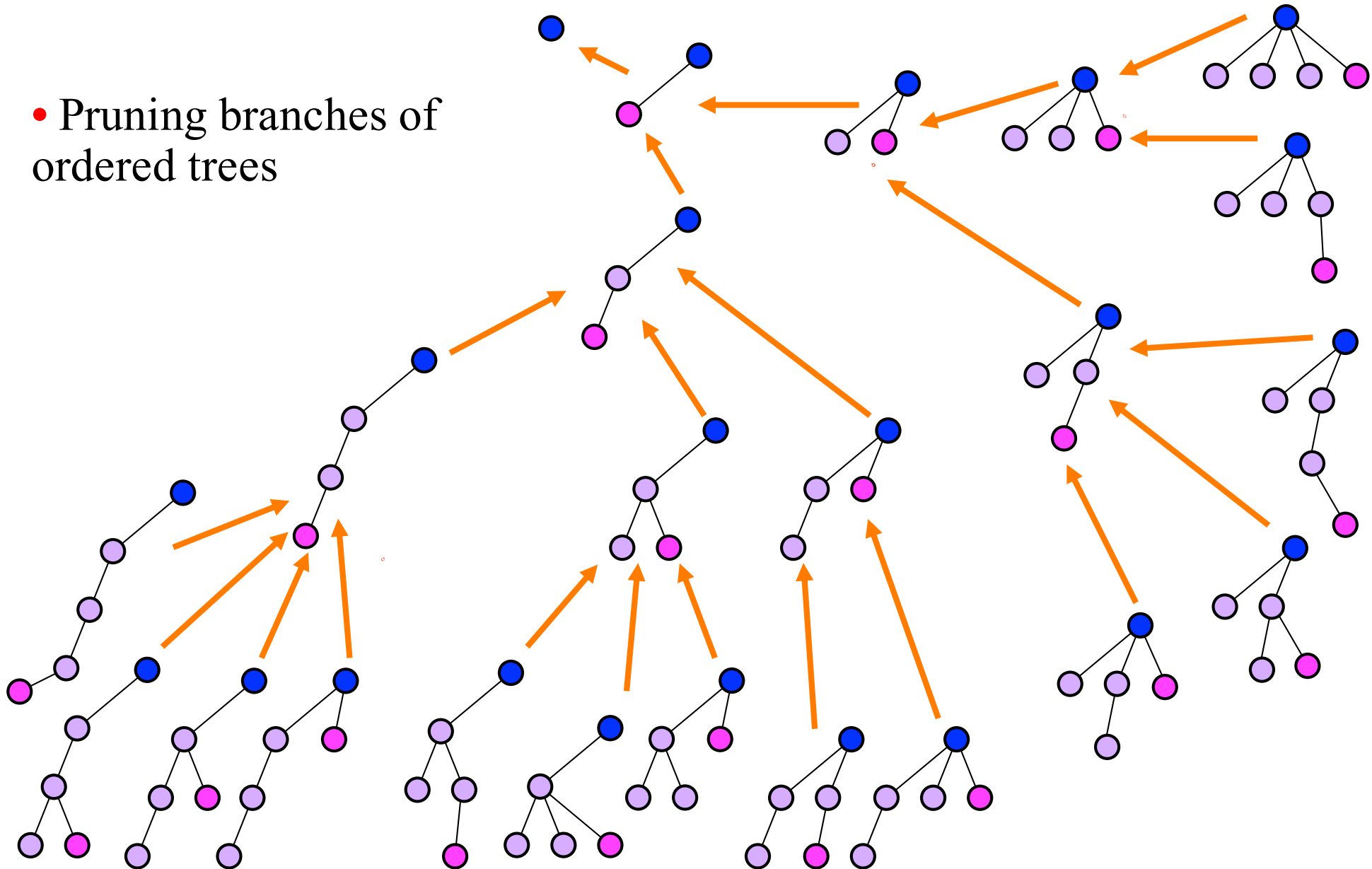
□ the parent is also a left-heavy embedding, since the rightmost subtree becomes lexicographically smaller by the removal



The relation is acyclic and spanning all

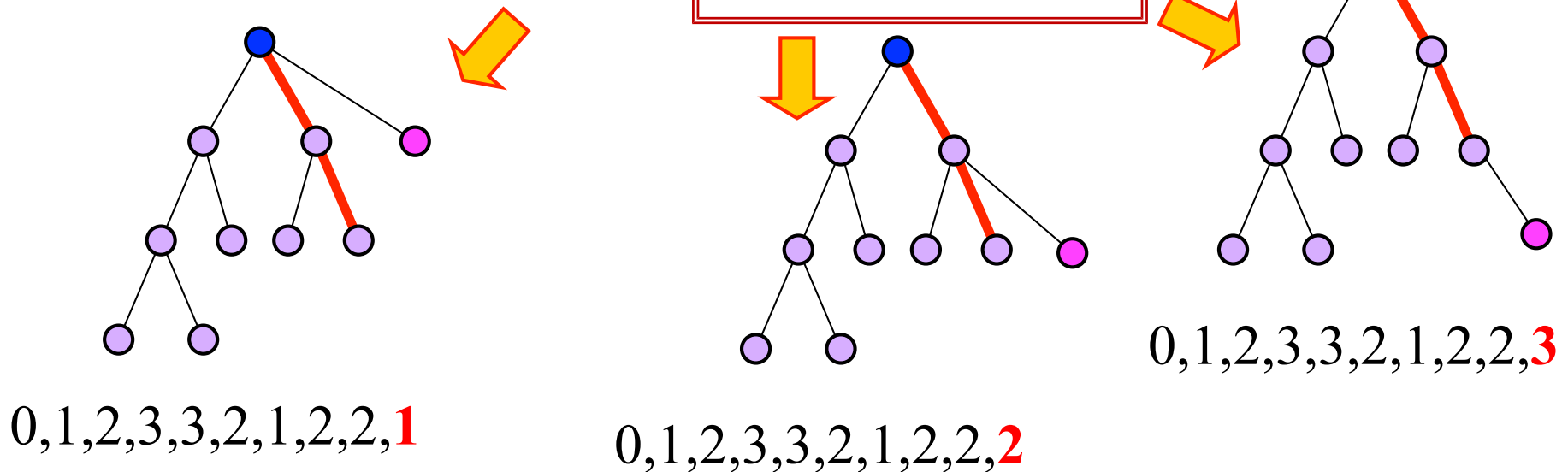
# Family Tree of Un-ordered Trees

- Pruning branches of ordered trees



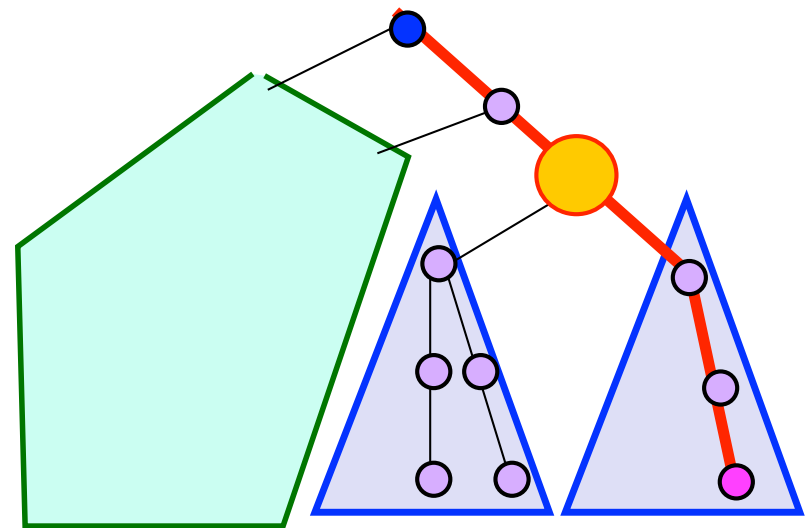
# Finding Children

- Any child of a rooted tree (parent) is obtained by adding a vertex so that it is the rightmost leaf
- However, some additions do not yield a child



# Finding Children

- Addition is not a child
  - at some level, right subtree becomes larger than the left
- It happens only when the depth sequence of the right is a prefix of that of the left
- Below the next depth of the left, no addition yields a child
- For all above that, yields a child
- We have to take care only the upmost such vertex (being prefix)
  - violate only lower prefix □ corresponding prefix on the left too



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# Pseudo Code

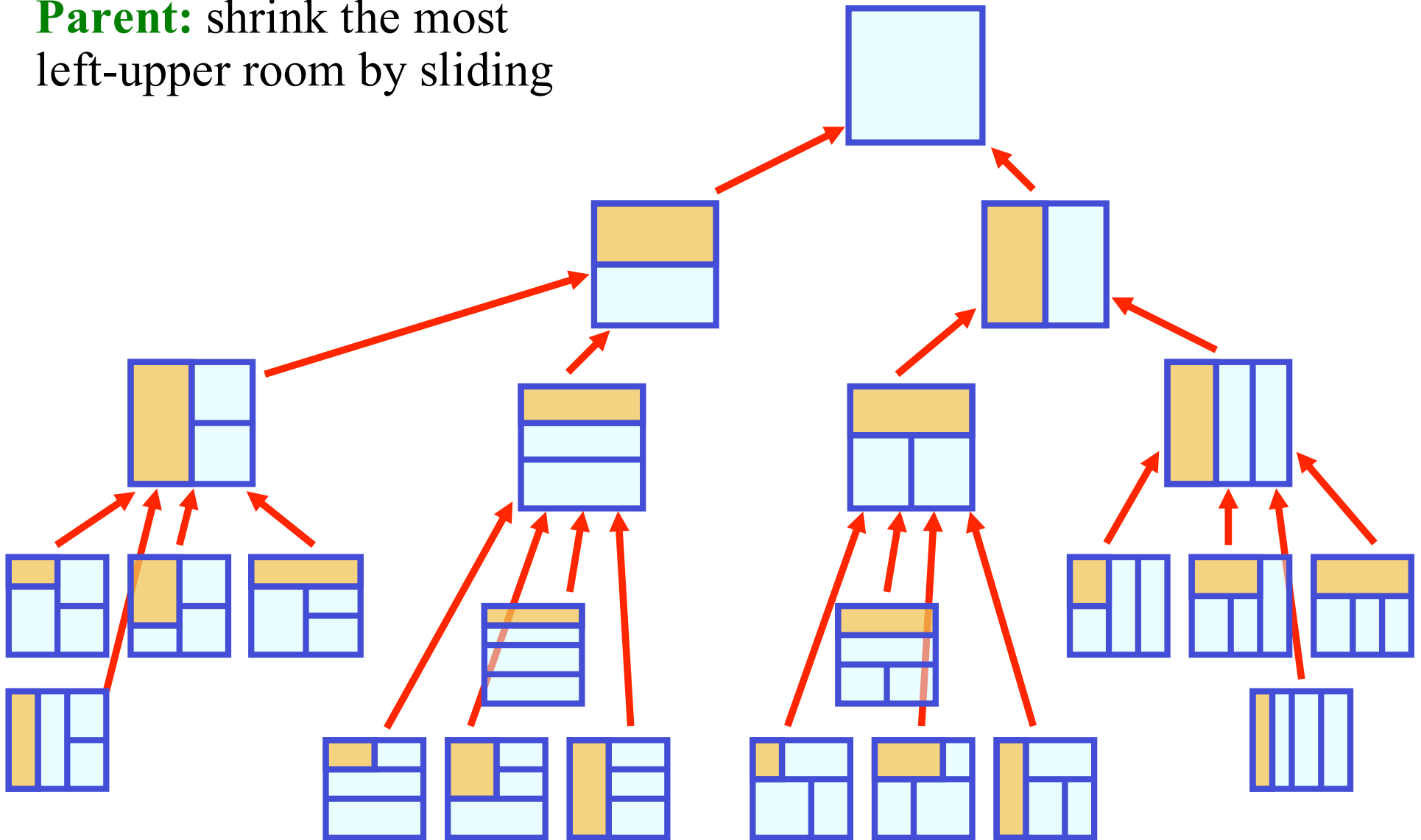
## **EnumRootedTree** ( $T, x$ )

- 1. output  $T$**
- 2. if size of  $T = k$  then return**
- 3.  $y :=$  the vertex next to  $x$  in the depth sequence**
- 4. for each  $v$  in right most path, in increasing order of the depth**
- 5.    $c :=$  the rightmost child of  $v$**
- 6.   add a rightmost child to  $v$**
- 7.   if depth of  $v =$  depth of  $y$  then**
  - call **EnumRootedTree** ( $T, y$ ); break**
- 8.   call **EnumRootedTree** ( $T, c$ )**
- 9.   remove the rightmost child of  $v$**
- 10. end for**

The inside of the for loop takes  $O(1)$  time, thus the time complexity is  $O(1)$  for each (output by difference from the previous)

# Other Family Tree: Floor Plan

**Parent:** shrink the most left-upper room by sliding



# Conclusion

- Definition of enumeration algorithm
- Motivations and applications
- Difficulty
- Basic schemes
  - + **Backtracking:** feasible solutions to knapsack problem
  - + **Binary partition:** st-paths of a graph
  - + **Reverse search:** maximal cliques, ordered tree, rooted tree

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# Exercises 1

# Brute Force

**1-1.** Design an algorithm to enumerate all combinations of integers  $a_1, \dots, a_{10}$  ranging 1 to 10 such that  $a_2 + a_4 + a_6 = 20$ ,  $a_1 + a_3 + a_5 = 10$ ,  $a_1 + a_7 + a_9 = 10$ ,  $a_2 + a_8 + a_{10} = 20$ . Brute force is OK.

**1-2.** Design an algorithm to enumerate all graphs of  $n$  vertices and  $m$  edges so that no two isomorphic graphs will be enumerated. We are given a function to check isomorphism of given two graphs  $G_1$  and  $G_2$ . Brute force is OK.

**1-3.** Design an algorithm to enumerate all ways to put marks (from 1 to  $k$ ) on vertices in a cycle of  $n$  vertices, so that no two solutions will be the same by a rotation.

# Lv. 1: Brute Force 2

**1-4.** Using algorithm 1-2, we want to design an algorithm for enumerating all graphs including no clique of size 4. Design an efficient pruning method.

**1-5.** Using algorithm 1-2, we want to design an algorithm for enumerating all graphs such that there is a vertex  $v$  such that distance from the given vertex  $r$  to  $v$  is at least  $k$ . Design an efficient pruning method or algorithm.

**1-6.** Using algorithm 1-2, we want to design an algorithm for enumerating all graphs such that the degree of any vertex is at most  $k$ . Design an efficient pruning method.

# Backtrack

**1-7.** Design a backtracking algorithm for the following problem:

For given a sequence of numbers  $a_1, \dots, a_n$ , enumerate all its subsequence such that any two consecutive numbers  $a_i$  and  $a_j$  satisfies  $a_i < a_j$ .

**1-8.** Design a backtracking algorithm for the following problem:

For given a set of points in a plane, a non-crossing graph is a graph whose vertex set is the point set, and its edge set is a set of segments whose ends are on the points, such that no two segments intersects except for their ends. Enumerate all non-crossing trees for given a point set

# Backtrack

**1-9.** Design a backtracking algorithm for the following problem:

For given a set of vectors  $\mathbf{x}_1, \dots, \mathbf{x}_n$  of composed of positive integers, enumerate all sets of vectors such that their sum is no greater than given vector  $\mathbf{b}$ . (subset  $\mathbf{X}$  s.t.,  $\sum_{\mathbf{x} \in \mathbf{X}} \mathbf{x} \leq \mathbf{b}$ )

**1-10.** Design a backtracking algorithm for the following problem:

For given a set of rectangles and a square, enumerate all possible locations of the subset of the rectangles s.t. no two rectangles overlap. The left-up corner of each rectangle has to be placed at an integer grid point, and the edges of rectangles has to axis parallel (so, 90 degree rotation is allowed).

# Backtrack

**1-11.** Design a backtrack algorithm for the following problem, and analyze its time complexity

For given a graph, enumerate all matchings of the graph

**1-12.** Design a backtrack algorithm for the following, and analyze its time complexity

For given a sequence of letters, enumerate all its subsequences that form palindromes, i.e., forming  $a,b,c,\dots,d,d,\dots,c,b,a$

# Binary Partition

**1-13.** Design a binary partition algorithm for the following problem, and analyze its time complexity

For given a set of points in a plane, enumerate all non-crossing spanning trees

**1-14.** Design a binary partition algorithm for the following problem, and analyze its time complexity

For given a set of points in a plane, and two points **s** and **t**, enumerate all non-crossing **s-t** paths (simple paths whose ends are **s** and **t**)

# Binary Partition

**1-15.** For two perfect matchings  $M$  and  $M'$  of a bipartite graph  $G$ , the symmetric difference between  $M$  and  $M'$  is composed of disjoint cycles. Further, the symmetric difference between  $M$  and an alternating cycle in which edges of  $M$  and edges not in  $M$  appear alternatively results a perfect matching different from  $M$ . Design a binary partition algorithm by using this fact.

**1-16.** Design a binary partition algorithm for the following problem, and analyze its time complexity

For a given partial order, a chain is a sequence of elements  $e_1, \dots, e_m$  s.t.,  $e_i < e_{i+1}$  holds for any  $i$ . Enumerate all maximal chains that are included in no other chain



# Exercises

**1-17.** Design a binary partition algorithm for the following, and analyze its time complexity

For a connected graph, a minimal cut is a partition of vertices such that the subgraphs induced by each group is connected. For given two vertices **s** and **t**, enumerate all minimal cuts s.t. one component includes **s** and not **t**

**1-18.** Design a binary partition algorithm for the following problem, and analyze its time complexity

For given a graph such that each edge is colored, enumerate all matchings of the graph s.t. any two edges have different colors

# Reverse Search

**1-19.** Design a reverse search algorithm for the following, and analyze its time complexity

For given a sequence of numbers  $a_1, \dots, a_n$ , enumerate all its subsequence such that any two consecutive numbers  $a_i$  and  $a_j$  satisfies  $a_i < a_j$

**1-20.** Design a reverse search algorithm for the following problem, and analyze its time complexity

For a given graph  $G=(V,E)$ , enumerate all vertex sets that induces a subgraph of edge density at least  $\theta$  (edge density of graph induced by a vertex set  $K = \frac{\text{\#edges in } K}{(|K|(|K|-1))}$ )

# Exercises

**1-20.** Design a reverse search algorithm for the following problem, and analyze its time complexity

For a given directed graph  $G=(V,E)$ , enumerate all acyclic subgraphs

**1-21.** Design a reverse search algorithm for the following, and analyze its time complexity

For given red points and black points in  $\mathbf{R}^d$ , enumerate all sets of red points such that it can be included in a hyper rectangle without including any black point